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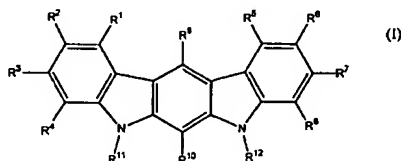
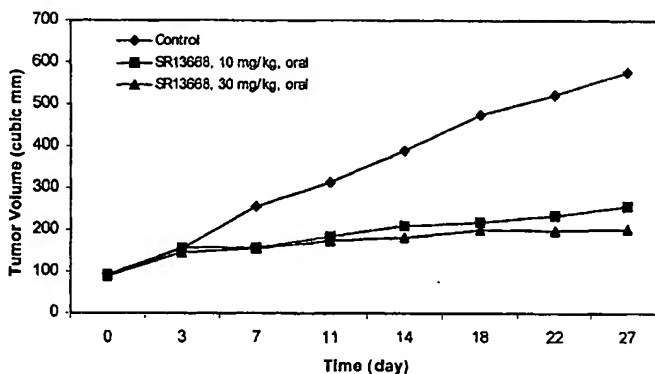
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(54) Title: ANALOGS OF INDOLE-3-CARBINOL METABOLITES AS CHEMOTHERAPEUTIC AND CHEMOPREVENTIVE AGENTS



(57) Abstract: Novel compounds useful as chemotherapeutic and chemopreventive agents are provided. The compounds are analogs of indole-3-carbinol metabolites wherein the structures and substituents of the compounds are selected to enhance the compounds' overall efficacy, particularly with respect to therapeutic activity, oral bioavailability, long-term safety, patient tolerability, and therapeutic window. The compounds are useful not only in treatment of cancer but also in prevention of cancer. One preferred class of the novel compounds have the structure of formula (I), wherein R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹⁰, R¹¹, and R¹² are defined herein. Pharmaceutical compositions are provided as well, as are methods of synthesis and use.

**ANALOGS OF INDOLE-3-CARBINOL METABOLITES AS
CHEMOTHERAPEUTIC AND CHEMOPREVENTIVE AGENTS**

TECHNICAL FIELD

[0001] This invention relates generally to compounds and compositions for the treatment of cancer and other hyperproliferative diseases. More particularly, the invention pertains to novel dietary indole analogs that are useful in treating a range of cancers, including estrogen-related cancers such as breast, uterine, cervical, ovarian, and endometrial cancers, and non-estrogen-related cancers such as prostate, colon, liver and lung cancers.

BACKGROUND ART

[0002] Cancer is the second leading cause of death in the United States, exceeded only by heart disease. Drugs that are used to treat cancer tend to be toxic at their therapeutic dose levels, commonly causing severe and even life-threatening adverse effects. Current anticancer drugs must also be administered intravenously. Consequently, nearly all cancer chemotherapy must be administered in a hospital or clinic. An additional problem with most current cancer chemotherapy is that cancers frequently develop resistance to the drugs, so that recurrence of disease is common.

[0003] For patients who have been diagnosed with cancer, cytotoxic chemotherapy is considered an essential part of the management of the disease, but resistance to chemotherapeutic drugs is unfortunately a common development in cancer. Although the mechanisms of resistance to chemotherapy are not fully understood, the cellular mechanisms thus far implicated in the development of drug resistance are the same as those that protect normal tissues from toxicity. Furthermore, the efficacy of cytotoxic chemotherapeutics is ultimately limited by their narrow therapeutic index. Therefore, it is unlikely that any breakthrough in the treatment of cancer will come about as a result of a cytotoxic approach. There is accordingly an urgent need for new noncytotoxic therapies that are safer and more effective than those currently available, and that, furthermore, will improve both survival rate and the quality of life for cancer survivors.

[0004] Recurrence is a potential threat for anyone who is diagnosed and treated with cancer, and up to 50% of patients with recurrent cancer will eventually have metastatic disease, which is often fatal. Therefore, for patients who have been treated for early stage cancer, once stabilization of the disease has been achieved, consideration must be given to adjuvant chemopreventive therapy to suppress disease for as long as possible. Since chemopreventive therapeutics are used on a long-term basis, there is a serious need for agents with three key characteristics: good tolerability, oral bioavailability, and long-term safety.

[0005] Furthermore, primary prevention is the optimal way to address any disease, and this is particularly true of cancer. Continuing advances in identification and validation of intermediate biomarkers, along with risk factors (e.g., genetic susceptibility or life-style) and exposure biomarkers, offer opportunities to more accurately assess the risk that any given individual may develop cancer. The aforementioned advances also enable a more precise identification of patient groups at an elevated risk for development of cancer, e.g., patients who may be in an otherwise undiagnosed phase of a carcinogenic process that could ultimately be fatal. Accordingly, the development of an effective cancer preventive ("chemopreventive") agent for high risk individuals is of utmost importance. Since chemopreventive agents may be given to relatively healthy subjects for extended time periods, the long-term safety of such drugs is essential.

[0006] Currently, none of the available methods for treating cancer, such as breast cancer, ovarian cancer and prostate cancer, meet all of these important criteria.

[0007] Breast cancer is one of the most prevalent types of cancer. Although breast cancer research has developed at a rapid pace over the last decade, breast cancer remains a common and devastating disease and the second leading cause of cancer-related deaths in women in the United States. Many breast tumors appear to follow a predictable clinical pattern, initially being responsive to endocrine therapy and cytotoxic chemotherapy but ultimately exhibiting a phenotype resistant to both modalities. Although the mechanisms responsible for hormone resistance of tumors remain unclear, experiments revealed that when a tumor composed of mixed populations of cells with different sensitivities to hormones was deprived of hormones, the autonomous cell types could keep growing, and inevitably the tumor growth progressed from hormone sensitive to hormone independent. Since cellular heterogeneity of estrogen receptor (ER) distribution is seen in most cases of ER-positive breast cancer, the promising treatment strategy and drugs should achieve maximal growth inhibition of both estrogen-dependent and estrogen-independent breast tumor cells at the same time.

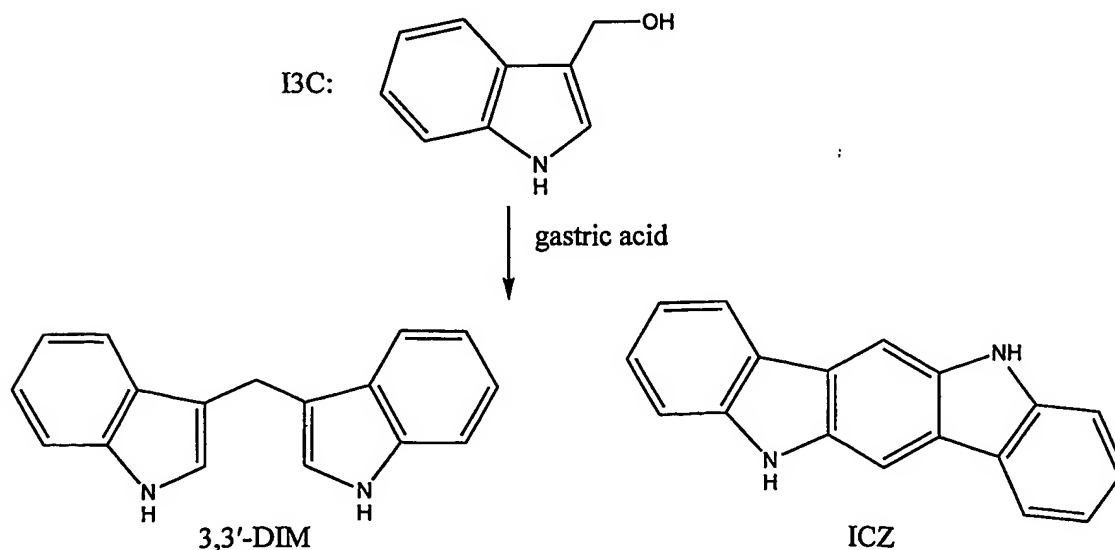
[0008] New therapeutic agents are also needed for the treatment of ovarian cancer. Ovarian cancer has a high mortality-to-incidence ratio, is usually asymptomatic until it is diagnosed in advanced stages, and quickly develops resistance to existing chemotherapeutics. The advent of paclitaxel (Taxol) as a component of first-line and salvage therapies has further improved response rates and prolonged survival, but resistance to chemotherapeutic drugs is a common development in ovarian cancer. These chemoresistant tumor cells frequently develop a broad cross-resistance to multiple drugs, and virtually all patients in whom multiple drug resistance has developed do not survive.

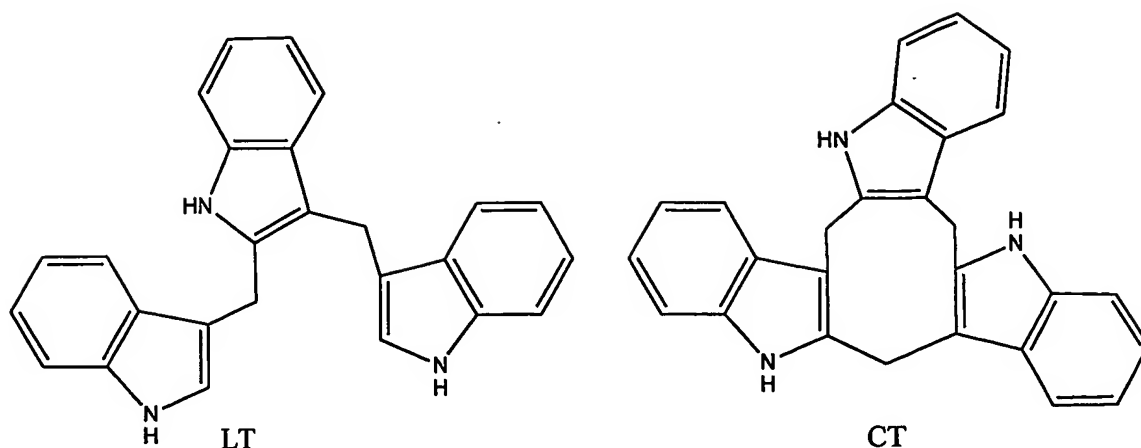
[0009] With the advent of prostate-specific antigen (PSA) testing and increased public awareness, approximately 75% of prostate cancer patients now present with clinically localized disease at the time of initial diagnosis. Although detection of organ-confined disease provides the

most realistic opportunity for cure, the curative potential of all presently accepted local therapies (i.e., surgery and radiation therapy) remains disappointing, while treatment-associated side effects have been shown to seriously impair sexual, urinary, and bowel function for most patients. As diagnostic modalities and screening advance, continued increases in the incidence of prostate cancer and the shift to an earlier patient age and tumor stage at diagnosis are expected in the years to come. Clearly, there is an urgent need to identify and implement novel therapeutic agents to improve cancer control while minimizing associated morbidity.

[00010] It is, therefore, of utmost importance to develop new anticancer agents that are not only effective in treating a range of cancers, but also exhibit low toxicity and have a wide therapeutic window, such that an agent allow long-term treatment to maximize disease control. An ideal anticancer agent would also be easily administrable outside of a clinical setting; orally active compounds would be particularly attractive in this regard. Ideal agents would also be useful prophylactically in patients at risk of developing cancer, or at risk of cancer recurrence, in addition to their utility in therapeutic methods.

[00011] One route to discovering safe anticancer agents is to search for dietary compounds that have anticancer properties, then to modify them to enhance their anticancer effects while retaining their safe biological profile. Known dietary compounds with anticancer activity include certain indoles, particularly indole-3-carbinol (I3C), that are found abundantly in cruciferous vegetables such as broccoli, cabbage, cauliflower, and Brussels sprouts. I3C is highly acid sensitive and it can be converted by gastric acid to form several metabolites in stomach. The four I3C metabolites shown below--3,3'-diindolylmethane (3,3'-DIM), indolo[3,2-*b*]carbazole (ICZ), 2-(indol-3-ylmethyl)- 3,3'-diindolyl-methane (LT), and 5,6,11,12,17,18-hexahydro-cyclonona [1,2-*b*:4,5-*b'*:7,8-*b''*]triindole (CT)--have been identified as having antitumor activity:





[00012] A number of *in vitro* and *in vivo* studies have shown I3C and its metabolites to have significant activity in preventing and treating estrogen-related cancers, including cancers of the breast (Bradlow et al. (1991) *Carcinogenesis* 12:1571-1574) 1991), cervix (Jin et al. (1999) *Cancer Res.* 59:3991-3997; Bell et al. (2000) *Gynecol. Oncol.* 78:123-129), and endometrium (Kojima et al. (1994) *Cancer Res.* 54:1446-1449). One mechanism for this activity appears to be the antiestrogenic properties of I3C and its metabolites. These properties include P450 cytochrome-mediated induction of 2-hydroxylation of estradiol, resulting in the production of non-estrogenic metabolites (Michnovicz et al. (1990) *J. Natl. Cancer Inst.* 82:947-949; Michnovicz et al. (1997) *J. Natl. Cancer Inst.* 89:718-723). Additionally, I3C appears to directly suppress estrogen-induced signaling by the estrogen receptor in breast cancer cells (Meng et al. (2000) *J. Nutrition* 130:2927-2931).

[00013] It is known that I3C and its metabolites also possess anticancer activities that are independent of their antiestrogenic properties. For example, these compounds have been found to suppress the migration and invasion of breast cancer cells by mechanisms that include up-regulation of the BRCA1 gene, E-cadherin (a regulator of cell-cell adhesion) and PTEN (a tumor suppressor gene) (Meng et al. (2000) *J. Mol. Med.* 78:155-165). I3C and its metabolites have also been found to inhibit cell cycle progression at G₁ by inhibiting cyclin-dependent kinase (Cover et al. (1998) *J. Biol. Chem.* 273: 3838-3847) in both estrogen receptor-positive (ER⁺) and estrogen receptor-negative (ER⁻) breast cancer cells. They have also been shown to induce apoptosis in breast cancer cells by means independent of the p53 gene (Ge et al. (1999) *Anticancer Res.* 19:3199-3203), and down-regulation of the apoptosis inhibitory protein Bcl-2 (Hong et al. (2002) *Biochem. Pharmacol.* 63: 1085-1097). In addition, I3C and its metabolites appear to be protective against colon cancer by stimulating apoptosis in precancerous cells and by preventing potentially cancer-causing intracellular DNA damage (Bonnesen et al. (2001) *Cancer Res.* 61:6120-6130). I3C has also been demonstrated as useful in suppressing growth and inducing apoptosis in prostate cancer cells by mechanisms independent of its antiestrogenic properties (Chinni (2001) *Oncogene* 20:2927-2936). I3C has additionally been found

to exhibit efficacy against cancers of the liver (Tanaka et al. (1990) *Carcinogenesis* 11:1403-1406) and lung (Morse et al. (1990) *Cancer Res.* 54:1446-1449). One study has found that topically administered I3C was effective in suppressing chemically induced carcinogenesis in mouse skin (Srivastava et al. (1998) *Cancer Lett.* 134:91-95). Furthermore, a clinical trial found that orally administered I3C was effective in treating the proliferative but non-cancerous disease respiratory papillomatosis (Rosen et al. (1998) *Otolaryngol. Head Neck Surg.* 118:810-815).

[00014] Results obtained by Jin et al. (1999), *supra*, and Chen et al. (2001) *J. Nutr.* 131(12):3294-3902, also establish I3C as having antiviral activity, insofar as I3C was found to be effective in treatment of cervical and cervical-vaginal cancers associated with human papillomavirus (HPV). Chen et al. also indicate that I3C has been found to have clinical benefits for laryngeal papillomatosis.

[00015] The present invention is the result of extensive, systematic research in the design of novel indoles in the form of structural analogs of the primary I3C metabolites that have optimized to enhance their anticancer activity and retain their safe biological profile. To the best of applicants' knowledge, the compounds and methods of the invention are completely unknown and completely unsuggested by the art.

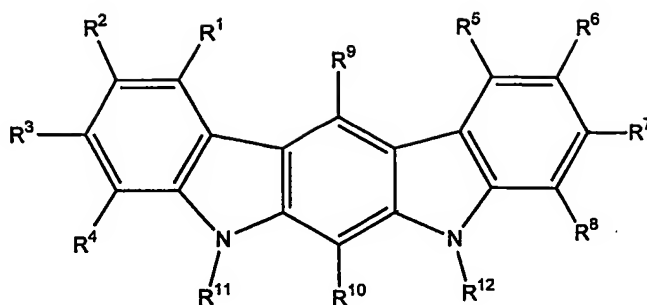
DISCLOSURE OF THE INVENTION

[00016] The present invention is directed to the aforementioned need in the art, and provides novel indole analogs that are potent anticancer agents. The compounds display considerable advantages relative to existing chemotherapeutic agents. For example, the present compounds have significant anticancer activity, are effective against estrogen-dependent, estrogen-independent, drug-resistant and/or metastasized cancers, and exhibit prophylactic as well as therapeutic utility. Furthermore, many of the compounds have good oral bioavailability and have a very broad therapeutic window, in turn meaning that no toxicity will be seen even at high doses. From a safety standpoint, then, the compounds are optimal. Furthermore, the compounds have fairly simple molecular structures, and may be readily synthesized using straightforward synthetic techniques.

[00017] The invention also provides a method for preventing or treating cancer in a mammalian individual by administration of an anticancer agent as provided herein. Generally, in chemoprevention, the patient will have been identified as being at an elevated risk of developing cancer. Such patients include, for example, those with a family history of cancer or a particular type of cancer, as well as those who have undergone genetic analysis and thereby determined to be genetically predisposed to develop cancer or a particular type of cancer. The compounds can also be used as adjuvant chemotherapeutics to prevent cancer recurrence in cancer survivors.

[00018] In a first embodiment, the aforementioned methods are carried out by administration of a therapeutically effective amount of a compound having the structure of formula (I)

(I)



wherein:

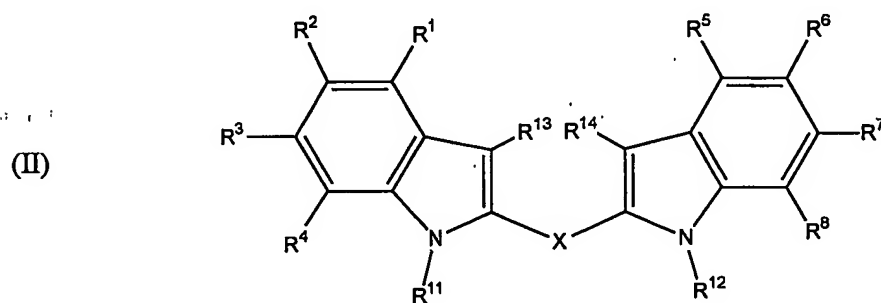
[00019] $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9,$ and R^{10} are substituents independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl (including C_2 - C_{24} alkylcarbonyl (-CO-alkyl) and C_6 - C_{20} arylcarbonyl (-CO-aryl)), acyloxy (-O-acyl), C_2 - C_{24} alkoxycarbonyl (-CO-O-alkyl), C_6 - C_{20} aryloxycarbonyl (-CO-O-aryl), halocarbonyl (-CO)-X where X is halo), C_2 - C_{24} alkylcarbonato (-O-(CO)-O-alkyl), C_6 - C_{20} arylcarbonato (-O-(CO)-O-aryl), carboxy (-COOH), carboxylato (-COO⁻), carbamoyl (-CO-NH₂), mono-(C_1 - C_{24} alkyl)-substituted carbamoyl (-CO-NH(C_1 - C_{24} alkyl)), di-(C_1 - C_{24} alkyl)-substituted carbamoyl (-CO-N(C_1 - C_{24} alkyl)₂), mono-substituted arylcarbamoyl (-CO-NH-aryl), thiocarbamoyl (-CS-NH₂), carbamido (-NH-(CO)-NH₂), cyano(-C≡N), isocyano (-N⁺≡C⁻), cyanato (-O-C≡N), isocyanato (-O-N⁺≡C⁻), isothiocyanato (-S-C≡N), azido (-N=N⁺=N⁻), formyl (-CO-H), thioformyl (-CS-H), amino (-NH₂), mono- and di-(C_1 - C_{24} alkyl)-substituted amino, mono- and di-(C_5 - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido (-NH-(CO)-alkyl), C_6 - C_{20} arylamido (-NH-(CO)-aryl), imino (-CR=NH where R is hydrogen, C_1 - C_{24} alkyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, etc.), alkylimino (-CR=N(alkyl), where R = hydrogen, alkyl, aryl, alkaryl, aralkyl, etc.), arylimino (-CR=N(aryl), where R = hydrogen, alkyl, aryl, alkaryl, etc.), nitro (-NO₂), nitroso (-NO), sulfo (-SO₂-OH), sulfonato (-SO₂-O⁻), C_1 - C_{24} alkylsulfanyl (-S-alkyl; also termed "alkylthio"), arylsulfanyl (-S-aryl; also termed "arylthio"), C_1 - C_{24} alkylsulfinyl (-SO-alkyl), C_5 - C_{20} arylsulfinyl (-SO-aryl), C_1 - C_{24} alkylsulfonyl (-SO₂-alkyl), C_5 - C_{20} arylsulfonyl (-SO₂-aryl), phosphono (-P(O)(OH)₂), phosphonato (-P(O)(O⁻)₂), phosphinato (-P(O)(O⁻)), phospho (-PO₂), phosphino (-PH₂), and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

[00020] R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxy, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, and di-(C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl,

[00021] with the proviso that at least one of R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , R^8 , R^9 , R^{10} , R^{11} , and R^{12} is other than hydrogen.

[00022] Novel compounds within the aforementioned group are those wherein R^1 through R^{12} are as just defined with the proviso that when R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 are selected from hydrogen, halo, alkyl, and alkoxy, then R^{11} and R^{12} are other than hydrogen and alkyl.

[00023] In another embodiment, the above-described method for treatment or prevention of cancer involves administration of a compound having the structure of formula (II)



wherein:

[00024] R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxy, C_6 - C_{20} aryloxy, C_6 - C_{20} arylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono-(C_1 - C_{24} alkyl)-substituted carbamoyl, di-(C_1 - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di-(C_1 - C_{24} alkyl)-substituted amino, mono- and di-(C_5 - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido, C_5 - C_{20} arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C_1 - C_{24} alkylsulfanyl, arylsulfanyl, C_1 - C_{24} alkylsulfinyl, C_5 - C_{20} arylsulfinyl, C_1 - C_{24} alkylsulfonyl, C_5 - C_{20} arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms;

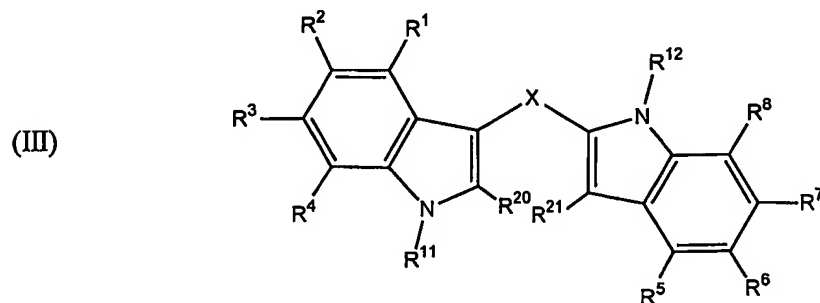
[00025] R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxy carbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, and di-(C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl;

[00026] R^{13} and R^{14} are defined as for R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 , with the proviso that at least one of R^{13} and R^{14} is other than hydrogen; and

[00027] X is O, S, arylene, heteroarylene, $CR^{15}R^{16}$ or NR^{17} wherein R^{15} and R^{16} are hydrogen, C_1 - C_6 alkyl, or together form $=CR^{18}R^{19}$ where R^{18} and R^{19} are hydrogen or C_1 - C_6 alkyl, and R^{17} is as defined for R^{11} and R^{12} .

[00028] Novel compounds within the aforementioned group are those wherein only one but not both of R^2 and R^6 is amino, mono-substituted amino, or di-substituted amino.

[00029] In a still further embodiment, the above-described method for the treatment or prevention of cancer involves administration of a novel compound having the structure of formula (III)



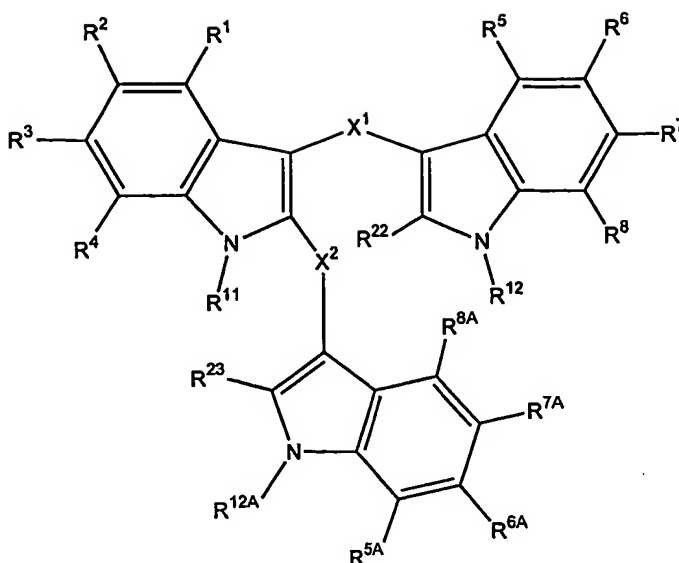
wherein:

[00030] R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , R^8 , R^{11} , R^{12} , and X are as defined for compounds having the structure of formula (II); and

[00031] R^{20} and R^{21} are defined as for R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 .

[00032] Additional compounds of the invention, also useful in conjunction with the above-described therapeutic and prophylactic methods, have the structure of formula (IV)

(IV)



wherein:

[00033] $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^{11}$, and R^{12} are defined as for compounds having the structure of formula (II);

[00034] $R^{5A}, R^{6A}, R^{7A}, R^{8A}$, and R^{12A} are defined as for R^5, R^6, R^7, R^8 , and R^{12} , respectively;

[00035] R^{22} and R^{23} are defined as for R^{20} and R^{21} in the structure of formula (III); and

[00036] X^1 and X^2 are independently selected from O, S, arylene, heteroarylene, $CR^{15}R^{16}$ and NR^{17} , or together form $=CR^{18}R^{19}$ wherein $R^{15}, R^{16}, R^{17}, R^{18}$, and R^{19} are as defined previously with respect to compounds of formulae (II),

[00037] with the proviso that at least one of $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^{5A}, R^{6A}, R^{7A}, R^{8A}, R^{11}, R^{12}, R^{22}$ and R^{23} is other than hydrogen.

[00038] In another embodiment, the invention encompasses pharmaceutical compositions containing a novel compound as provided herein in combination with a pharmaceutically acceptable carrier. Preferably, although not necessarily, such compositions are oral dosage forms and thus contain a carrier suitable for oral drug administration.

[00039] In still another embodiment, the invention provides methods for the prevention and treatment of various conditions, disorders and diseases that may or may not be associated with cancer. Such methods include the treatment of viral infections (including DNA viruses and retroviruses) and the treatment of estrogen-dependent disorders such as galactorrhea, McCune-Albright syndrome, benign breast disease, and endometriosis.

[00040] In a further embodiment, methods are provided for synthesizing the compounds of the invention. The methods are straightforward, avoid the use of extreme reaction conditions and toxic solvents, and provide the desired products in high yield.

BRIEF DESCRIPTION OF THE DRAWINGS

[00041] Figure 1 is a graph illustrating the antitumorigenic activity of a compound of the invention, 2,10-dicarbethoxy-6-methoxy-5,7-dihydro-indolo[2,3-b]carbazole (compound 74), against ER⁺ MCF-7 breast cancer xenografts in nude mice, as evaluated in Example 29.

[00042] Figure 2 is a graph illustrating the antitumorigenic activity of the same compound against (ER⁻) MDA-MB-231 breast cancer xenografts in nude mice, as evaluated in Example 30.

[00043] Figure 3 is a graph illustrating the antitumorigenic activity of the same compound on SKOV-3 human ovarian cancer xenografts in nude mice, as evaluated in Example 31.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

I. DEFINITIONS AND NOMENCLATURE:

[00044] Unless otherwise indicated, the invention is not limited to specific synthetic methods, analogs, substituents, pharmaceutical formulations, formulation components, modes of administration, or the like, as such may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting.

[00045] As used in the specification and the appended claims, the singular forms "a," "an," and "the" include plural referents unless the context clearly dictates otherwise. Thus, for example, reference to "a substituent" includes a single substituent as well as two or more substituents that may be the same or different, reference to "a compound" encompasses a combination or mixture of different compounds as well as a single compound, reference to "a pharmaceutically acceptable carrier" includes two or more such carriers as well as a single carrier, and the like.

[00046] In this specification and in the claims that follow, reference will be made to a number of terms, which shall be defined to have the following meanings:

[00047] As used herein, the phrase "having the formula" or "having the structure" is not intended to be limiting and is used in the same way that the term "comprising" is commonly used.

[00048] The term "alkyl" as used herein refers to a branched or unbranched saturated hydrocarbon group typically although not necessarily containing 1 to about 24 carbon atoms, such as methyl, ethyl, *n*-propyl, isopropyl, *n*-butyl, isobutyl, *t*-butyl, octyl, decyl, and the like, as well as cycloalkyl groups such as cyclopentyl, cyclohexyl, and the like. Generally, although again not necessarily, alkyl groups herein contain 1 to about 18 carbon atoms, preferably 1 to about 12 carbon atoms. The term "lower alkyl" intends an alkyl group of 1 to 6 carbon atoms. Preferred substituents identified as "C₁-C₆ alkyl" or "lower alkyl" contain 1 to 3 carbon atoms, and particularly preferred such substituents contain 1 or 2 carbon atoms (i.e., methyl and ethyl). "Substituted alkyl" refers to alkyl substituted with one or more substituent groups, and the terms "heteroatom-containing alkyl" and "heteroalkyl" refer to alkyl in which at least one carbon atom is replaced with a heteroatom, as described in further detail *infra*. If

not otherwise indicated, the terms "alkyl" and "lower alkyl" include linear, branched, cyclic, unsubstituted, substituted, and/or heteroatom-containing alkyl or lower alkyl, respectively.

[00049] The term "alkenyl" as used herein refers to a linear, branched or cyclic hydrocarbon group of 2 to about 24 carbon atoms containing at least one double bond, such as ethenyl, *n*-propenyl, isopropenyl, *n*-butenyl, isobutenyl, octenyl, decenyl, tetradecenyl, hexadecenyl, eicosenyl, tetracosenyl, and the like. Generally, although again not necessarily, alkenyl groups herein contain 2 to about 18 carbon atoms, preferably 2 to 12 carbon atoms. The term "lower alkenyl" intends an alkenyl group of 2 to 6 carbon atoms, and the specific term "cycloalkenyl" intends a cyclic alkenyl group, preferably having 5 to 8 carbon atoms. The term "substituted alkenyl" refers to alkenyl substituted with one or more substituent groups, and the terms "heteroatom-containing alkenyl" and "heteroalkenyl" refer to alkenyl in which at least one carbon atom is replaced with a heteroatom. If not otherwise indicated, the terms "alkenyl" and "lower alkenyl" include linear, branched, cyclic, unsubstituted, substituted, and/or heteroatom-containing alkenyl and lower alkenyl, respectively.

[00050] The term "alkynyl" as used herein refers to a linear or branched hydrocarbon group of 2 to 24 carbon atoms containing at least one triple bond, such as ethynyl, *n*-propynyl, and the like. Generally, although again not necessarily, alkynyl groups herein contain 2 to about 18 carbon atoms, preferably 2 to 12 carbon atoms. The term "lower alkynyl" intends an alkynyl group of 2 to 6 carbon atoms. The term "substituted alkynyl" refers to alkynyl substituted with one or more substituent groups, and the terms "heteroatom-containing alkynyl" and "heteroalkynyl" refer to alkynyl in which at least one carbon atom is replaced with a heteroatom. If not otherwise indicated, the terms "alkynyl" and "lower alkynyl" include linear, branched, unsubstituted, substituted, and/or heteroatom-containing alkynyl and lower alkynyl, respectively.

[00051] The term "alkoxy" as used herein intends an alkyl group bound through a single, terminal ether linkage; that is, an "alkoxy" group may be represented as -O-alkyl where alkyl is as defined above. A "lower alkoxy" group intends an alkoxy group containing 1 to 6 carbon atoms, and includes, for example, methoxy, ethoxy, *n*-propoxy, isopropoxy, *t*-butoxy, etc. Preferred substituents identified as "C₁-C₆ alkoxy" or "lower alkoxy" herein contain 1 to 3 carbon atoms, and particularly preferred such substituents contain 1 or 2 carbon atoms (i.e., methoxy and ethoxy).

[00052] The term "aryl" as used herein, and unless otherwise specified, refers to an aromatic substituent containing a single aromatic ring or multiple aromatic rings that are fused together, directly linked, or indirectly linked (such that the different aromatic rings are bound to a common group such as a methylene or ethylene moiety). Preferred aryl groups contain 5 to 20 carbon atoms, and particularly preferred aryl groups contain 5 to 14 carbon atoms. Exemplary aryl groups contain one aromatic ring or two fused or linked aromatic rings, e.g., phenyl, naphthyl, biphenyl, diphenylether, diphenylamine, benzophenone, and the like. "Substituted aryl" refers to an aryl moiety substituted with one or more substituent groups, and the terms "heteroatom-containing aryl" and

"heteroaryl" refer to aryl substituent, in which at least one carbon atom is replaced with a heteroatom, as will be described in further detail *infra*. If not otherwise indicated, the term "aryl" includes unsubstituted, substituted, and/or heteroatom-containing aromatic substituents.

[00053] The term "aryloxy" as used herein refers to an aryl group bound through a single, terminal ether linkage, wherein "aryl" is as defined above. An "aryloxy" group may be represented as -O-aryl where aryl is as defined above. Preferred aryloxy groups contain 5 to 20 carbon atoms, and particularly preferred aryloxy groups contain 5 to 14 carbon atoms. Examples of aryloxy groups include, without limitation, phenoxy, o-halo-phenoxy, m-halo-phenoxy, p-halo-phenoxy, o-methoxy-phenoxy, m-methoxy-phenoxy, p-methoxy-phenoxy, 2,4-dimethoxy-phenoxy, 3,4,5-trimethoxy-phenoxy, and the like.

[00054] The term "alkaryl" refers to an aryl group with an alkyl substituent, and the term "aralkyl" refers to an alkyl group with an aryl substituent, wherein "aryl" and "alkyl" are as defined above. Preferred aralkyl groups contain 6 to 24 carbon atoms, and particularly preferred aralkyl groups contain 6 to 16 carbon atoms. Examples of aralkyl groups include, without limitation, benzyl, 2-phenyl-ethyl, 3-phenyl-propyl, 4-phenyl-butyl, 5-phenyl-pentyl, 4-phenylcyclohexyl, 4-benzylcyclohexyl, 4-phenylcyclohexylmethyl, 4-benzylcyclohexylmethyl, and the like. Alkaryl groups include, for example, p-methylphenyl, 2,4-dimethylphenyl, p-cyclohexylphenyl, 2,7-dimethylnaphthyl, 7-cyclooctylnaphthyl, 3-ethyl-cyclopenta-1,4-diene, and the like.

[00055] The term "cyclic" refers to alicyclic or aromatic substituents that may or may not be substituted and/or heteroatom containing, and that may be monocyclic, bicyclic, or polycyclic.

[00056] The terms "halo" and "halogen" are used in the conventional sense to refer to a chloro, bromo, fluoro or iodo substituent.

[00057] The term "heteroatom-containing" as in a "heteroatom-containing alkyl group" (also termed a "heteroalkyl" group) or a "heteroatom-containing aryl group" (also termed a "heteroaryl" group) refers to a molecule, linkage or substituent in which one or more carbon atoms are replaced with an atom other than carbon, e.g., nitrogen, oxygen, sulfur, phosphorus or silicon, typically nitrogen, oxygen or sulfur. Similarly, the term "heteroalkyl" refers to an alkyl substituent that is heteroatom-containing, the term "heterocyclic" refers to a cyclic substituent that is heteroatom-containing, the terms "heteroaryl" and "heteroaromatic" respectively refer to "aryl" and "aromatic" substituents that are heteroatom-containing, and the like. Examples of heteroalkyl groups include alkoxyaryl, alkylsulfanyl-substituted alkyl, N-alkylated amino alkyl, and the like. Examples of heteroaryl substituents include pyrrolyl, pyrrolidinyl, pyridinyl, quinolinyl, indolyl, pyrimidinyl, imidazolyl, 1,2,4-triazolyl, tetrazolyl, etc., and examples of heteroatom-containing alicyclic groups are pyrrolidino, morpholino, piperazino, piperidino, etc.

[00058] "Hydrocarbyl" refers to univalent hydrocarbyl radicals containing 1 to about 30 carbon atoms, preferably 1 to about 24 carbon atoms, more preferably 1 to about 18 carbon atoms, most

preferably about 1 to 12 carbon atoms, including linear, branched, cyclic, saturated, and unsaturated species, such as alkyl groups, alkenyl groups, aryl groups, and the like. "Substituted hydrocarbyl" refers to hydrocarbyl substituted with one or more substituent groups, and the term "heteroatom-containing hydrocarbyl" refers to hydrocarbyl in which at least one carbon atom is replaced with a heteroatom. Unless otherwise indicated, the term "hydrocarbyl" is to be interpreted as including substituted and/or heteroatom-containing hydrocarbyl moieties.

[00059] By "substituted" as in "substituted alkyl," "substituted aryl," and the like, as alluded to in some of the aforementioned definitions, is meant that in the alkyl, aryl, or other moiety, at least one hydrogen atom bound to a carbon (or other) atom is replaced with one or more non-hydrogen substituents. Examples of such substituents include, without limitation: functional groups such as halo, hydroxyl, sulfhydryl, C₁-C₂₄ alkoxy, C₂-C₂₄ alkenyloxy, C₂-C₂₄ alkynyloxy, C₅-C₂₀ aryloxy, acyl (including C₂-C₂₄ alkylcarbonyl (-CO-alkyl) and C₆-C₂₀ arylcarbonyl (-CO-aryl)), acyloxy (-O-acyl), C₂-C₂₄ alkoxycarbonyl (-CO-O-alkyl), C₆-C₂₀ aryloxycarbonyl (-CO-O-aryl), halocarbonyl (-CO)-X where X is halo), C₂-C₂₄ alkylcarbonato (-O-(CO)-O-alkyl), C₆-C₂₀ arylcarbonato (-O-(CO)-O-aryl), carboxy (-COOH), carboxylato (-COO⁻), carbamoyl (-CO-NH₂), mono-(C₁-C₂₄ alkyl)-substituted carbamoyl (-CO-NH(C₁-C₂₄ alkyl)), di-(C₁-C₂₄ alkyl)-substituted carbamoyl (-CO-N(C₁-C₂₄ alkyl)₂), mono-substituted arylcarbamoyl (-CO-NH-aryl), thiocarbamoyl (-CS-NH₂), carbamido (-NH-CO-NH₂), cyano (-C≡N), isocyano (-N⁺≡C⁻), cyanato (-O-C≡N), isocyanato (-O-N⁺≡C⁻), isothiocyanato (-S-C≡N), azido (-N=N⁺=N⁻), formyl (-CO-H), thioformyl (-CS-H), amino (-NH₂), mono- and di-(C₁-C₂₄ alkyl)-substituted amino, mono- and di-(C₅-C₂₀ aryl)-substituted amino, C₂-C₂₄ alkylamido (-NH-CO-alkyl), C₆-C₂₀ arylamido (-NH-CO-aryl), imino (-CR=NH where R = hydrogen, C₁-C₂₄ alkyl, C₅-C₂₀ aryl, C₆-C₂₄ alkaryl, C₆-C₂₄ aralkyl, etc.), alkylimino (-CR=N(alkyl), where R = hydrogen, alkyl, aryl, alkaryl, etc.), arylimino (-CR=N(aryl), where R = hydrogen, alkyl, aryl, alkaryl, etc.), nitro (-NO₂), nitroso (-NO), sulfo (-SO₂-OH), sulfonato (-SO₂-O⁻), C₁-C₂₄ alkylsulfanyl (-S-alkyl; also termed "alkylthio"), arylsulfanyl (-S-aryl; also termed "arylthio"), C₁-C₂₄ alkylsulfinyl (-SO-alkyl), C₅-C₂₀ arylsulfinyl (-SO-aryl), C₁-C₂₄ alkylsulfonyl (-SO₂-alkyl), C₅-C₂₀ arylsulfonyl (-SO₂-aryl), phosphono (-P(O)(OH)₂), phosphonato (-P(O)(O⁻)₂), phosphinato (-P(O)(O⁻)), phospho (-PO₂), and phosphino (-PH₂); and the hydrocarbyl moieties C₁-C₂₄ alkyl (preferably C₁-C₁₈ alkyl, more preferably C₁-C₁₂ alkyl, most preferably C₁-C₆ alkyl), C₂-C₂₄ alkenyl (preferably C₂-C₁₈ alkenyl, more preferably C₂-C₁₂ alkenyl, most preferably C₂-C₆ alkenyl), C₂-C₂₄ alkynyl (preferably C₂-C₁₈ alkynyl, more preferably C₂-C₁₂ alkynyl, most preferably C₂-C₆ alkynyl), C₅-C₂₀ aryl (preferably C₅-C₁₄ aryl), C₆-C₂₄ alkaryl (preferably C₆-C₁₈ alkaryl), and C₆-C₂₄ aralkyl (preferably C₆-C₁₈ aralkyl).

[00060] In addition, the aforementioned functional groups may, if a particular group permits, be further substituted with one or more additional functional groups or with one or more hydrocarbyl

moieties such as those specifically enumerated above. Analogously, the above-mentioned hydrocarbyl moieties may be further substituted with one or more functional groups or additional hydrocarbyl moieties such as those specifically enumerated.

[00061] When the term "substituted" appears prior to a list of possible substituted groups, it is intended that the term apply to every member of that group. For example, the phrase "substituted alkyl, alkenyl, and aryl" is to be interpreted as "substituted alkyl, substituted alkenyl, and substituted aryl." Analogously, when the term "heteroatom-containing" appears prior to a list of possible heteroatom-containing groups, it is intended that the term apply to every member of that group. For example, the phrase "heteroatom-containing alkyl, alkenyl, and aryl" is to be interpreted as "heteroatom-containing alkyl, substituted alkenyl, and substituted aryl."

[00062] "Optional" or "optionally" means that the subsequently described circumstance may or may not occur, so that the description includes instances where the circumstance occurs and instances where it does not. For example, the phrase "optionally substituted" means that a non-hydrogen substituent may or may not be present on a given atom, and, thus, the description includes structures wherein a non-hydrogen substituent is present and structures wherein a non-hydrogen substituent is not present.

[00063] When referring to a compound of the invention, applicants intend the term "compound" to encompass not only the specified molecular entity but also its pharmaceutically acceptable, pharmacologically active analogs, including, but not limited to, salts, esters, amides, prodrugs, conjugates, active metabolites, and other such derivatives, analogs, and related compounds.

[00064] The terms "treating" and "treatment" as used herein refer to reduction in severity and/or frequency of symptoms, elimination of symptoms and/or underlying cause, prevention of the occurrence of symptoms and/or their underlying cause, and improvement or remediation of damage. For example, treatment of a patient by administration of an anti-cancer agent of the invention encompasses chemoprevention in a patient susceptible to developing cancer (e.g., at a higher risk, as a result of genetic predisposition, environmental factors, or the like) and/or in cancer survivors at risk of cancer recurrence, as well as treatment of a cancer patient dual by inhibiting or causing regression of a disorder or disease.

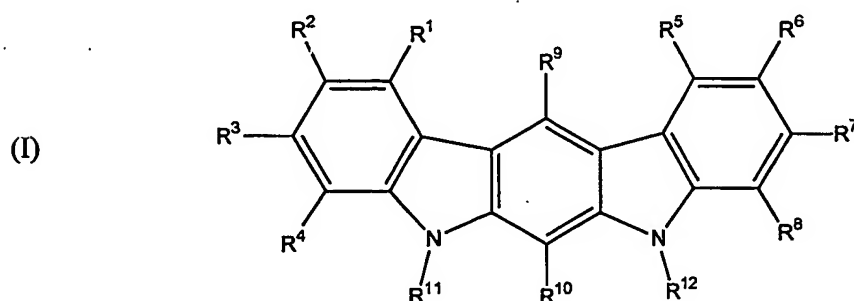
[00065] By the terms "effective amount" and "therapeutically effective amount" of a compound of the invention is meant a nontoxic but sufficient amount of the drug or agent to provide the desired effect.

[00066] By "pharmaceutically acceptable" is meant a material that is not biologically or otherwise undesirable, i.e., the material may be incorporated into a pharmaceutical composition administered to a patient without causing any undesirable biological effects or interacting in a deleterious manner with any of the other components of the composition in which it is contained. When the term "pharmaceutically acceptable" is used to refer to a pharmaceutical carrier or excipient, it is implied

that the carrier or excipient has met the required standards of toxicological and manufacturing testing or that it is included on the Inactive Ingredient Guide prepared by the U.S. Food and Drug administration. "Pharmacologically active" (or simply "active") as in a "pharmacologically active" derivative or analog, refers to a derivative or analog having the same type of pharmacological activity as the parent compound and approximately equivalent in degree.

II. INDOLE ANALOGS OF THE INVENTION AND SYNTHESIS THEREOF:

[00067] The compounds of the invention are indole analogs, more particularly, analogs of various I3C metabolites. In a first embodiment, the compounds have the structure of formula (I)



wherein:

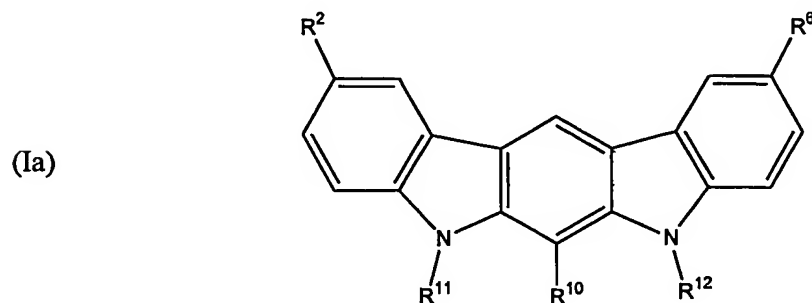
[00068] $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9$, and R^{10} are substituents independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, halocarbonyl, C_2 - C_{24} alkylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono- (C_1 - C_{24} alkyl)-substituted carbamoyl, di- (C_1 - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di- (C_1 - C_{24} alkyl)-substituted amino, mono- and di- (C_5 - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido, C_6 - C_{20} arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C_1 - C_{24} alkylsulfanyl, arylsulfanyl, C_1 - C_{24} alkylsulfinyl, C_5 - C_{20} arylsulfinyl, C_1 - C_{24} alkylsulfonyl, C_5 - C_{20} arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

[00069] R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxycarbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, and di- (C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl,

[00070] with the provisos that: at least one of R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , R^8 , R^9 , R^{10} , R^{11} , and R^{12} is other than hydrogen; and when R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 are selected from hydrogen, halo, alkyl and alkoxy, then R^{11} and R^{12} are other than hydrogen and alkyl.

[00071] In preferred compounds of formula (I), R^1 , R^3 , R^4 , R^5 , R^7 , R^8 , and R^9 are hydrogen.

These compounds have the structure of formula (Ia)



wherein R^2 , R^6 , R^{10} , R^{11} , and R^{12} are as defined above, with the provisos that at least one of R^2 , R^6 , R^{10} , R^{11} , and R^{12} is other than hydrogen, and that when R^2 and R^6 are selected from hydrogen, halo, alkyl, and alkoxy, then R^{11} and R^{12} are other than hydrogen and alkyl.

[00072] Preferred R^2 and R^6 moieties in formulae (I) and (Ia) include, without limitation, hydrogen, halo, hydroxyl, sulfhydryl, C_1 - C_{12} alkyl, C_2 - C_{12} alkenyl, C_1 - C_{12} alkoxy, C_5 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonyl, C_6 - C_{20} arylcarbonyl, C_2 - C_{12} acyloxy, C_2 - C_{12} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, C_2 - C_{12} alkylcarbonato, carboxy, carbamoyl, mono-substituted C_1 - C_{12} alkyl-carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, amino, mono- and di- $(C_1$ - C_{12} alkyl)-substituted amino, C_2 - C_{12} alkylamido, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl, including substituted analogs thereof for those substituents that permit substitution (e.g., hydroxyalkyl, aminoalkyl, dialkylaminoalkyl, aminoalkylcarbonyl, dialkylaminocarbonyl, carboxy-substituted alkyl, etc.). More preferred R^2 and R^6 moieties are halo, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, C_2 - C_{12} alkoxycarbonyl, C_2 - C_{12} alkylcarbonato, carbamoyl, mono-substituted C_1 - C_{12} alkyl-carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl.

[00073] The R^{10} substituent in structures (I) and (Ia) is preferably C_1 - C_{12} alkyl, C_1 - C_{12} haloalkyl (e.g., fluorinated alkyl, including perfluorinated alkyl), C_1 - C_{12} alkoxy, C_1 - C_{12} alkylsulfanyl, C_2 - C_{12} alkoxycarbonyl, or C_2 - C_{12} alkylcarbonato.

[00074] Preferred R^{11} and R^{12} moieties in structures (I) and (Ia) include, by way of example, hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxycarbonyl, amino-substituted C_1 - C_{12} alkyl, $(C_1$ - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di- $(C_1$ - C_{12} alkyl)amino-substituted C_1 - C_{12} alkyl.

[00075] Those compounds of formulae (I) and (Ia) that are substituted with at least one C_2 - C_{12} , preferably C_2 - C_6 , alkoxycarbonyl group, and/or with at least one C_2 - C_{12} , preferably C_2 - C_6 alkylcarbonato group, at R^2 , R^6 , R^{10} , R^{11} and/or R^{12} , are particularly advantageous.

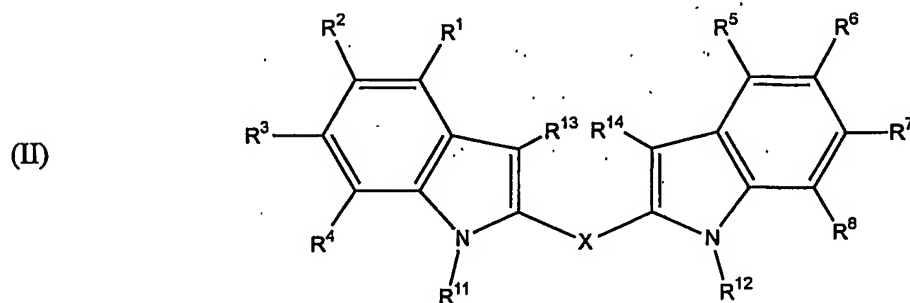
[00076] In particularly preferred compounds of formula (Ia), R² and R⁶ are independently selected from hydrogen and C₂-C₆ alkoxycarbonyl, R¹⁰ is halo, C₁-C₆ alkyl, C₁-C₆ haloalkyl, C₁-C₆ alkoxy, C₁-C₆ alkylsulfanyl, C₂-C₆ alkoxycarbonyl, or C₂-C₆ alkylcarbonato, and R¹¹ and R¹² are independently selected from hydrogen, C₁-C₆ alkoxycarbonyl and C₁-C₆ alkyl. Optimally, R² and R⁶ are hydrogen or ethoxycarbonyl (-(CO)-O-CH₂CH₃), R¹⁰ is hydrogen, methoxy, ethoxycarbonyl, ethylcarbonato (-O-(CO)-O-CH₂CH₃), or perfluorinated C₁-C₆ alkyl, and R¹¹ and R¹² are hydrogen.

[00077] Specific compounds encompassed by formula (I) include, without limitation:

5-Carbethoxy-6-ethoxycarbonyloxy-7H-indolo[2,3-b]carbazole (57);
 6-Ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-b]carbazole (58);
 6-Methyl-5,7-dihydro-indolo[2,3-b]carbazole (60);
 2,10-Dicarbethoxy-6-ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-b]carbazole (63);
 2,10-Dibromo-6-ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-b]carbazole (64);
 2,10-Dicarbethoxy-6-methyl-5,7-dihydro-indolo[2,3-b]carbazole (67);
 2,10-Dicarbethoxy-6-(heptafluoropropyl)-5,7-dihydro-indolo[2,3-b]carbazole (70);
 2,10-Dicarbethoxy-6-methoxy-5,7-dihydro-indolo[2,3-b]carbazole (74);
 2,10-Dicarbethoxy-6-ethoxy-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dicarbethoxy-6-(trifluoromethyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dicarbethoxy-6-(pentafluoroethyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dicarbethoxy-6-(n-propyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dicarbethoxy-6-(1,1,1-trifluoroethyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 2,6,10-tricarbethoxy-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dicarbethoxy-6-ethoxycarbonyloxy-5,7-dimethyl-5,7-dihydro-indolo[2,3-b]carbazole;
 6-Methoxy-5,7-dihydro-indolo[2,3-b]carbazole;
 6-Ethoxy-5,7-dihydro-indolo[2,3-b]carbazole;
 6-Methyl-5,7-dihydro-indolo[2,3-b]carbazole;
 6-(Trifluoromethyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 6-(Pentafluoroethyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 6-(n-Propyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 5,7-Dimethyl-5,7-dihydro-indolo[2,3-b]carbazole-6-carboxylic acid ethyl ester;
 6-Ethoxycarbonyloxy-5,7-dimethyl-5,7-dihydro-indolo[2,3-b]carbazole;
 [2-(5,7-Dihydro-indolo[2,3-b]carbazol-6-yloxy)-ethyl]-dimethyl-amine;
 6-(2-Dimethylamino-ethoxy)-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dicarbethoxy-6-(2-Dimethylamino-ethoxy)-5,7-bis-(2-dimethylamino-ethyl)-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Dibromo-5,7-dimethyl-5,7-dihydro-indolo[2,3-b]carbazole-6-carboxylic acid ethyl ester;
 2,10-Dibromo-5,7-dihydro-indolo[2,3-b]carbazole-6-carboxylic acid ethyl ester;

Carbonic acid 2,10-dibromo-5,7-dihydro-indolo[2,3-b]carbazol-6-yl ester ethyl ester;
 Carbonic acid 2,10-bis-dimethylcarbamoyl-5,7-dihydro-indolo[2,3-b]carbazol-6-yl ester ethyl ester;
 6-Methoxy-5,7-dihydro-indolo[2,3-b]carbazole-2,10-dicarboxylic acid bis-dimethylamide;
 5,7-Dihydro-indolo[2,3-b]carbazole-2,10-dicarboxylic acid bis-dimethylamide;
 2,10-Bis-methanesulfinyl-5,7-dihydro-indolo[2,3-b]carbazole;
 2,10-Bis-methylsulfanyl-5,7-dihydro-indolo[2,3-b]carbazole; and
 2,10-Bis-methanesulfonyl-5,7-dihydro-indolo[2,3-b]carbazole.

[00078] Other novel compounds of the invention have the structure of formula (II)



wherein:

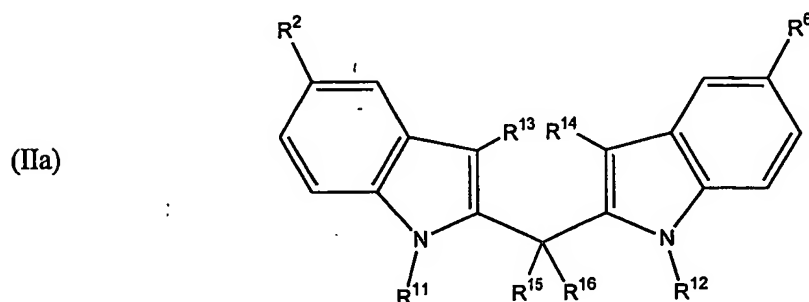
[00079] R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 are substituents independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, halocarbonyl, C_2 - C_{24} alkylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono- $(C_1$ - C_{24} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di- $(C_1$ - C_{24} alkyl)-substituted amino, mono- and di- $(C_5$ - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido, C_5 - C_{20} arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C_1 - C_{24} alkylsulfanyl, arylsulfanyl, C_1 - C_{24} alkylsulfinyl, C_5 - C_{20} arylsulfinyl, C_1 - C_{24} alkylsulfonyl, C_5 - C_{20} arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms, with the proviso that one but not both of R^2 and R^6 is amino, mono-substituted amino, or di-substituted amino;

[00080] R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxy carbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, and di-(C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl;

[00081] R^{13} and R^{14} are defined as for R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 , with the proviso that at least one of R^{13} and R^{14} is other than hydrogen; and

[00082] X is O, S, arylene, heteroarylene, $CR^{15}R^{16}$ or NR^{17} wherein R^{15} and R^{16} are hydrogen, C_1 - C_6 alkyl, or together form $=CR^{18}R^{19}$ where R^{18} and R^{19} are hydrogen or C_1 - C_6 alkyl, and R^{17} is as defined for R^{11} and R^{12} .

[00083] In preferred compounds of formula (II), R^1 , R^3 , R^4 , R^5 , R^7 , and R^8 are hydrogen, and X is $CR^{15}R^{16}$, such that the compounds have the structure of formula (IIa)



[00084] As with the compounds of formula (I) and formula (Ia), preferred R^2 and R^6 moieties in structures (II) and (IIa) include, without limitation, hydrogen, halo, hydroxyl, sulfhydryl, C_1 - C_{12} alkyl, C_2 - C_{12} alkenyl, C_1 - C_{12} alkoxy, C_5 - C_{20} aryloxy, C_2 - C_{12} alkyl carbonyl, C_6 - C_{20} aryl carbonyl, C_2 - C_{12} acyloxy, C_2 - C_{12} alkoxy carbonyl, C_6 - C_{20} aryloxy carbonyl, C_2 - C_{12} alkyl carbonato, carboxy, carbamoyl, mono-(C_1 - C_{12} alkyl)-substituted carbamoyl, di-(C_1 - C_{12} alkyl)-substituted carbamoyl, amino, mono- and di-(C_1 - C_{12} alkyl)-substituted amino, C_2 - C_{12} alkylamido, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl, including substituted analogs thereof for those substituents that permit substitution (e.g., hydroxyalkyl, aminoalkyl, dialkylaminoalkyl, aminoalkyl carbonyl, dialkylaminocarbonyl, carboxy-substituted alkyl, etc.). Within the aforementioned substituents, preferred R^2 and R^6 moieties are halo, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, C_2 - C_{12} alkoxy carbonyl, C_2 - C_{12} alkyl carbonato, carbamoyl, mono-(C_1 - C_{12} alkyl)-substituted carbamoyl, di-(C_1 - C_{12} alkyl)-substituted carbamoyl, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl. Preferred R^{11} and R^{12} moieties are also as given for compounds of formula (I), and thus include hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxy carbonyl, amino-substituted C_1 - C_{12} alkyl, (C_1 - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di-(C_1 - C_{12} alkyl)amino-substituted C_1 - C_{12} alkyl.

[00085] Preferred R^{13} and R^{14} substituents in structures (II) and (IIa) are selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxy carbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, di-(C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl, C_5 - C_{20} aryl,

C₆-C₂₄ alkaryl, and C₆-C₂₄ aralkyl, and more preferred R¹³ and R¹⁴ substituents in structures (II) and (IIa) include hydrogen, C₁-C₁₂ alkyl, C₁-C₁₂ alkoxy, and C₂-C₁₂ alkoxycarbonyl.

[00086] Preferred R¹⁵ and R¹⁶ substituents in structure (IIa) include hydrogen and C₁-C₁₂ alkyl, and wherein R¹⁵ and R¹⁶ together form =CR¹⁸R¹⁹ where R¹⁸ and R¹⁹ are hydrogen or C₁-C₆ alkyl.

[00087] In particularly preferred compounds of formula (IIa), R² and R⁶ are hydrogen or C₂-C₆ alkoxycarbonyl, R¹¹ and R¹² are hydrogen, C₂-C₆ alkoxycarbonyl, or C₁-C₆ alkyl, R¹³ and R¹⁴ are hydrogen, C₁-C₆ alkyl, C₁-C₆ alkoxy, or C₂-C₆ alkoxycarbonyl, and R¹⁵ and R¹⁶ are hydrogen, C₁-C₆ alkyl, or together form =CH₂. Optimally, R² and R⁶ are hydrogen or ethoxycarbonyl (-(CO)-O-CH₂CH₃), R¹¹ and R¹² are hydrogen, R¹³ and R¹⁴ are independently hydrogen, methyl, or ethoxycarbonyl, and R¹⁵ and R¹⁶ are hydrogen or C₁-C₆ alkyl.

[00088] Exemplary compounds encompassed by formula (II) include, without limitation:

3-Methylthio-2,2'-diindolylmethane (34);

3,3'-Dimethyl-2,2'-diindolylmethane (44);

3,3'-Dimethyl-5,5'-dicarbethoxy-2,2'-diindolylmethane (46);

3,3'-Dimethyl-5-carbethoxy-2,2'-diindolylmethane

5,5'-Dicarbethoxy-2,2'-diindolylmethane;

N,N'-Dimethyl-3,3'-dimethyl-2,2'-diindolylmethane;

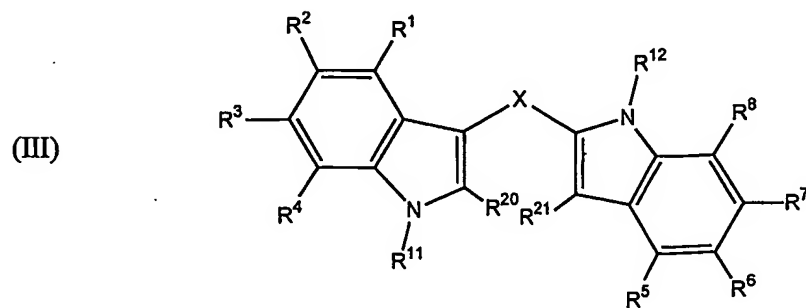
N,N'-Dimethyl-3,3'-dimethyl-5,5'-dicarbethoxy-2,2'-diindolylmethane;

N-Methyl-3,3'-dimethyl-5,5'-dicarbethoxy-2,2'-diindolylmethane;

N,N'-Dicarbethoxy-3,3'-dimethyl-5,5'-dicarbethoxy-2,2'-diindolylmethane; and

N-Carbethoxy-3,3'-dimethyl-5,5'-dicarbethoxy-2,2'-diindolylmethane.

[00089] In a further embodiment, compounds are provided having the structure of formula (III)

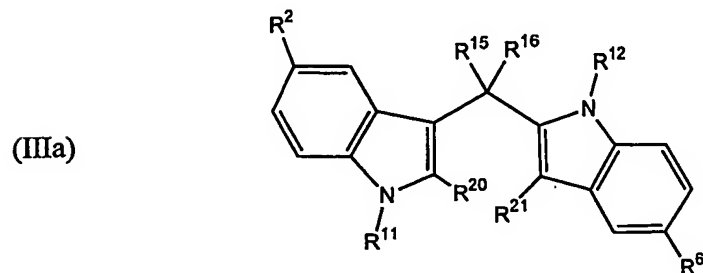


wherein:

[00090] R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R¹¹, R¹², and X are defined as for compounds of formula (II); and

[00091] R^{20} and R^{21} are defined as for R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , and R^8 .

[00092] In preferred compounds of formula (III), R^1 , R^3 , R^4 , R^5 , R^7 , and R^8 are hydrogen, and X is $CR^{15}R^{16}$, such that the compounds have the structure of formula (IIIa)



[00093] Preferred R^2 and R^6 moieties in structures (III) and (IIIa) include, without limitation, hydrogen, halo, hydroxyl, sulfhydryl, C_1 - C_{12} alkyl, C_2 - C_{12} alkenyl, C_1 - C_{12} alkoxy, C_5 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonyl, C_6 - C_{20} arylcarbonyl, C_2 - C_{12} acyloxy, C_2 - C_{12} alkoxycarbonyl, C_6 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonato, carboxy, carbamoyl, mono-(C_1 - C_{12} alkyl)-substituted carbamoyl, di-(C_1 - C_{12} alkyl)-substituted carbamoyl, amino, mono- and di-(C_1 - C_{12} alkyl)-substituted amino, C_2 - C_{12} alkylamido, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl, including substituted analogs thereof for those substituents that permit substitution. Within the aforementioned substituents, more preferred R^2 and R^6 moieties are halo, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, C_2 - C_{12} alkoxycarbonyl, C_2 - C_{12} alkylcarbonato, carbamoyl, mono-(C_1 - C_{12} alkyl)-substituted carbamoyl, di-(C_1 - C_{12} alkyl)-substituted carbamoyl, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl. Preferred R^{11} and R^{12} moieties are as given for compounds of formulae (I) and (II), and thus include hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxycarbonyl, amino-substituted C_1 - C_{12} alkyl, (C_1 - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di-(C_1 - C_{12} alkyl)amino-substituted C_1 - C_{12} alkyl.

[00094] Preferred R^{15} and R^{16} substituents in structure (III) include hydrogen and C_1 - C_{12} alkyl, or wherein R^{15} and R^{16} together form $=CR^{18}R^{19}$ where R^{18} and R^{19} are hydrogen or C_1 - C_6 alkyl.

[00095] Preferred R^{20} and R^{21} substituents in structures (III) and (IIIa) are selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxycarbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, di-(C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, and C_6 - C_{24} aralkyl, and more preferred R^{20} and R^{21} substituents in structures (III) and (IIIa) include hydrogen, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, and C_2 - C_{12} alkoxycarbonyl.

[00096] In particularly preferred compounds of formula (III), R^2 and R^6 are independently hydrogen or C_2 - C_6 alkoxycarbonyl, R^{11} and R^{12} are independently hydrogen, C_2 - C_6 alkoxycarbonyl, or C_1 - C_6 alkyl, R^{20} and R^{21} are independently hydrogen, C_1 - C_6 alkyl, C_1 - C_6 alkoxy, or C_2 - C_6 alkoxycarbonyl, and R^{15} and R^{16} are independently hydrogen, C_1 - C_6 alkyl, or together form $=CH_2$. Optimal R^2 and R^6 substituents are hydrogen and ethoxycarbonyl ($-(CO)-O-CH_2CH_3$), optimal R^{11}

and R¹² substituents are hydrogen and C₁-C₆ alkyl, optimal R²⁰ and R²¹ substituents are hydrogen, methyl, and ethoxycarbonyl, and optimal R¹⁵ and R¹⁶ substituents are hydrogen and C₁-C₆ alkyl.

[00097] Exemplary compounds encompassed by formula (III) include, without limitation:

2,3'-Diindolylmethane (33);

2,3'-Dimethyl-5,5'-dicarbethoxy-2',3-diindolylmethane (53);

2,3'-Dimethyl-2',3-diindolylmethane;

5,5'-Dicarbethoxy-2',3-diindolylmethane;

5-Carbethoxy-2,3'-dimethyl-2',3-diindolylmethane;

N,N'-Dimethyl-2,3'-diindolylmethane;

N,N'-Dimethyl-2,3'-dimethyl-2',3-diindolylmethane;

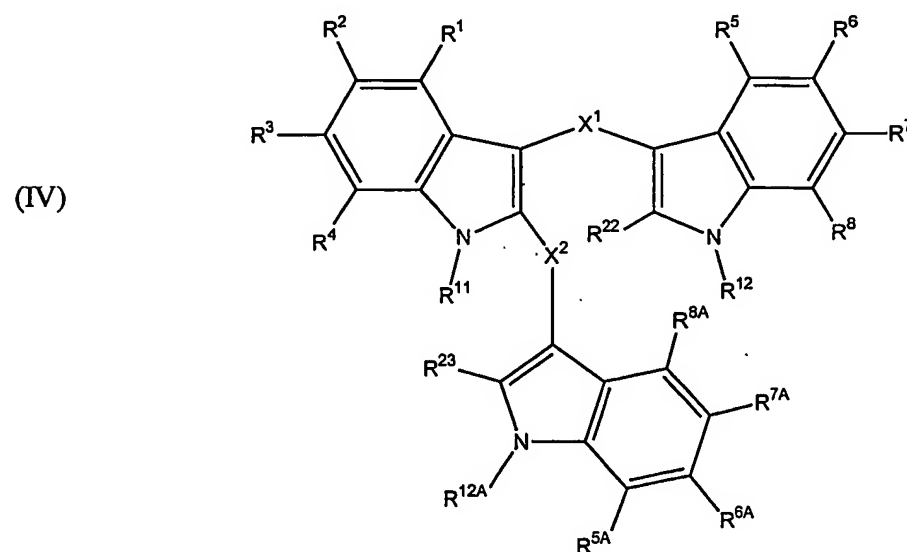
N,N'-Dimethyl-2,3'-Dimethyl-5,5'-dicarbethoxy-2',3-diindolylmethane;

N-Methyl-2,3'-Dimethyl-5,5'-dicarbethoxy-2',3-diindolylmethane;

N,N'-Dicarbethoxy-2,3'-Dimethyl-5,5'-dicarbethoxy-2',3-diindolylmethane; and

N-Carbethoxy-2,3'-Dimethyl-5,5'-dicarbethoxy-2',3-diindolylmethane.

[00098] Additional compounds of the invention have the structure of formula (IV)



wherein:

[00099] R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R¹¹, and R¹² are defined as for compounds having the structure of formula (II);

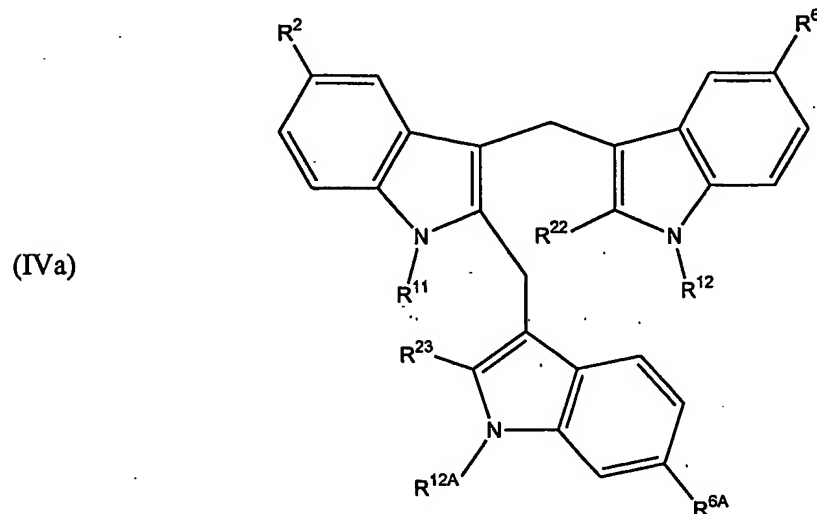
[000100] R^{5A}, R^{6A}, R^{7A}, R^{8A}, and R^{12A} are defined as for R⁵, R⁶, R⁷, R⁸, and R¹², respectively;

[000101] R²² and R²³ are defined as for R²⁰ and R²¹ in the structure of formula (III); and

[000102] X¹ and X² are independently selected from O, S, arylene, heteroarylene, CR¹⁵R¹⁶, and NR¹⁷ wherein R¹⁵ and R¹⁶ are hydrogen, C₁-C₆ alkyl, or together form =CR¹⁸R¹⁹ where R¹⁸ and R¹⁹ are hydrogen or C₁-C₆ alkyl, and R¹⁷ is as defined for R¹¹ and R¹²,

[000103] with the proviso that at least one of R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , R^8 , R^{5A} , R^{6A} , R^{7A} , R^{8A} , R^{11} , R^{12} , R^{22} and R^{23} is other than hydrogen.

[000104] In preferred compounds of formula (IV), R^1 , R^3 , R^4 , R^5 , R^7 , R^8 , R^{5A} , R^{7A} , and R^{8A} are hydrogen, and X^1 and X^2 are CH_2 , such that the compounds have the structure of formula (IVa)



[000105] with the proviso that at least one of R^2 , R^6 , R^{6A} , R^{11} , R^{12} , R^{12A} , R^{22} and R^{23} is other than hydrogen.

[000106] Preferred R^2 , R^6 , and R^{6A} moieties in structures (IVa) include, without limitation, hydrogen, halo, hydroxyl, sulfhydryl, C_1 - C_{12} alkyl, C_2 - C_{12} alkenyl, C_1 - C_{12} alkoxy, C_5 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonyl, C_6 - C_{20} arylcarbonyl, C_2 - C_{12} acyloxy, C_2 - C_{12} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, C_2 - C_{12} alkylcarbonato, carboxy, carbamoyl, mono- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, amino, mono- and di- $(C_1$ - C_{12} alkyl)-substituted amino, C_2 - C_{12} alkylamido, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl, including substituted analogs thereof for those substituents that permit substitution. Within the aforementioned substituents, preferred R^2 , R^6 , and R^{6A} moieties are halo, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, C_2 - C_{12} alkoxycarbonyl, C_2 - C_{12} alkylcarbonato, carbamoyl, mono- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl. In more preferred compounds, at least one of R^2 , R^6 , and R^{6A} is C_2 - C_{12} alkoxycarbonyl or C_2 - C_{12} alkylcarbonato. Preferred R^{11} , R^{12} , and R^{12A} moieties include, without limitation, hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxycarbonyl, amino-substituted C_1 - C_{12} alkyl, $(C_1$ - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di- $(C_1$ - C_{12} alkyl)amino-substituted C_1 - C_{12} alkyl.

[000107] Preferred R^{22} and R^{23} substituents in structures (IV) and (IVa) are selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxycarbonyl, amino-substituted C_1 - C_{24} alkyl, $(C_1$ - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, di- $(C_1$ - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl, C_5 - C_{20} aryl,

C₆-C₂₄ alkaryl, and C₆-C₂₄ aralkyl, and more preferred R²² and R²³ substituents in structures (II) and (IIa) include hydrogen, C₁-C₁₂ alkyl, C₁-C₁₂ alkoxy, and C₂-C₁₂ alkoxycarbonyl.

[000108] In particularly preferred compounds of formula (IVa), R², R⁶, R^{6A}, R²², and R²³ are independently hydrogen or C₂-C₆ alkoxycarbonyl, and R¹¹, R¹², and R^{12A} are independently hydrogen, or C₁-C₆ alkyl. Optimally, R², R⁶, R^{6A}, R²², and R²³ are hydrogen or ethoxycarbonyl (-CO-O-CH₂CH₃).

[000109] Examples of specific compounds encompassed by formula (IV) include, without limitation:

2-(2-Carbethoxy-indol-3-ylmethyl)-2'-carbethoxy-3,3'-diindolylmethane (75);

2-(5-Bromo-indol-3-ylmethyl)-5,5'-dibromo-3,3'-diindolylmethane (76); and

2-(5-Carbethoxy-indol-3-ylmethyl)-5,5'-dicarbethoxy-3,3'-diindolylmethane (79).

[000110] A compound of the invention may be administered in the form of a salt, ester, amide, prodrug, active metabolite, analog, or the like, provided that the salt, ester, amide, prodrug, active metabolite or analog is pharmaceutically acceptable and pharmacologically active in the present context. Salts, esters, amides, prodrugs, active metabolites, analogs, and other derivatives of the active agents may be prepared using standard procedures known to those skilled in the art of synthetic organic chemistry and described, for example, by J. March, *Advanced Organic Chemistry: Reactions, Mechanisms and Structure*, 4th Ed. (New York: Wiley-Interscience, 1992).

[000111] For example, acid addition salts may be prepared from a free base (e.g., a compound containing a primary amino group) using conventional methodology involving reaction of the free base with an acid. Suitable acids for preparing acid addition salts include both organic acids, e.g., acetic acid, propionic acid, glycolic acid, pyruvic acid, oxalic acid, malic acid, malonic acid, succinic acid, maleic acid, fumaric acid, tartaric acid, citric acid, benzoic acid, cinnamic acid, mandelic acid, methanesulfonic acid, ethanesulfonic acid, p-toluenesulfonic acid, salicylic acid, and the like, as well as inorganic acids, e.g., hydrochloric acid, hydrobromic acid, sulfuric acid, nitric acid, phosphoric acid, and the like. An acid addition salt may be reconverted to the free base by treatment with a suitable base. Conversely, preparation of basic salts of any acidic moieties that may be present may be carried out in a similar manner using a pharmaceutically acceptable base such as sodium hydroxide, potassium hydroxide, ammonium hydroxide, calcium hydroxide, trimethylamine, or the like.

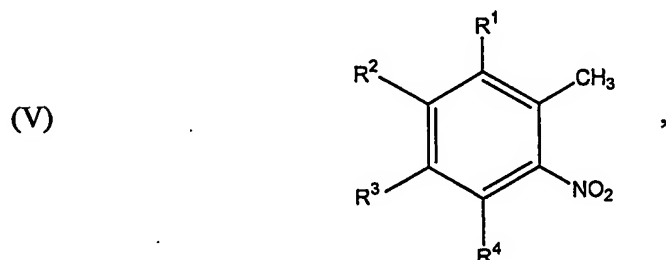
Preparation of esters involves reaction of a hydroxyl group with an esterification reagent such as an acid chloride. Amides may be prepared from esters, using suitable amine reactants, or they may be prepared from an anhydride or an acid chloride by reaction with ammonia or a lower alkyl amine.

Prodrugs, conjugates, and active metabolites may also be prepared using techniques known to those skilled in the art or described in the pertinent literature. Prodrugs and conjugates are typically prepared by covalent attachment of a moiety that results in a compound that is therapeutically inactive until modified by an individual's metabolic system.

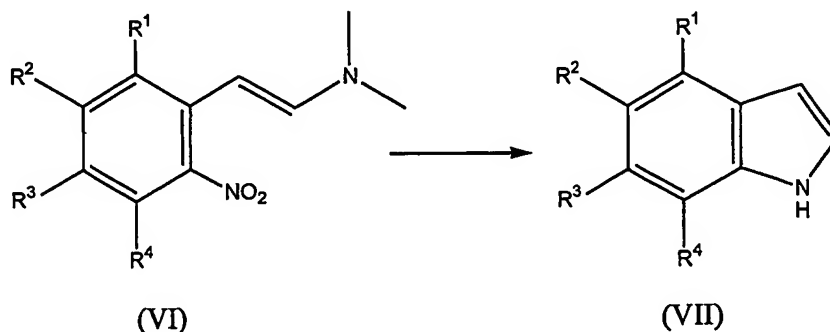
[000112] In addition, those novel compounds containing chiral centers can be in the form of a single enantiomer or as a racemic mixture of enantiomers. In some cases, i.e., with regard to certain specific compounds illustrated herein, chirality (i.e., relative stereochemistry) is indicated. In other cases, it is not, and such structures are intended to encompass both the enantiomerically pure form of the compound shown as well as a racemic mixture of enantiomers. Preparation of compounds in enantiomerically form may be carried out using an enantioselective synthesis; alternatively, the enantiomers of a chiral compound obtained in the form of the racemate may be separated post-synthesis, using routine methodology.

[000113] Other derivatives and analogs of the active agents may be prepared using standard techniques known to those skilled in the art of synthetic organic chemistry, or may be deduced by reference to the pertinent literature.

[000114] The compounds of the invention may be readily synthesized using straightforward techniques, from appropriately substituted indoles that serve as starting materials (Moyer et al. (1986) *J. Org. Chem.* 51: 5106-5110). Indole precursors used to synthesize the compounds of the invention may be prepared using conventional techniques, such as by treatment of nitrotoluene (V)

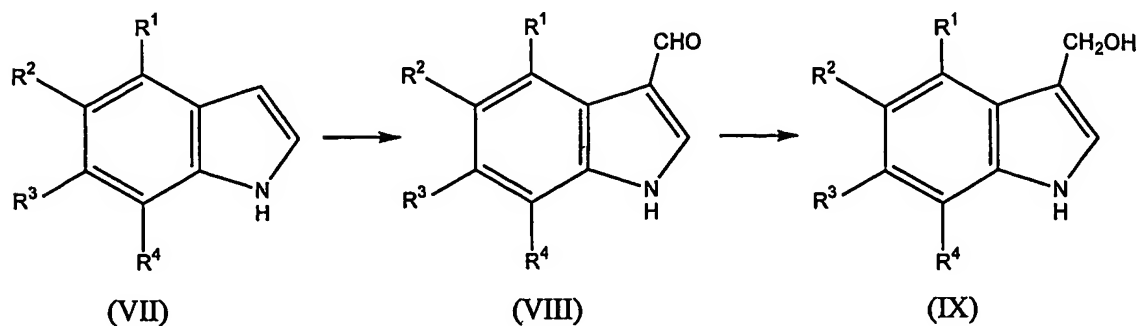


with N,N-dimethylformamide dimethyl acetal and pyrrolidine, to give the intermediate enamine (VI), which can then be cyclized by reduction with zinc in acetic acid to give the indole (VII).

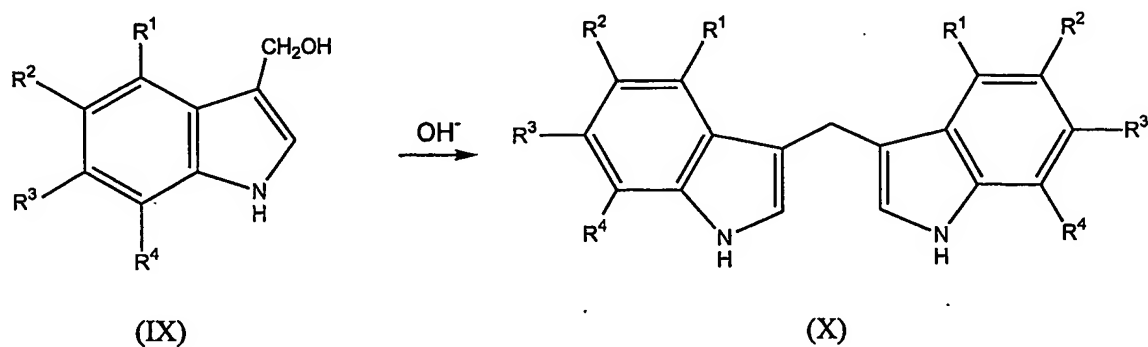


[000115] The indole precursor (VII), substituted with one or more substituents selected to result in the desired substituents on the product, is then appropriately treated to provide a reactive site capable of rendering the molecule able to self-condense. For example, precursor (VII) can be formylated (e.g., with phosphorus oxychloride and N,N-dimethylformamide) to give the aldehyde (IX), followed

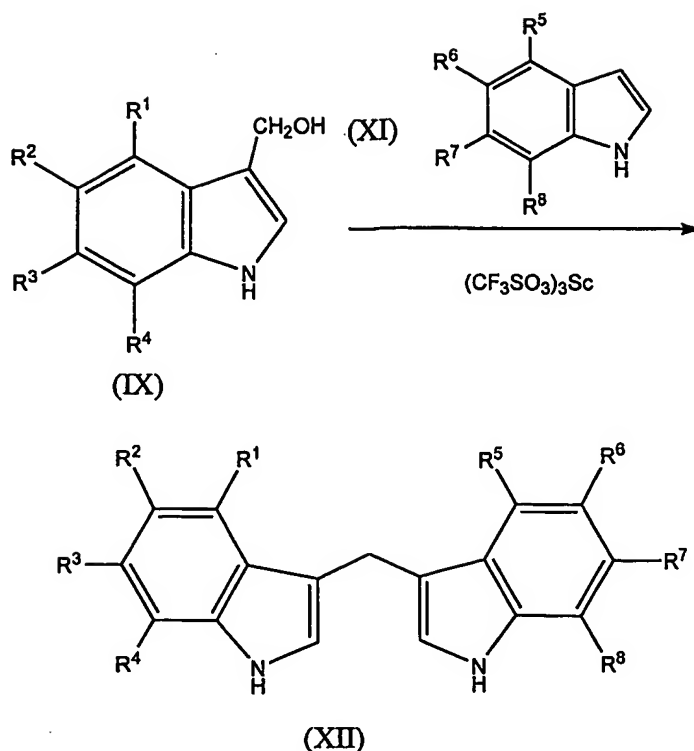
by reduction with a suitable reducing agent (e.g., sodium borohydride) to the 3-hydroxymethyl-indole analog (X), as follows:



[000116] Compound (IX) will then readily self-condense under aqueous basic conditions to give the substituted 3,3'-diindolylmethane (X):



[000117] Alternatively, compound (IX) may be condensed with a differently substituted indole analog to provide the substituted 3,3'-diindolylmethane (XII):



[000118] For example, the aforementioned reaction may be carried out with 5-bromo-3-hydroxymethylindole (compound (IX), wherein R^1 , R^3 , and R^4 are hydrogen and R^2 is bromo) and 5-bromoindole (compound (XI), wherein R^5 , R^7 , and R^8 are hydrogen and R^6 is bromo), to provide the 5,5'-dibromo analog of (XII). Various reactions may then be carried out to replace the bromine substituents with other moieties, for example, with:

[000119] carboxylic ester groups, introduced by reaction of a brominated indole analog (e.g., 5,5'-dibromo-3,3'-diindolylmethane) with an alkyl, aryl, or aralkyl chloroformate (e.g., ethyl chloroformate or benzylchloroformate), during which the nitrogen atoms are protected;

[000120] carboxyl groups, prepared by basic hydrolysis of the carboxylic ester groups;

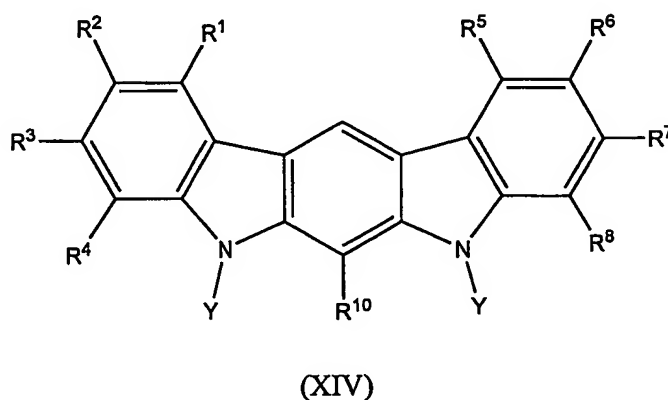
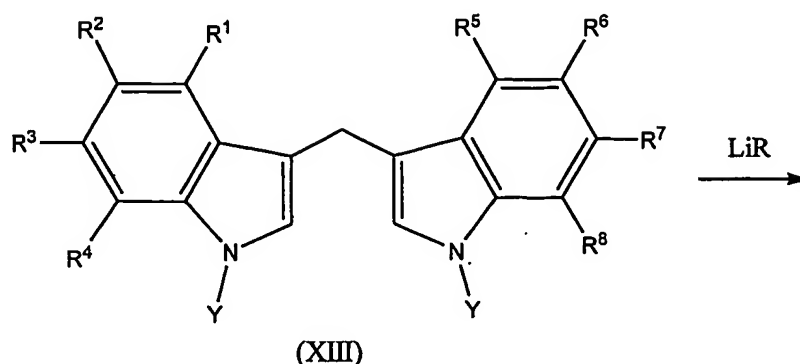
[000121] alkylsulfanyl (thioalkyl) groups, prepared by reaction of a brominated indole analog (e.g., 5,5'-dibromo-3,3'-diindolylmethane) with a disulfide, e.g., methyldisulfanyl methane;

[000122] alkylsulfonyl groups, prepared by oxidation of the alkylsulfanyl groups; and

[000123] amides, by reaction of a brominated indole analog (e.g., 5,5'-dibromo-3,3'-diindolylmethane) with a carbamyl halide (e.g., dimethylcarbamyl chloride).

[000124] The 3,3'-diindolylmethane analogs so prepared may then be used directly in the synthesis of compounds of formula (I), i.e., 5,7-dihydro-indolo[2,3-b]carbazoles. The reaction is carried out via cyclization of a 3,3'-diindolylmethane analog of formula (XII) by: (1) protecting the indolyl nitrogen atoms of a compound (XII) with a suitable amino protecting group, to provide an N-protected intermediate (XIII); and (2) treating the protected compound so provided with an organolithium

reagent LiR, optionally in conjunction with a compound selected to provide a nonhydrogen substituent R¹⁰:

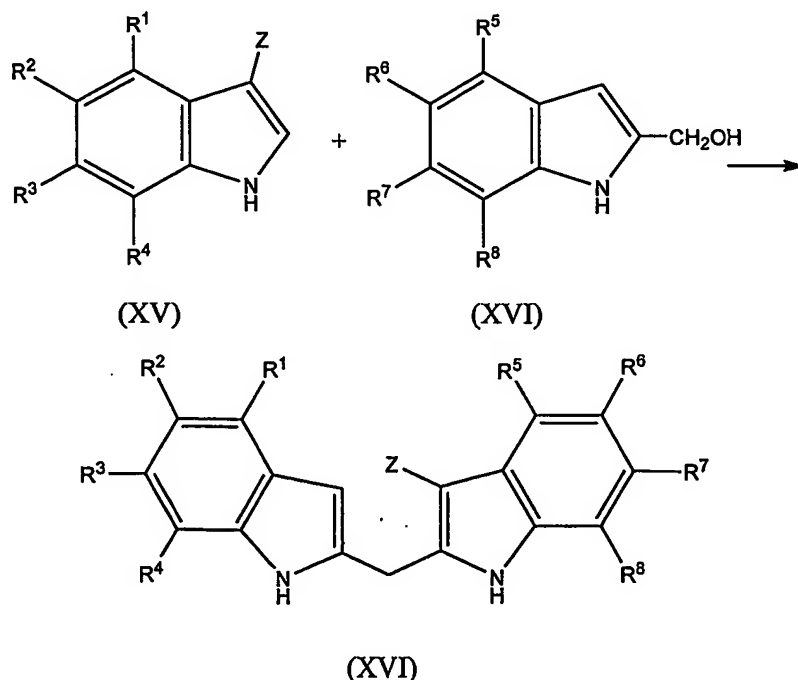


[000125] In compounds (XIII) and (XIV), Y is the amino protecting group, which may be any suitable protecting group that is inert with respect to the organolithium reagent but may be removed following synthesis of (XIV). Preferred amino protecting groups are carbamates, e.g., alkyl carbonates such as t-butyloxycarbonyl, or "BOC." Other suitable amino protecting groups will be known to those in the field of synthetic organic chemistry, and/or are described in the pertinent texts and literature. See, e.g., Greene et al., *Protective Groups in Organic Synthesis*, 3rd Ed. (New York: Wiley, 1999). The organolithium reagent LiR, as will be appreciated by those of ordinary skill in the art, may be an alkyl lithium reagent such as methyl lithium, isopropyl lithium, n-butyllithium, s-butyllithium, t-butyllithium, or the like, or an aryllithium lithium reagent, e.g., phenyl lithium or p-tolyl lithium, or a lithium amide reagent, e.g., lithium 2,2,6,6-tetramethylpiperidide (LiTMP) or lithium diisopropylamide.

[000126] The optional additional reactant selected to provide a non hydrogen R¹⁰ substituent as shown will depend, of course, on the particular R¹⁰ substituent intended. Examples of such reactants include, without limitation, anhydrides, acyl chlorides, alkyl and aryl carbonate, and alkyl and aryl chloroformates. For example, carrying out the reaction with LiTMP and an anhydride R-(CO)-O-(CO)-R, wherein R is alkyl, substituted alkyl, aryl, etc., will result in the substituent R at the R¹⁰

position. See Examples 20 and 21, describing preparation of 6-methyl-indolo[2,3-b]carbazole and a 6-perfluoroalkyl-substituted (i.e., 6-heptafluoropropyl-substituted) 5,7-dihydro-indolo[2,3-b]carbazole, respectively. As another example, carrying out the reaction with LiTMP and an alkyl chloroformate, such as ethyl chloroformate, will provide an alkylcarbonato, e.g., an ethylcarbonato, substituent $-O-(CO)-O-CH_2CH_3$ at R^{10} . See Example 18, describing preparation of 2,10-dicarbethoxy-6-ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-b]carbazole. The procedure described therein can also generate the 6-hydroxyl and 6-alkoxy analogs, by addition of an acid, e.g., acetic acid, at low temperature (to provide the 6-hydroxyl analog), followed by alkylation using standard procedures (see Example 22).

[000127] Compounds of formula (II) herein, i.e., 2,2'-diindolylmethane analogs, are synthesized using procedures that are analogous to those described above with respect to synthesis of 3,3'-diindolylmethane analogs. However, in the synthesis of 2,2'-diindolylmethane analogs, the C3-position of the indole precursor is blocked to enable reaction at the less active (C2) site. The reaction, illustrated below with the blocking group identified as "Z," may be represented as follows:



Z is preferably selected so as to be readily removable from the product (XVI); an ideal blocking group is methylthio or bromo, which can be removed by reductive elimination using a suitable catalyst.

[000128] Compounds of formula (III), i.e., 2,3'-diindolylmethane analogs, are also made by coupling two appropriately substituted indolyl precursors, the first of which has the structure of formula (IX), and the second of which has the structure of formula (XV), such that the linkage in the product is provided between the C3-position of the indole precursor (IX) and the C2-position of the C3-"blocked" indole precursor (XV). Appropriate reagents and reaction conditions are analogous to

those described above with respect to synthesis of the 3,3'-diindolylmethane and 2,2'-diindolylmethane analogs. Specific procedures for preparing 2,3'-diindolylmethane analogs are described in Examples 11 and 15.

[000129] Compounds of formula (IV) may be synthesized by reaction of an indolyl precursor having the structure of formula (IX) with a second indolyl precursor that is unsubstituted at both the C2 and C3 positions, which results in reaction at both sites. Illustrative reactions are described in detail in Examples 23 and 24.

III. PHARMACEUTICAL FORMULATIONS AND MODES OF ADMINISTRATION

[000130] The novel compounds may be conveniently formulated into pharmaceutical formulations composed of one or more of the compounds in association with a pharmaceutically acceptable carrier. See *Remington: The Science and Practice of Pharmacy*, 19th Ed. (Easton, Pa.: Mack Publishing Co., 1995), which discloses typical carriers and conventional methods of preparing pharmaceutical formulations.

[000131] The compounds of the invention may be administered orally, parenterally, rectally, vaginally, buccally, sublingually, nasally, by inhalation, topically, transdermally, or via an implanted reservoir in dosage forms containing conventional non-toxic pharmaceutically acceptable carriers and excipients. The term "parenteral" as used herein is intended to include subcutaneous, intravenous, and intramuscular injection. The amount of the compound administered will, of course, be dependent on the particular active agent, the condition or disorder being treated, the severity of the condition or disorder, the subject's weight, the mode of administration and other pertinent factors known to the prescribing physician. Generally, however, dosage will be in the range of approximately 0.001 mg/kg/day to 100 mg/kg/day, more preferably in the range of about 0.1 mg/kg/day to 10 mg/kg/day.

[000132] Depending on the intended mode of administration, the pharmaceutical formulation may be a solid, semi-solid or liquid, such as, for example, a tablet, a capsule, caplets, a liquid, a suspension, an emulsion, a suppository, granules, pellets, beads, a powder, or the like, preferably in unit dosage form suitable for single administration of a precise dosage. Suitable pharmaceutical compositions and dosage forms may be prepared using conventional methods known to those in the field of pharmaceutical formulation and described in the pertinent texts and literature, e.g., in *Remington: The Science and Practice of Pharmacy*, cited above.

[000133] For those compounds that are orally active, oral dosage forms are generally preferred, and include tablets, capsules, caplets, solutions, suspensions and syrups, and may also comprise a plurality of granules, beads, powders or pellets that may or may not be encapsulated. Preferred oral dosage forms are tablets and capsules.

[000134] Tablets may be manufactured using standard tablet processing procedures and equipment. Direct compression and granulation techniques are preferred. In addition to the active agent, tablets

will generally contain inactive, pharmaceutically acceptable carrier materials such as binders, lubricants, disintegrants, fillers, stabilizers, surfactants, coloring agents, and the like. Binders are used to impart cohesive qualities to a tablet, and thus ensure that the tablet remains intact. Suitable binder materials include, but are not limited to, starch (including corn starch and pregelatinized starch), gelatin, sugars (including sucrose, glucose, dextrose, and lactose), polyethylene glycol, waxes, and natural and synthetic gums, e.g., acacia sodium alginate, polyvinylpyrrolidone, cellulosic polymers (including hydroxypropyl cellulose, hydroxypropyl methylcellulose, methyl cellulose, microcrystalline cellulose, ethyl cellulose, hydroxyethyl cellulose, and the like), and Veegum.

Lubricants are used to facilitate tablet manufacture, promoting powder flow and preventing particle capping (i.e., particle breakage) when pressure is relieved. Useful lubricants are magnesium stearate, calcium stearate, and stearic acid. Disintegrants are used to facilitate disintegration of the tablet, and are generally starches, clays, celluloses, algin, gums, or crosslinked polymers. Fillers include, for example, materials such as silicon dioxide, titanium dioxide, alumina, talc, kaolin, powdered cellulose, and microcrystalline cellulose, as well as soluble materials such as mannitol, urea, sucrose, lactose, dextrose, sodium chloride, and sorbitol. Stabilizers, as well known in the art, are used to inhibit or retard drug decomposition reactions that include, by way of example, oxidative reactions.

[000135] Capsules are also preferred oral dosage forms, in which case the active agent-containing composition may be encapsulated in the form of a liquid or solid (including particulates such as granules, beads, powders or pellets). Suitable capsules may be either hard or soft, and are generally made of gelatin, starch, or a cellulosic material, with gelatin capsules preferred. Two-piece hard gelatin capsules are preferably sealed, such as with gelatin bands or the like. See, for example, *Remington: The Science and Practice of Pharmacy*, cited *supra*, which describes materials and methods for preparing encapsulated pharmaceuticals.

[000136] Oral dosage forms, whether tablets, capsules, caplets, or particulates, may, if desired, be formulated so as to provide for gradual, sustained release of the active agent over an extended time period. Generally, as will be appreciated by those of ordinary skill in the art, sustained release dosage forms are formulated by dispersing the active agent within a matrix of a gradually hydrolyzable material such as an insoluble plastic (e.g., polyvinyl chloride or polyethylene), or a hydrophilic polymer, or by coating a solid, drug-containing dosage form with such a material. Hydrophilic polymers useful for providing a sustained release coating or matrix include, by way of example: cellulosic polymers such as hydroxypropyl cellulose, hydroxyethyl cellulose, hydroxypropyl methyl cellulose, methyl cellulose, ethyl cellulose, cellulose acetate, and carboxymethylcellulose sodium; acrylic acid polymers and copolymers, preferably formed from acrylic acid, methacrylic acid, acrylic acid alkyl esters, methacrylic acid alkyl esters, and the like, e.g. copolymers of acrylic acid, methacrylic acid, methyl acrylate, ethyl acrylate, methyl methacrylate and/or ethyl methacrylate; and

vinyl polymers and copolymers such as polyvinyl pyrrolidone, polyvinyl acetate, and ethylene-vinyl acetate copolymer.

[000137] Preparations according to this invention for parenteral administration include sterile nonaqueous solutions, suspensions, and emulsions. Examples of nonaqueous solvents or vehicles are propylene glycol, polyethylene glycol, vegetable oils, such as olive oil and corn oil, gelatin, and injectable organic esters such as ethyl oleate. Parenteral formulations may also contain adjuvants such as preserving, wetting, emulsifying, and dispersing agents. The formulations are rendered sterile by incorporation of a sterilizing agent, filtration through a bacteria-retaining filter, irradiation, or heat. They can also be manufactured using a sterile injectable medium.

[000138] The compounds of the invention may also be administered through the skin or mucosal tissue using conventional transdermal drug delivery systems, wherein the active agent is contained within a laminated structure that serves as a drug delivery device to be affixed to the skin. In such a structure, the drug composition is contained in a layer, or "reservoir," underlying an upper backing layer. The laminated structure may contain a single reservoir, or it may contain multiple reservoirs. In one embodiment, the reservoir comprises a polymeric matrix of a pharmaceutically acceptable contact adhesive material that serves to affix the system to the skin during drug delivery.

Alternatively, the drug-containing reservoir and skin contact adhesive are present as separate and distinct layers, with the adhesive underlying the reservoir which, in this case, may be either a polymeric matrix as described above, or it may be a liquid or hydrogel reservoir, or may take some other form. Transdermal drug delivery systems may in addition contain a skin permeation enhancer.

[000139] Although the present compositions will generally be administered orally, parenterally, or transdermally, other modes of administration are suitable as well. For example, administration may be rectal or vaginal, preferably using a suppository that contains, in addition to the active agent, excipients such as cocoa butter or a suppository wax. Formulations for nasal or sublingual administration are also prepared with standard excipients well known in the art. The pharmaceutical compositions of the invention may also be formulated for inhalation, e.g., as a solution in saline, as a dry powder, or as an aerosol.

[000140] The compounds of the invention are useful in the prevention and treatment of many different types of cancer, including estrogen-dependent, estrogen-independent, drug-resistant and/or metastasized cancers. For example, the present compounds exhibit efficacy with respect to the prevention and treatment of estrogen-dependent cancers such as cancers of the breast, cervix, uterus, ovaries, and endometrium, and additionally exhibit prophylactic as well as therapeutic utility with regard to cancers that are not estrogen-dependent, including, without limitation, cancers of the prostate, liver, lung, colon, and pancreas, including drug-resistant forms of these cancers. Efficacy against drug-resistant cancers represents an important advance in the art, as a major problem affecting the efficacy of chemotherapy regimens is the evolution of cancer cells that, upon exposure to a

chemotherapeutic drug, become resistant to a multitude of structurally unrelated drugs and therapeutic agents.

[000141] Generally, in chemoprevention, the patient will have been identified as being at an elevated risk of developing cancer. Such patients include, for example, those with a family history of cancer or a particular type of cancer, as well as those who have undergone genetic analysis and thereby determined to be genetically predisposed to develop cancer or a particular type of cancer. The compounds can also be used as adjuvant chemotherapeutics to prevent cancer recurrence in cancer survivors.

[000142] The compounds of the invention are also useful in the prevention and treatment of viral infections, including DNA viruses (such as the adenovirus, papillomavirus, and herpesvirus groups) as well as retroviral infections. Specific examples of DNA viruses include hepatitis B virus, SV 40, individual human papillomavirus species and, individual equine, feline, canine, simian, murine, avian, and human herpes virus species, which include human herpesvirus 1-8 (HHV-1 - HHV-8). Retroviruses include, by way of example, the human spumavirus, mouse mammary tumor virus, avian leukosis virus, murine leukemia virus, rous sarcoma virus, feline leukemia virus (FELV), feline immunodeficiency virus (FIV), simian immunodeficiency virus (SIV), hepatitis C virus, human T cell leukemia species (HTLV1, 2), HIV-1, and HIV-2.

[000143] The compounds are additionally useful in the prevention and treatment of a number of estrogen-dependent disorders other than estrogen-dependent cancers. By an "estrogen-dependent disorder" is meant a condition or disease that is estrogen-induced or estrogen-stimulated. Estrogen-dependent disorders other than cancer that can be treated with the compounds of the invention include galactorrhea, McCune-Albright syndrome, benign breast disease, and endometriosis.

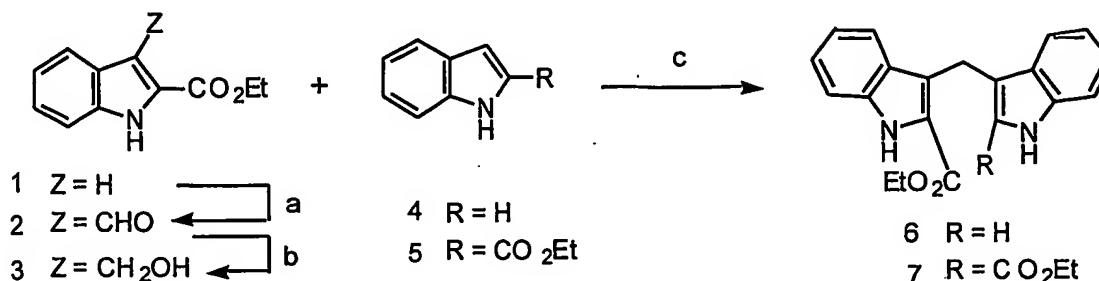
[000144] It is to be understood that while the invention has been described in conjunction with the preferred specific embodiments thereof, the description above as well as the examples that follow are intended to illustrate and not limit the scope of the invention. Other aspects, advantages and modifications within the scope of the invention will be apparent to those skilled in the art to which the invention pertains.

EXPERIMENTAL

[000145] ^1H and ^{13}C NMR spectra were recorded on a Varian Gemini 300 MHz spectrometer (300 MHz and 75 MHz, respectively) and are internally referenced to chloroform at δ 7.27. Data for ^1H NMR are reported as follows: chemical shift (δ ppm), multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constant (Hz), integration, and assignment. Data for ^{13}C are reported in terms of chemical shift. IR spectra were recorded on a Perkin-Elmer 1610 spectrometer and are reported in terms of frequency of absorption (cm^{-1}). Mass spectra were obtained using a

ThermoFinnigan LCQ Duo LC/MS/MS instrument and an electrospray ionization probe. Thin-layer chromatography was run on Analtech Uniplat silica gel TLC plates.

[000146] Scheme I illustrates the reactions of Examples 1 and 2:



SCHEME I: a. N-methyl formanilide, POCl₃, aq. CH₃CO₂Na. b. NaBH₄. c. (CF₃SO₃)₃Sc.

EXAMPLE 1

SYNTHESIS OF 2-CARBETHOXY-3,3'-DIINDOLYLMETHANE (6)

[000147] (a) **Ethyl 3-formyl-indole-2-carboxylate (2)**. To a mixture of N-methyl formanilide (4.2 g, 31 mmol) and phosphorus oxychloride (POCl₃; 2.9 mL, 30.7 mmol) under argon was added ethylene dichloride (16 mL) and ethyl indole-2-carboxylate (1) (5.0 g, 26.4 mmol), and refluxed for 1.5 h. The reaction mixture was cooled to room temperature, poured into saturated aqueous sodium acetate (CH₃CO₂Na), and the precipitate collected by filtration, washed with H₂O, ether and dried under vacuum overnight to give the desired product 2 as a solid in quantitative yield (6.0 g): ¹H NMR (300 MHz, CDCl₃) δ 1.48 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 4.54 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 7.36 (m, 1, ArH), 7.45 (m, 2, ArH), 8.49 (d, *J* = 8.2 Hz, 1, ArH), 9.33 (br.s, 1, NH), 10.77 (s, 1, CHO).

[000148] (b) **Ethyl 3-hydroxymethyl-indole-2-carboxylate (3)**. To a solution of aldehyde 2 (0.85 g, 3.9 mmol) in tetrahydrofuran (THF) (20 mL) was added sodium borohydride (NaBH₄) (0.2 g, 5.3 mmol) slowly at room temperature and stirred for 1 h under argon. The suspension was quenched slowly with water and the organic layer was separated, dried over magnesium sulfate, and concentrated to afford a solid 3 in quantitative yield (0.86 g): ¹H NMR (300 MHz, CDCl₃) δ 1.45 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 4.46 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 5.10 (s, 2, CH₂OH), 7.19 (m, 1, ArH), 7.37 (m, 2, ArH), 7.78 (m, 1, ArH), 8.83 (br.s, 1, NH).

[000149] (c) **2-Carbethoxy-3,3'-diindolylmethane (6)**. To a mixture of ethyl 3-hydroxymethyl-indole-2-carboxylate (3) (1 g, 4.56 mmol) and indole (4) (0.6 g, 5.12 mmol) in CH₂Cl₂ (10 mL) was added (CF₃SO₃)₃Sc (0.2 g) and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (20% EtOAc/hexane) yielded 6 as a white solid (0.95 g, 65%): ¹H NMR (300 MHz, CDCl₃) δ 1.35 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 4.41 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 4.64 (s, 2, CH₂), 6.80 (m, 1, PyH), 7.06 (m, 1, ArH), 7.11 (m, 1, ArH), 7.18 (m, 1,

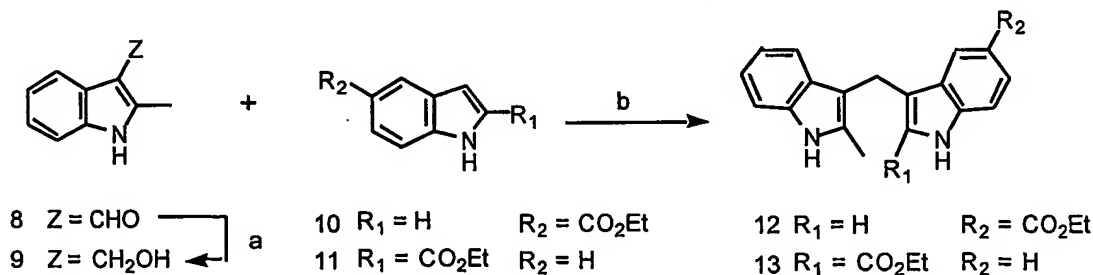
ArH), 7.31 (m, 2, ArH), 7.38 (m, 1, ArH), 7.66 (m, 1, ArH), 7.74 (m, 1, ArH), 7.85 (br.s, 1, NH), 8.76 (br.s, 1, NH).

EXAMPLE 2

SYNTHESIS OF 2,2'-DICARBETHOXY-3,3'-DIINDOLYLMETHANE (7)

2,2'-Dicarbethoxy-3,3'-diindolylmethane (7). To a mixture of 3-hydroxymethyl-indole-2-carboxylate (3) (0.4 g, 1.82 mmol) and ethyl indole-2-carboxylate (5) (0.42 g, 2.2 mmol) in CH_2Cl_2 (10 mL) was added $(\text{CF}_3\text{SO}_3)_3\text{Sc}$ (0.09 g) and stirred for overnight under argon. The solvent was evaporated to give a crude product, which was washed with H_2O ; ether and dried on vacuum overnight to yield the desired product 7 as a white solid (0.67 g, 94%): ^1H NMR (300 MHz, CDCl_3) δ 1.42 (t, $J = 7.1$ Hz, 6, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.48 (q, $J = 7.1$ Hz, 4, $\text{CO}_2\text{CH}_2\text{CH}_3$), 5.15 (s, 2, CH_2), 6.91 (m, 2, ArH), 7.21 (m, 2, ArH), 7.33 (m, 2, ArH), 7.43 (m, 2, ArH), 8.75 (br.s, 2, NH).

[000150] Scheme II illustrates the reactions described in Examples 3 and 4:



Scheme II. a. NaBH_4 . b. $(\text{CF}_3\text{SO}_3)_3\text{Sc}$.

EXAMPLE 3

SYNTHESIS OF 5-CARBETHOXY-2'-METHYL-3,3'-DIINDOLYLMETHANE (12)

[000151] (a) **2-Methylindole-3-carbinol (9).** To a solution of aldehyde 8 (2 g, 12.56 mmol) in wet THF (30 mL) was added NaBH_4 (0.71 g, 18.8 mmol) and stirred at room temperature for 1 h under argon. The suspension was quenched slowly with water and the organic layer was separated, dried (MgSO_4) and concentrated to afford 9 as a solid (1.96 g, 97%): ^1H NMR (300 MHz, CDCl_3) δ 2.46 (s, 3, CH_3), 4.84 (s, 2, CH_2OH), 7.15 (m, 2, ArH), 7.29 (m, 1, ArH), 7.65 (m, 1, ArH), 7.92 (br.s, 1, NH).

[000152] (b) **5-Carbethoxy-2'-methyl-3,3'-diindolylmethane (12).** To a mixture of 2-methylindole-3-carbinol (9) (0.18 g, 1.12 mmol) and ethyl indole-5-carboxylate (10) (0.21 g, 1.1 mmol) in CH_2Cl_2 (5 mL) was added $(\text{CF}_3\text{SO}_3)_3\text{Sc}$ (0.05 g) and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (30% EtOAc/hexane) yielded 12 as a white solid (0.32 g, 87%): ^1H NMR (300 MHz, CDCl_3) δ 1.43 (t, $J = 7.1$ Hz, 3, $\text{CO}_2\text{CH}_2\text{CH}_3$),

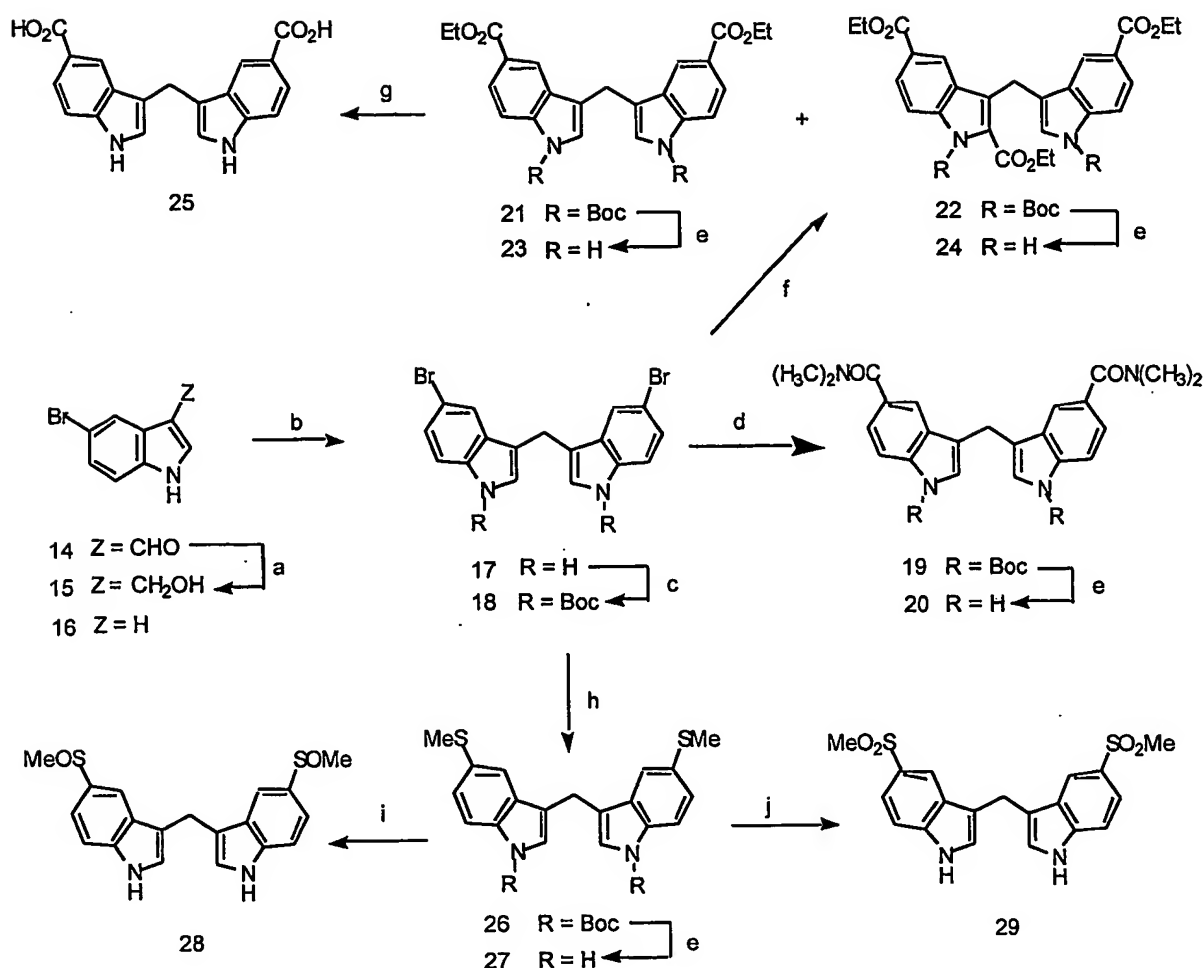
2.43 (s, 3, CH₃), 4.19 (s, 2, CH₂), 4.41 (q, $J = 7.1$ Hz, 2, CO₂CH₂CH₃), 6.76 (s, 1, PyH), 7.02 (m, 1, ArH), 7.11 (m, 1, ArH), 7.30 (m, 2, ArH), 7.44 (d, $J = 7.8$ Hz, 1, ArH), 7.82 (br.s, 1, NH), 7.91 (dd, $J = 1.6, 8.7$ Hz, 1, ArH), 8.01 (br.s, 1, NH), 8.48 (d, $J = 1.6$ Hz, 1, ArH).

EXAMPLE 4

SYNTHESIS OF 2-CARBETHOXY-2'-METHYL-3,3'-DIINDOLYLMETHANE (13)

[000153] 2-Carbethoxy-2'-methyl-3,3'-diindolylmethane (13). To a mixture of 2-methylindole-3-carbinol (9) (0.18 g, 1.12 mmol) and ethyl indole-2-carboxylate (11) (0.21 g, 1.1 mmol) in CH₂Cl₂ (5 mL) was added (CF₃SO₃)₃Sc (0.025 g) and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (20% EtOAc/hexane) yielded 13 as a white solid (0.27 g, 73%): ¹H NMR (300 MHz, CDCl₃) δ 1.42 (t, $J = 7.1$ Hz, 3, CO₂CH₂CH₃), 2.35 (s, 3, CH₃), 4.48 (q, $J = 7.1$ Hz, 2, CO₂CH₂CH₃), 4.62 (s, 2, CH₂), 6.91 (m, 1, ArH), 6.99 (m, 1, ArH), 7.07 (m, 1, ArH), 7.22 (m, 1, ArH), 7.33 (m, 1, ArH), 7.39 (m, 1, ArH), 7.48 (m, 1, ArH), 7.74 (br.s, 1, NH), 8.77 (br.s, 1, NH).

[000154] Scheme III illustrates the reactions of Examples 5-10.



Scheme III. a. NaBH₄. b. (CF₃SO₃)₃Sc. c. (*t*-BuOCO)₂O, DMAP, THF. d. *t*-BuLi, ClCON(CH₃)₂. e. 160 °C. f. *t*-BuLi, ClCO₂CH₂CH₃. g. NaOH, CH₃CH₂OH/H₂O. h. *t*-BuLi, CH₃SSCH₃. i. 3-ClC₆H₄CO₃H (2.1 eq.). j. 3-ClC₆H₄CO₃H (4.2 eq.).

EXAMPLE 5

SYNTHESIS OF 5,5'-BIS(N,N-DIMETHYLCARBAMOYL)-3,3'-DIINDOLYLMETHANE (20)

[000155] (a) **5-Bromoindole-3-carbinol (15)**. To a solution of aldehyde 14 (10 g, 44.63 mmol) in THF (100 mL) was added NaBH₄ (2.0 g, 52.9 mmol) slowly at 0 °C under argon and warmed to room temperature for 1 h. The suspension was quenched slowly with water and the organic layer was separated, dried (MgSO₄) and concentrated to afford a solid (9.9 g, 98%): ¹H NMR (300 MHz, CDCl₃) δ 1.47 (t, *J* = 5.5 Hz, 1, CH₂OH), 4.85 (d, *J* = 5.5 Hz, 2, CH₂OH), 7.26 (m, 3, ArH and PyH), 7.88 (d, *J* = 1.8 Hz, 1, ArH), 8.11 (br.s, 1, NH).

[000156] (b) **5,5'-Dibromo-3,3'-diindolylmethane (17)**. To a mixture of 5-bromoindole-3-carbinol (15) (7.76 g, 34.34 mmol) and 5-bromoindole (16) (6.73 g, 34.34 mmol) in CH₂Cl₂ (65 mL) was added (CF₃SO₃)₃Sc (1.0 g, 2.03 mmol) and stirred for overnight under argon. The solvent was

evaporated to give a crude product. Flash chromatography (20% EtOAc/hexane) yielded **17** as a white solid (11.2 g, 81%): ^1H NMR (300 MHz, CDCl_3) δ 4.14 (s, 2, CH_2), 6.96 (m, 2, PyH), 7.24 (d, $J = 8.0$ Hz, 2, ArH), 7.28 (dd, $J = 1.5, 8.0$ Hz, 2, ArH), 7.71 (d, $J = 1.5$ Hz, 2, ArH), 7.97 (br.s, 2, NH).

[000157] (c) **1,1'-DiBOC-5,5'-dibromo-3,3'-diindolylmethane (18)**. To a solution of 5,5'-dibromo-3,3'-diindolylmethane (**17**) (7.3 g, 18.06 mmol) and (*t*-BuOOC) $_2$ O (8.7 g, 39.8 mmol) in THF (100 mL) was added a catalytic amount of dimethylaminopyridine (DMAP) and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (5% EtOAc/hexane) yielded **18** as a white solid (10.3 g, 94%): ^1H NMR (300 MHz, CDCl_3) δ 1.65 (s, 18, $\text{OC}(\text{CH}_3)_3$), 4.00 (s, 2, CH_2), 7.34 (s, 2, PyH), 7.42 (dd, $J = 2.0, 8.2$ Hz, 2, ArH), 7.63 (d, $J = 2.0$ Hz, 2, ArH), 8.01 (d, $J = 8.2$ Hz, 2, ArH).

[000158] (d) **1,1'-DiBOC-5,5'-bis(N,N-dimethylcarbamoyl)-3,3'-diindolylmethane (19)**. To a solution of 1.7 M *t*-BuLi in pentane (4 mL, 6.8 mmol) in THF (20 mL) at -100°C under argon was added **18** (1.0 g, 1.66 mmol) in THF (5 mL), and stirred for 10 min. Dimethylcarbamyl chloride (2 mL) was added and the mixture was slowly warmed to -5°C and stirred for overnight. The reaction mixture was poured into saturated aqueous NaHCO_3 and extracted with 80% EtOAc/hexane. The combined organic extracts were dried (MgSO_4), filtered, and concentrated to afford a solid. Flash chromatography (EtOAc/hexane) yielded the desired compound **19** as a white solid (0.79 g, 81%): ^1H NMR (300 MHz, CDCl_3) δ 1.65 (s, 18, $\text{OC}(\text{CH}_3)_3$), 3.04 (br.s, 12, $\text{N}(\text{CH}_3)_2$), 4.09 (s, 2, CH_2), 7.37 (m, 4, ArH), 7.59 (s, 1, ArH), 8.13 (m, 2, ArH).

[000159] (e) **5,5'-Bis(N,N-dimethylcarbamoyl)-3,3'-diindolylmethane (20)**. **19** (0.79 g, 1.34 mmol) was heated to 160°C for 5 min. Flash chromatography (EtOAc/hexane) yielded the desired compound **20** as a white solid (0.29 g, 94%): ^1H NMR (300 MHz, CDCl_3) δ 3.05 (br.s, 12, $\text{N}(\text{CH}_3)_2$), 3.98 (s, 2, CH_2), 6.41 (s, 2, PyH), 7.16 (dd, $J = 1.3, 8.3$ Hz, 2, ArH), 7.23 (d, $J = 8.3$ Hz, 2, ArH), 7.59 (d, $J = 1.3$ Hz, 2, ArH), 8.96 (br.s, 2, NH).

EXAMPLE 6**SYNTHESIS OF 5,5'-DICARBETHOXY-3,3'-DIINDOLYLMETHANE (23) AND 2,5,5'-TRICARBETHOXY-3,3'-DIINDOLYLMETHANE (24).**

[000160] 5,5'-Dicarbethoxy-3,3'-diindolylmethane (23) and 2,5,5'-Tricarbethoxy-3,3'-diindolylmethane (24). To a solution of 1.7 M *t*-BuLi in pentane (4.9 mL, 8.27 mmol) in THF (20 mL) at -100 °C under argon was added 18 (1.0 g, 1.66 mmol) in THF (5 mL), and stirred for 10 min. ClCO₂CH₂CH₃ (1 mL) was added to the solution and stirred for 20 min. The reaction mixture was poured into saturated aqueous NaHCO₃ and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a crude products of 1,1'-diBOC-5,5'-dicarbethoxy-3,3'-diindolylmethane 21 and 1,1'-diBOC-2,5,5'-tricarbethoxy-3,3'-diindolylmethane (22) (1.2 g), which was heated to 160 °C for 5 min. Flash chromatography (30% EtOAc/hexane) yielded 23 (0.32 g, 50%) and 24 (0.15 g, 20%) as a white solid: 5,5'-Dicarbethoxy-3,3'-diindolylmethane (23), ¹H NMR (300 MHz, CDCl₃) δ 1.39 (t, *J* = 7.1 Hz, 6, CO₂CH₂CH₃), 4.30 (s, 2, CH₂), 4.38 (q, *J* = 7.1 Hz, 4, CO₂CH₂CH₃), 7.00 (s, 2, PyH), 7.35 (d, *J* = 8.8 Hz, 2, ArH), 7.91 (dd, *J* = 1.6, 8.8 Hz, 2, ArH), 8.19 (br.s, 2, NH), 8.39 (d, *J* = 1.6 Hz, 2, ArH). 2,5,5'-Tricarbethoxy-3,3'-diindolylmethane (24), ¹H NMR (300 MHz, CDCl₃) δ 1.34 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 1.36 (t, *J* = 7.1 Hz, 6, CO₂CH₂CH₃), 1.42 (t, *J* = 7.1 Hz, 6, CO₂CH₂CH₃), 4.35 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 4.40 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 4.43 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 4.69 (s, 2, CH₂), 6.84 (s, 1, PyH), 7.33 (d, *J* = 8.7 Hz, 1, ArH), 7.41 (d, *J* = 8.7 Hz, 1, ArH), 7.91 (dd, *J* = 1.5, 8.7 Hz, 1, ArH), 8.00 (dd, *J* = 1.5, 8.7 Hz, 1, ArH), 8.07 (br.s, 1, NH), 8.43 (d, *J* = 1.5 Hz, 1, ArH), 8.52 (d, *J* = 1.5 Hz, 1, ArH), 8.95 (br.s, 1, NH).

EXAMPLE 7**SYNTHESIS OF 5,5'-DICARBOXY-3,3'-DIINDOLYLMETHANE (25)**

[000161] 5,5'-Dicarboxy-3,3'-diindolylmethane (25). To a suspension of the ester 23 (0.199 mmol) in 75% aq. EtOH (8 mL) was added one pellet of NaOH (~ 0.11 g), and the mixture was stirred at 70 °C for 1 h during which time the compound dissolved. The solution was cooled to room temperature, concentrated, added H₂O (5 mL), and then acidified with 1 N HCl. The white precipitate was filtered and dried under vacuum to afford 25 as a solid (0.11 g, 86%): ¹H NMR (300 MHz, CDCl₃) δ 4.27 (s, 2, CH₂), 7.08 (s, 2, PyH), 7.36 (d, *J* = 8.6 Hz, 2, ArH), 7.78 (dd, *J* = 1.5, 8.6 Hz, 2, ArH), 8.31 (d, *J* = 1.5 Hz, 2, ArH).

EXAMPLE 8**SYNTHESIS OF 5,5'-DIMETHYLTHIO-3,3'-DIINDOLYLMETHANE (27)**

[000162] 5,5'-Dimethylthio-3,3'-diindolylmethane (27). To a solution of 1.7 M *t*-BuLi in pentane (4.9 mL, 8.27 mmol) in THF (20 mL) at -100 °C under argon was added 18 (1.0 g, 1.66 mmol) in

THF (5 mL), and stirred for 10 min. Methyl disulfide (1 mL) was added and the mixture was slowly warmed to room temperature. The reaction mixture was poured into saturated aqueous NaHCO₃ and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a solid. Flash chromatography (5% EtOAc/hexane) yielded the desired compound **26** as a solid (0.5 g, 56%). Compound **26** was heated to 160 °C for 5 min, and the residue was subjected to chromatography (20% EtOAc/hexane) to give **27** as a solid (0.13 g, 63%): ¹H NMR (300 MHz, CDCl₃) δ 2.48 (s, 6, SCH₃), 4.20 (s, 2, CH₂), 6.95 (s, 2, PyH), 7.22 (dd, *J* = 1.7, 8.3 Hz, 2, ArH), 7.30 (d, *J* = 8.3 Hz, 2, ArH), 7.62 (d, *J* = 1.7 Hz, 2, ArH), 7.93 (br.s, 2, NH).

EXAMPLE 9

SYNTHESIS OF 5,5'-DIMETHYLSULFINYL-3,3'-DIINDOLYLMETHANE (28)

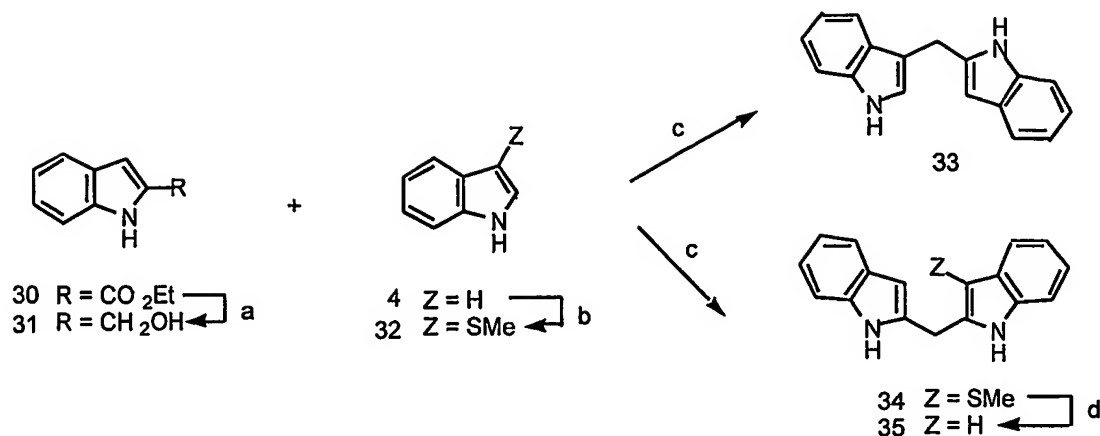
[000163] **5,5'-Dimethylsulfinyl-3,3'-diindolylmethane (28)**. To a solution of **27** (0.1 g, 0.29 mmol), in CH₂Cl₂ (5 mL) at room temperature under argon was added mCPBA (0.14 g, 0.6 mmol), and stirred for 10 min. The reaction mixture was directly subjected to flash chromatography (80% EtOAc/hexane) to yield **28** as a solid (0.08 g, 82%): ¹H NMR (300 MHz, CDCl₃) δ 3.08 (s, 6, SOCH₃), 4.28 (s, 2, CH₂), 7.10 (s, 2, PyH), 7.49 (d, *J* = 8.5 Hz, 2, ArH), 7.70 (d, *J* = 8.5 Hz, 2, ArH), 8.20 (s, 2, ArH), 8.55 (br.s, 2, NH).

EXAMPLE 10

SYNTHESIS OF 5,5'-DIMETHYLSULFONYL-3,3'-DIINDOLYLMETHANE (29)

[000164] **5,5'-Dimethylsulfonyl-3,3'-diindolylmethane (29)**. To a solution of **27** (0.05 g, 0.15 mmol), in CH₂Cl₂ (2 mL) at room temperature under argon was added mCPBA (0.14 g, 0.6 mmol), and stirred for 1 h. The reaction mixture was directly subjected to flash chromatography (10% EtOAc/hexane) to yield **29** as a solid (0.04 g, 70%): ¹H NMR (300 MHz, CDCl₃) δ 2.75 (s, 6, SO₂CH₃), 4.20 (s, 2, CH₂), 6.96 (s, 2, PyH), 7.40 (d, *J* = 8.6 Hz, 2, ArH), 7.46 (d, *J* = 8.6 Hz, 2, ArH), 7.93 (s, 2, ArH), 8.76 (br.s, 2, NH).

[000165] Scheme IV illustrates the reactions of Examples 11 and 12.



Scheme IV. a. LiAlH₄. b. NCS, (CH₃)₂S, CH₂Cl₂; Xylenes, reflux. c. (CF₃SO₃)₃Sc. d. Raney Ni, EtOH.

[000166] **General procedures used in Examples 14-24:** Presented below are the general methods used for the syntheses of indole analogs.

[000167] **(a) Boc Deprotection.** To a solution of Boc-protected indole (6.24 mmol) in CH₂Cl₂ (50 mL) was added CF₃CO₂H (10 mL) and stirred for overnight under argon. The solution was diluted with toluene (30 mL) and evaporated to give a solid, which was then subjected to recrystallization.

[000168] **(b) Halogen-metal exchange and nuclei addition.** To a solution of 1.7 M *t*-BuLi in pentane (22.5 mmol) in THF (70 mL) at -100 °C under argon was added N-protected dibromoindole (5.11 mmol) in THF (10 mL), and stirred for 10 min. Excess amount of ClCO₂CH₂CH₃ (5 mL) was added and the mixture was stirred for 20 min. The reaction mixture was poured into saturated aqueous NaHCO₃ and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO₄), filtered, and concentrated. The crude products were subjected to chromatography.

EXAMPLE 11

SYNTHESIS OF 2,3'-DIINDOLYLMETHANE (33)

[000169] **(a) Indole-2-carbinol (31).** To a solution of ester 30 (7.5 g, 39.64 mmol) in ether (100 mL) was added LiAlH₄ (2.3 g, 60.6 mmol) slowly at 0 °C under argon and warmed to room temperature for 1 h. The suspension was quenched with water and white precipitate was removed by filtration. The filtrate was dried (MgSO₄) and concentrated to afford 31 as a solid (5.75 g, 98%): ¹H NMR (300 MHz, CDCl₃) δ 4.82 (s, 2, CH₂OH), 6.41 (s, 1, PyH), 7.11 (m, 1, ArH), 7.20 (m, 1, ArH), 7.35 (d, *J* = 7.8 Hz, 1, ArH), 7.59 (d, *J* = 7.7 Hz, 1, ArH), 8.35 (br.s, 1, NH).

(b) 2,3'-Diindolylmethane (33). To a mixture of indole-2-carbinol (31) (0.5 g, 3.4 mmol) and indole (4) (0.4 g, 3.4 mmol) in CH₂Cl₂ (15 mL) was added (CF₃SO₃)₃Sc (0.17 g, 0.34 mmol) and stirred for 4 h under argon. The solvent was evaporated to give a crude product. Flash chromatography (20% EtOAc/hexane) yielded 33 as a white solid (0.52 g, 63%): ¹H NMR (300 MHz,

CDCl₃) δ 4.30 (s, 2, CH₂), 6.41 (s, 2, PyH), 7.03-7.14 (m, 4, ArH, PyH), 7.17-7.26 (m, 2, ArH), 7.39 (d, *J* = 8.2 Hz, 1, ArH), 7.55 (m, 1, ArH), 7.57 (m, 1, ArH), 7.85 (br.s, 1, NH), 7.99 (br.s, 1, NH).

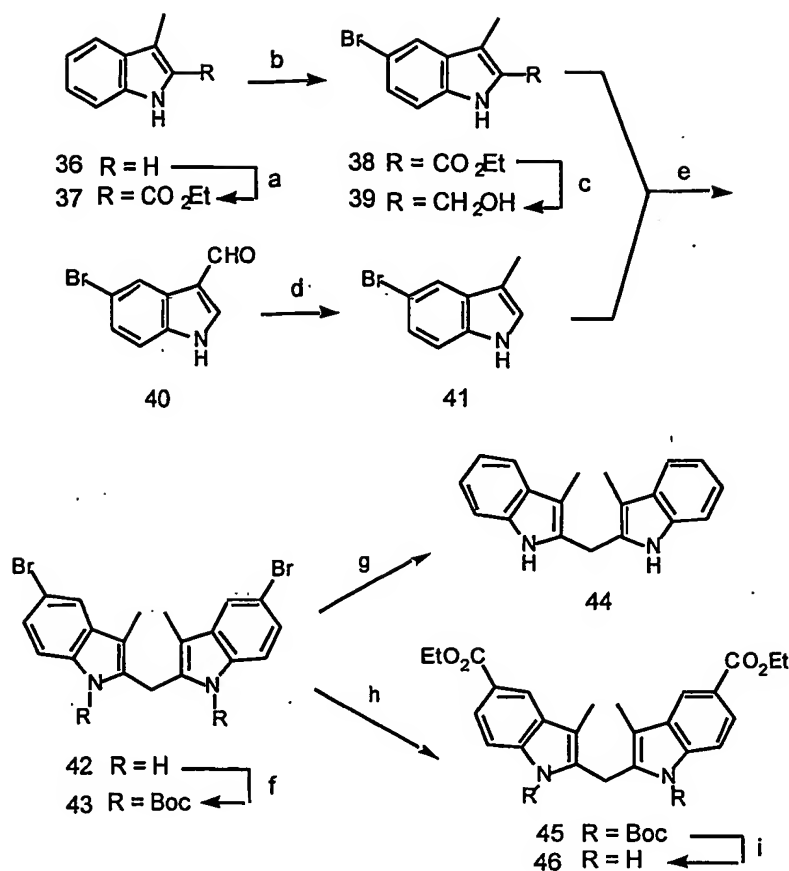
EXAMPLE 12

SYNTHESIS OF 2,2'-DIINDOLYLMETHANE (35)

[000170] (a) **3-Methylthioindole (32)**. To a solution of succinimide-dimethylsulfonium chloride in CH₂Cl₂, prepared by the addition of (CH₃)₂S (1.8 mL, 23.5 mmol) to a solution of NCS (3.14 g, 23.5 mmol) in CH₂Cl₂ (60 mL) at 0 °C, was added indole (4) (2.5 g, 21.33 mmol) in CH₂Cl₂ (15 mL) at -20 °C under argon. The reaction mixture was slowly warmed to room temperature for 1 h. After removal of the solvent, 3-dimethylsulfoniumindole and succinimide were obtained quantitatively. The salt was dissolved in xylene and the mixture was heated to reflux for 30 min. Flash chromatography (10% EtOAc/hexane) yielded **32** as an oil (3.2 g, 93%): ¹H NMR (300 MHz, CDCl₃) δ 2.39 (s, 3, SCH₃), 7.22 (m, 1, PyH), 7.26 (m, 1, ArH), 7.31 (d, *J* = 2.5 Hz, 1, ArH), 7.39 (m, 1, ArH), 7.79 (m, 1, ArH), 8.09 (br.s, 1, NH).

[000171] **2,2'-Diindolylmethane (35)**. To a mixture of indole-2-carbinol (**31**) (0.45 g, 3.06 mmol) and 3-methylthioindole (**32**) (0.5 g, 3.06 mmol) in CH₂Cl₂ (10 mL) was added (CF₃SO₃)₃Sc (0.2 g, 0.49 mmol) and stirred for 3 h under argon. The solvent was evaporated to give a crude product **34**. The crude **34** was dissolved in EtOH (10 mL) and Raney Ni was added at room temperature until no starting material was observed from TLC. The Raney Ni was removed by filtration and washed with ethyl acetate. The filtrate was dried (MgSO₄) and concentrated to give a solid. Flash chromatography (10% EtOAc/hexane) yielded **35** as a white solid (0.49 g, 65%): ¹H NMR (300 MHz, CDCl₃) δ 4.31 (s, 2, CH₂), 6.46 (s, 2, PyH), 7.11 (m, 2, ArH), 7.15 (m, 2, ArH), 7.25 (m, 2, ArH), 7.59 (m, 2, ArH), 7.88 (br.s, 2, NH).

[000172] Scheme V illustrates the reactions of Examples 13 and 14.



Scheme V. a. *n*-BuLi, CO₂; *t*-BuLi, ClCO₂CH₂CH₃. b. NBS. c. LiAlH₄. d. LiAlH₄, 60°C. e. (CF₃SO₃)₃Sc. f. (*t*-BuOCO)₂O, DMAP. g. Raney Ni. h. *t*-BuLi; ClCO₂CH₂CH₃. i. CF₃CO₂H.

EXAMPLE 13

SYNTHESIS OF 3,3'-DIMETHYL-2,2'-DIINDOLYLMETHANE (44)

[000173] (a) **Ethyl 3-methylindole-2-carboxylate (37).** To a solution of 3-methylindole (36) (4.06 g, 30.9 mmol) in 85 mL of THF was added 2.5 M *n*-BuLi (34 mmol) in hexane (13.6 mL) at -78 °C under argon, and the white precipitate appeared instantly. This suspension was stirred for 10 min and CO₂ gas was passed through the reaction mixture until the solution become clear. The reaction mixture was warmed to room temperature, and the solvent was removed to give a white solid. The white solid was dissolved in 100 mL of THF and then cooled to -78 °C. *t*-BuLi (20.6 mL, 35 mmol) was added and the bright yellow solution was warmed to -35 °C for 10 min; then cooled to -78 °C. Ethyl chloroformate (10 mL) was added and stirred for 20 min. The reaction mixture was poured into aqueous NH₄Cl and extracted twice (40% EtOAc/hexane). The extract was dried (MgSO₄) and concentrated to afford 37 as a white solid (6.3 g, 100%): ¹H NMR (300 MHz, CDCl₃) δ 1.43 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 2.62 (s, 3, CH₃), 4.42 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 7.14 (m, 1, ArH), 7.33 (m, 2, ArH), 7.67 (dd, *J* = 0.9, 8.1 Hz, 1, ArH), 8.66 (br.s, 1, NH).

[000174] (b) **Ethyl 5-bromo-3-methylindole-2-carboxylate (38)**. To a solution of ester **37** (2.6 g, 12.8 mmol) and $\text{CF}_3\text{CO}_2\text{H}$ (2 mL) in THF (40 mL) was added NBS (2.3 g, 12.9 mmol) at room temperature. The reddish solution was stirred for 5 min, quenched with aqueous $\text{Na}_2\text{S}_2\text{O}_3$, and extracted twice (40% EtOAc/hexane). The extract was washed with aqueous NaHCO_3 ; dried (MgSO_4) and concentrated to afford a solid. Flash chromatography (10% EtOAc/hexane) yielded **38** as a white solid (3.43 g, 95%): ^1H NMR (300 MHz, CDCl_3) δ 1.43 (t, $J = 7.1$ Hz, 3, $\text{CO}_2\text{CH}_2\text{CH}_3$), 2.56 (s, 3, CH_3), 4.42 (q, $J = 7.1$ Hz, 2, $\text{CO}_2\text{CH}_2\text{CH}_3$), 7.25 (d, $J = 8.9$ Hz, 1, ArH), 7.39 (dd, $J = 1.8$, 8.9 Hz, 1, ArH), 7.80 (d, $J = 1.8$ Hz, 1, ArH), 8.71 (br.s, 1, NH).

[000175] (c) **5-Bromo-3-methylindole-2-carbinol (39)**. To a solution of ester **38** (3.4 g, 12.05 mmol) in THF (60 mL) was added LiAlH_4 (0.95 g, 25 mmol) at 0 °C under argon and stirred for 2 h. The suspension was quenched slowly with water and white precipitate was removed by filtration. The filtrate was dried (MgSO_4) and concentrated to afford **39** as a solid (2.8 g, 97%): ^1H NMR (300 MHz, CDCl_3) δ 2.24 (s, 3, CH_3), 4.83 (s, 2, CH_2OH), 7.19 (m, 1, ArH), 7.25 (m, 1, ArH), 7.65 (m, 1, ArH), 8.21 (br.s, 1, NH).

[000176] (d) **5,5'-Dibromo-3,3'-dimethyl-2,2'-diindolylmethane (42)**. To a solution of aldehyde **40** (1.51 g, 6.74 mmol) in THF (35 mL) was added LiAlH_4 (0.51 g, 13.48 mmol) at 0 °C and then heated to 65-70 °C for 2 h under argon. The suspension was cooled to 0 °C and quenched with water, and white precipitate was removed by filtration. The filtrate was dried (MgSO_4) and concentrated to afford 5-bromo-3-methylindole (**41**) as a solid (1.16 g, 82%). To a mixture of **39** (1.2 g, 5.0 mmol) and **41** (1.0 g, 4.76 mmol) in CH_2Cl_2 (25 mL) was added $(\text{CF}_3\text{SO}_3)_3\text{Sc}$ (0.23 g, 0.47 mmol) and stirred overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (10% EtOAc/hexane) yielded **42** as a white solid (1.91 g, 93%): ^1H NMR (300 MHz, CDCl_3) δ 2.29 (s, 6, CH_3), 4.21 (s, 2, CH_2), 7.08 (d, $J = 8.5$ Hz, 2, ArH), 7.22 (dd, $J = 1.8$, 8.5 Hz, 2, ArH), 7.66 (d, $J = 1.8$ Hz, 2, ArH), 7.67 (br.s, 2, NH).

[000177] (e) **3,3'-Dimethyl-2,2'-diindolylmethane (44)**. **42** (0.29 g, 0.67 mmol) was dissolved in EtOH (4 mL) and Raney Ni was added at room temperature until no starting material was observed from TLC. The Raney Ni was removed by filtration and washed with ethyl acetate. The filtrate was dried (MgSO_4) and concentrated to give a solid. Flash chromatography (10% EtOAc/hexane) yielded **44** as a white solid (0.17 g, 91%): ^1H NMR (300 MHz, CDCl_3) δ 2.36 (s, 6, CH_3), 4.24 (s, 2, CH_2), 7.14 (m, 4, ArH), 7.20 (m, 2, ArH), 7.56 (m, 2, ArH), 7.61 (br.s, 2, NH).

EXAMPLE 14

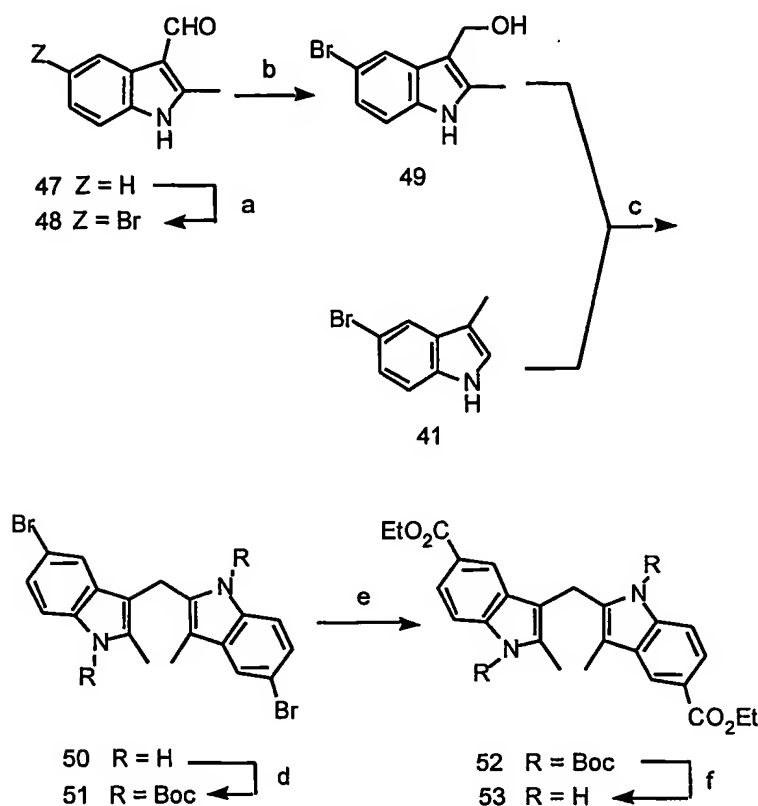
SYNTHESIS OF 5,5'-DICARBETHOXY-3,3'-DIMETHYL-2,2'-DIINDOLYLMETHANE (46)

[000178] (a) **1,1'-DiBOC-5,5'-dibromo-3,3'-dimethyl-2,2'-diindolylmethane (43)**. To a solution of indole **42** (1.5 g, 3.47 mmol) and $(t\text{-BuOOC})_2\text{O}$ (1.82 g, 8.3 mmol) in THF (15 mL) was added a

catalytic amount of DMAP and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (5% EtOAc/hexane) yielded the **43** as a white solid (1.75 g, 80%): ^1H NMR (300 MHz, CDCl_3) δ 1.52 (s, 18, $\text{OC}(\text{CH}_3)_3$), 1.84 (s, 6, CH_3), 4.78 (s, 2, CH_2), 7.35 (dd, $J = 2.0, 8.8$ Hz, 2, ArH), 7.53 (d, $J = 2.0$ Hz, 2, ArH), 7.96 (d, $J = 8.8$ Hz, 2, ArH).

[000179] (b) **1,1'-DiBOC-5,5'-dicarbethoxy-3,3'-dimethyl-2,2'-diindolylmethane (45)**. The general procedure (b) was used to prepare **45** from **43** (1.0 g, 1.58 mmol), 1.7 M *t*-BuLi in pentane (4.0 mL, 6.8 mmol), and $\text{ClCO}_2\text{CH}_2\text{CH}_3$ (3 mL). Flash chromatography (10% EtOAc/hexane) yielded **45** as a white solid (0.6 g, 61%): ^1H NMR (300 MHz, CDCl_3) δ 1.42 (t, $J = 7.1$ Hz, 6, $\text{CO}_2\text{CH}_2\text{CH}_3$), 1.53 (s, 18, $\text{OC}(\text{CH}_3)_3$), 1.94 (s, 6, CH_3), 4.40 (q, $J = 7.1$ Hz, 4, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.82 (s, 2, CH_2), 7.97 (dd, $J = 1.7, 8.8$ Hz, 2, ArH), 8.10 (d, $J = 8.8$ Hz, 2, ArH), 8.15 (d, $J = 1.7$ Hz, 2, ArH).

[000180] (c) **5,5'-Dicarbethoxy-3,3'-dimethyl-2,2'-diindolylmethane (46)**. **45** (0.6 g, 0.97 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **46** as a white solid (0.38 g, 93%): ^1H NMR (300 MHz, CDCl_3) δ 1.43 (t, $J = 7.1$ Hz, 6, $\text{CO}_2\text{CH}_2\text{CH}_3$), 2.38 (s, 6, CH_3), 4.26 (s, 2, CH_2), 4.41 (q, $J = 7.1$ Hz, 4, $\text{CO}_2\text{CH}_2\text{CH}_3$), 7.21 (d, $J = 8.5$ Hz, 2, ArH), 7.86 (dd, $J = 1.6, 8.5$ Hz, 2, ArH), 7.87 (br.s, 2, NH), 8.31 (d, $J = 1.6$ Hz, 2, ArH).

EXAMPLE 15**SYNTHESIS OF 5,5'-DICARBETHOXY-2,3'-DIMETHYL-2',3-DIINDOLYLMETHANE (53)**

Scheme VI. a. NBS. b. NaBH₄. c. Sc(OTf)₃. d. (*t*-BuOCO)₂O, DMAP.
e. *t*-BuLi; ClCO₂CH₂CH₃. f. CF₃CO₂H.

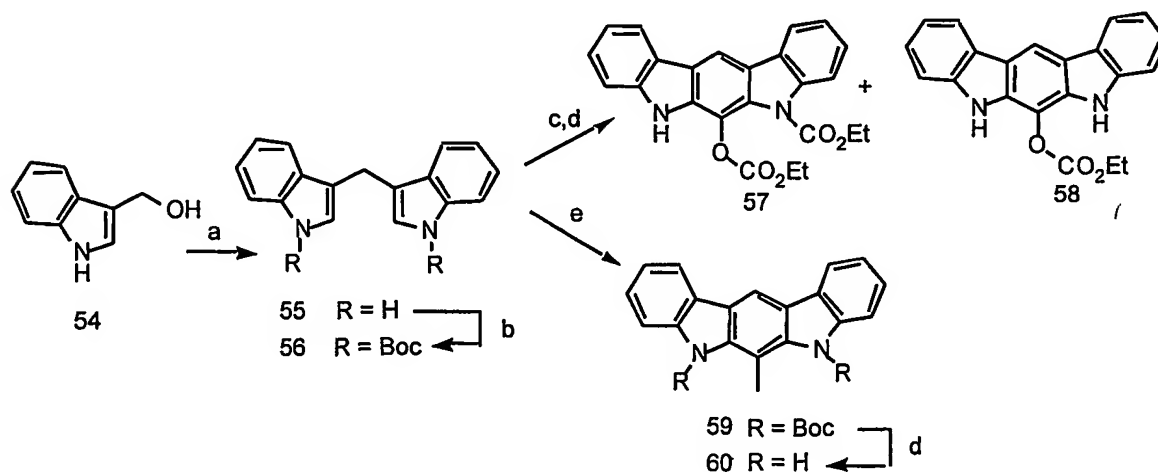
[000181] (a) **5-Bromo-2-methyl-indole-3-carboxaldehyde (48)**. To a solution of aldehyde 47 (4.18 g, 26.26 mmol) in CH₂Cl₂ (40 mL) was added NBS (4.67 g, 26.26 mmol) and stirred for 15 min under argon. The reddish solution was poured into aqueous Na₂S₂O₃, and extracted twice (50% EtOAc/hexane). The extract was washed with aqueous NaHCO₃; dried (MgSO₄) and concentrated to afford a solid. Flash chromatography (40% EtOAc/hexane) yielded 48 as a solid (5.83 g, 93%): ¹H NMR (300 MHz, CDCl₃) δ 2.73 (s, 3, CH₃), 7.36 (dd, *J* = 1.5, 8.5 Hz, 1, ArH), 7.47 (d, *J* = 1.5 Hz, 1, ArH), 8.10 (d, *J* = 8.5 Hz, 1, ArH), 8.40 (br.s, 1, NH), 10.16 (s, 1, CHO).

[000182] (b) **1,1'-DiBOC-5,5'-dibromo-2,3'-dimethyl-2',3-diindolylmethane (51)**. To a solution of aldehyde 48 (1.0 g, 4.2 mmol) in wet THF (20 mL) was added NaBH₄ (0.18 g, 4.6 mmol) at room temperature and stirred for 1 h under argon. The suspension was quenched slowly with water and the organic layer was separated, dried (MgSO₄) and concentrated to afford 49 as a solid (1.0 g) in quantitative yield. To a mixture of 5-bromo-2-methylindole-3-carbinol (49) (0.24 g, 1.0 mmol) and 5-bromo-3-methylindole (41) (0.21 g, 1.0 mmol) in CH₂Cl₂ (5 mL) was added (CF₃SO₃)₃Sc (0.05 g, 0.1

mmol) and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (20% EtOAc/hexane) yielded 5,5'-dibromo-2,3'-dimethyl-2',3'-diindolylmethane (**50**) as a white solid (0.38 g, 88%). To a solution of **50** (0.38 g, 0.88 mmol) and (*t*-BuOOC)₂O (0.42 g, 1.93 mmol) in THF (15 mL) was added a catalytic amount of DMAP and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (3% EtOAc/hexane) yielded **51** as a white solid (0.51 g, 92%): ¹H NMR (300 MHz, CDCl₃) δ 1.48 (s, 9, OC(CH₃)₃), 1.67 (s, 9, OC(CH₃)₃), 2.12 (s, 3, CH₃), 2.44 (s, 3, CH₃), 4.40 (s, 2, CH₂), 6.81 (d, *J* = 8.4 Hz, 1, ArH), 7.13 (dd, *J* = 1.7, 8.4 Hz, 1, ArH), 7.34 (dd, *J* = 1.9, 8.8 Hz, 1, ArH), 7.58 (d, *J* = 1.7 Hz, 1, ArH), 7.84 (d, *J* = 8.8 Hz, 1, ArH), 8.29 (d, *J* = 1.9 Hz, 1, ArH).

[000183] (c) 5,5'-Dicarbethoxy-2,3'-dimethyl-2',3'-diindolylmethane (**53**). The general procedure (b) was used to prepare **52** from **51** (0.5 g, 0.79 mmol), 1.7 M *t*-BuLi in pentane (2.3 mL, 3.95 mmol), and ClCO₂CH₂CH₃ (3 mL). Flash chromatography (10% EtOAc/hexane) yielded 1,1'-diBOC-5,5'-dicarbethoxy-2,3'-dimethyl-2',3'-diindolylmethane (**52**) as a white solid (0.43 g, 88%). **52** (0.43 g, 0.69 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **53** as a white solid (0.25 g, 87%): ¹H NMR (300 MHz, CDCl₃) δ 1.39 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 1.40 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 2.40 (s, 3, CH₃), 2.42 (s, 3, CH₃), 4.18 (s, 2, CH₂), 4.37 (q, *J* = 7.1 Hz, 4, CO₂CH₂CH₃), 7.08 (d, *J* = 8.2 Hz, 1, ArH), 7.35 (d, *J* = 8.5 Hz, 1, ArH), 7.67 (br.s, 1, NH), 7.75 (d, *J* = 8.2 Hz, 1, ArH), 7.77 (d, *J* = 8.5 Hz, 1, ArH), 8.05 (s, 1, ArH), 8.14 (br.s, 1, NH), 8.27 (s, 1, ArH).

[000184] Scheme VII illustrates the reactions of Examples 16 and 17.



Scheme VII. a. aq. NaOH. b. (*t*-BuOCO)₂O, DMAP. c. LiTMP; ClCO₂CH₂CH₃. d. CF₃CO₂H. e. LiTMP; (CH₃CO)₂O.

EXAMPLE 16**SYNTHESIS OF 5-CARBETHOXY-6-ETHOXYCARBONYLOXY-7H-INDOLO[2,3-B]CARBAZOLE (57)
AND 6-ETHOXYCARBONYLOXY-5,7-DIHYDRO-INDOLO[2,3-B]CARBAZOLE (58).**

[000185] (a) **3,3'-Diindolylmethane (55)**. Indole-3-carbinol (54) (1.0 g, 6.79 mmol) in 10% aqueous NaOH solution (100 mL) was refluxed for 1 h. The solution was cooled, neutralized with carbon dioxide and the white precipitate was collected by filtration, which was then crystallized from toluene to yield **55** as a white solid (0.65 g, 77%): ^1H NMR (300 MHz, CDCl_3) δ 4.26 (s, 2, CH_2), 6.94 (m, 2, PyH), 7.11 (m, 2, ArH), 7.21 (m, 2, ArH), 7.36 (m, 2, ArH), 7.64 (m, 2, ArH), 7.86 (br.s, 2, NH).

[000186] (b) **1,1'-DiBOC-3,3'-diindolylmethane (56)**. To a solution of **55** (2.0 g, 8.12 mmol) and (*t*-BuOOC) $_2$ O (3.9 g, 17.87 mmol) in THF (20 mL) was added a catalytic amount of DMAP and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (3% EtOAc/hexane) yielded **56** as a white solid (3.44 g, 95%): ^1H NMR (300 MHz, CDCl_3) δ 1.65 (s, 18, $\text{OC}(\text{CH}_3)_3$), 4.09 (s, 2, CH_2), 7.21 (m, 2, ArH), 7.31 (m, 2, ArH), 7.38 (s, 2, PyH), 7.53 (m, 2, ArH), 8.12 (br.d, $J = 8.6$ Hz, 2, ArH).

[000187] (c) **5-Carbethoxy-6-ethoxycarbonyloxy-7H-indolo[2,3-b]carbazole (57)** and **6-Ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-b]carbazole (58)**. To a solution of 2,2,6,6-tetramethylpiperidine (1.7 mL, 10 mmol) in THF (25 mL) at -78°C under argon was added 1.6 M *n*-BuLi (9.4 mmol) in hexane (6.6 mL), and warmed to 0°C for 15 min. After the reaction mixture was recooled to -78°C , **56** (0.7 g, 1.57 mmol) in THF (5 mL) was added slowly, and stirring was continued for 30 min before $\text{ClCO}_2\text{CH}_2\text{CH}_3$ (2 mL) was added. The reaction mixture was stirred for 2 h at -78°C and poured into saturated NaHCO_3 and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO_4), filtered, and concentrated to afford a crude mixture, which was then deprotected using the general procedure. Flash chromatography (20% EtOAc/hexane) yielded **57** (0.1 g, 15%) and **58** (0.39 g, 72%) as a white solid. 5-Carbethoxy-6-ethoxycarbonyloxy-7H-indolo[2,3-b]carbazole (57): ^1H NMR (300 MHz, CDCl_3) δ 1.40 (t, $J = 7.1$ Hz, 3, $\text{CO}_2\text{CH}_2\text{CH}_3$), 1.46 (t, $J = 7.1$ Hz, 3, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.36 (q, $J = 7.1$ Hz, 2, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.48 (q, $J = 7.1$ Hz, 2, $\text{CO}_2\text{CH}_2\text{CH}_3$), 7.22 (m, 1, ArH), 7.30-7.42 (m, 4, ArH), 8.01 (m, 1, ArH), 8.08 (m, 2, ArH), 8.26 (br.s, 1, NH), 8.43 (s, 1, ArH).

6-Ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-b]carbazole (58): ^1H NMR (300 MHz, CDCl_3) δ 1.51 (t, $J = 7.1$ Hz, 3, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.49 (q, $J = 7.1$ Hz, 2, $\text{CO}_2\text{CH}_2\text{CH}_3$), 7.28 (m, 2, ArH), 7.41 (m, 2, ArH), 7.46 (br.d, $J = 7.8$ Hz, 2, ArH), 8.16 (d, $J = 7.7$ Hz, 2, ArH), 8.21 (br.s, 2, NH), 8.60 (s, 1, ArH).

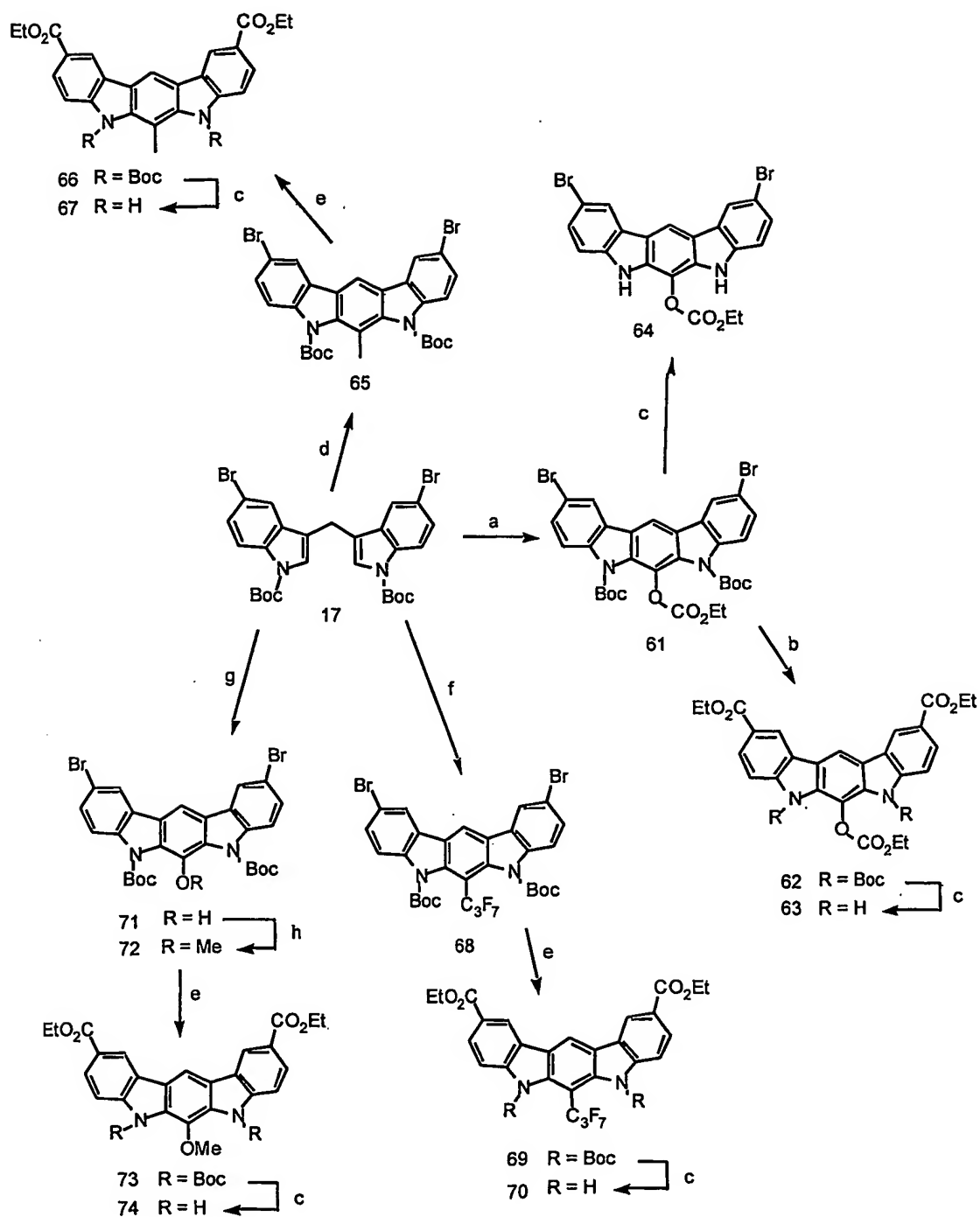
EXAMPLE 17**SYNTHESIS OF 6-METHYL-INDOLO[2,3-*b*]CARBAZOLE (60)**

[000188] (a) **6-Methyl-5,7-diBOC-indolo[2,3-*b*]carbazole (59)**. To a solution of 2,2,6,6-tetramethylpiperidine (3.5 g, 24.8 mmol) in THF (40 mL) at -78 °C under argon was added 1.6 M *n*-BuLi (22.4 mmol) in hexane (14 mL), and warmed to 0 °C for 15 min. After the reaction mixture was recooled to -78 °C, **56** (1 g, 2.24 mmol) in THF (5 mL) was added slowly, and stirring was continued for 30 min before acetic anhydride (8 mL) was added. The mixture was slowly warmed to 0 °C for 30 min. The reaction mixture was poured into saturated NaHCO₃ and extracted with 50%

EtOAc/hexane. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a crude product. Flash chromatography (5% EtOAc/hexane) yielded **59** as a white solid (0.98 g, 93%): ¹H NMR (300 MHz, CDCl₃) δ 1.75 (s, 18, OC(CH₃)₃), 2.54 (s, 3, CH₃), 7.37 (m, 2, ArH), 7.45 (m, 2, ArH), 8.05 (dd, *J* = 1.7, 8.0 Hz, 2, ArH), 8.11 (dd, *J* = 1.7, 8.0 Hz, 2, ArH), 8.35 (s, 1, ArH).

[000189] (b) **6-Methyl-5,7-dihydro-indolo[2,3-*b*]carbazole (60)**. **59** (0.98 g, 2.08 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **60** as a white solid (0.51 g, 91%): ¹H NMR (300 MHz, CDCl₃) δ 2.69 (s, 3, CH₃), 7.26 (m, 2, ArH), 7.38 (d, *J* = 7.7 Hz, 2, ArH), 7.44 (m, 2, ArH), 7.90 (br.s, 2, ArH), 8.16 (d, *J* = 7.7 Hz, 2, ArH), 8.59 (s, 1, ArH).

[000190] Scheme VIII illustrates the reactions of Examples 18-22:



Scheme VIII. a. LiTMP; ClCO₂CH₂CH₃. b. *t*-BuLi (5.5 eq.); ClCO₂CH₂CH₃. c. CF₃CO₂H. d. LiTMP; (CH₃CO)₂O. e. *t*-BuLi (4.4 eq.); ClCO₂CH₂CH₃. f. LiTMP; (C₃F₇CO)₂O. g. LiTMP; ClCO₂CH₂CH₃; CH₃CO₂H. h. CH₃I, K₂CO₃, DMF.

EXAMPLE 18**SYNTHESIS OF 2,10-DICARBETHOXY-6-ETHOXYCARBONYLOXY-
5,7-DIHYDRO-INDOLO[2,3-*b*]CARBAZOLE (63)**

[000191] (a) **2,10-Dibromo-6-ethoxycarbonyloxy-5,7-diBOC-indolo[2,3-*b*]carbazole (61)**. To a solution of 2,2,6,6-tetramethylpiperidine (20.3 g, 143.5 mmol) in THF (300 mL) at -78 °C under argon was added 1.6 M *n*-BuLi (130.4 mmol) in hexane (81.5 mL), and warmed to 0 °C for 15 min. After the reaction mixture was recooled to -78 °C, **17** (7.88 g, 13.04 mmol) in THF (15 mL) was added slowly, and stirring was continued for 30 min before ClCO₂CH₂CH₃ (30 mL) was added. The mixture was slowly warmed to -10 °C during 2 h. The reaction mixture was poured into saturated NaHCO₃ and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a crude product. Flash chromatography (5% EtOAc/hexane) yielded **61** as a white solid (8.6 g, 94%): ¹H NMR (300 MHz, CDCl₃) δ 1.39 (t, *J* = 7.1 Hz, 3, CO₂CH₂CH₃), 1.74 (s, 18, OC(CH₃)₃), 4.33 (q, *J* = 7.1 Hz, 2, CO₂CH₂CH₃), 7.54 (dd, *J* = 2.0, 9.1 Hz, 2, ArH), 7.92 (d, *J* = 9.1 Hz, 2, ArH), 8.15 (d, *J* = 2.0 Hz, 2, ArH), 8.31 (s, 1, ArH).

[000192] (b) **2,10-Dicarbethoxy-6-ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-*b*]carbazole (63)**. To a solution of 1.7 M *t*-BuLi in pentane (32.3 mL, 54.8 mmol) in THF (200 mL) at -100 °C under argon was added **61** (7.0 g, 9.97 mmol) in THF (20 mL), and stirred for 10 min. ClCO₂CH₂CH₃ (30 mL) was added and the mixture was slowly warmed to -10 °C during 2.5 h. The reaction mixture was poured into saturated aqueous NH₄Cl and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a crude product. Flash chromatography (10%; 20% EtOAc/hexane) yielded 1,1'-diBOC-2,10-dicarbethoxy-6-ethoxycarbonyloxy-indolo[2,3-*b*]carbazole **62** as a white solid (6.1 g, 89%). **62** (4.3 g, 6.24 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **63** as a white solid (2.8 g, 92%): ¹H NMR (300 MHz, CDCl₃) δ 1.50 (m, 9, CO₂CH₂CH₃), 4.48 (m, 6, CO₂CH₂CH₃), 7.46 (d, *J* = 8.3 Hz, 2, ArH), 8.16 (dd, *J* = 1.5, 8.3 Hz, 2, ArH), 8.49 (br.s, 2, NH), 8.71 (s, 1, ArH), 8.89 (d, *J* = 1.5 Hz, 2, ArH).

EXAMPLE 19**SYNTHESIS OF 2,10-DIBROMO-6-ETHOXYCARBONYLOXY-****5,7-DIHYDRO-INDOLO[2,3-*b*]CARBAZOLE (64)**

[000193] **2,10-Dibromo-6-ethoxycarbonyloxy-5,7-dihydro-indolo[2,3-*b*]carbazole (64).** 61 (0.54 g, 0.77 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **64** as a white solid (0.34 g, 88%): ^1H NMR (300 MHz, CDCl_3) δ 1.42 (t, J = 7.1 Hz, 3, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.41 (q, J = 7.1 Hz, 2, $\text{CO}_2\text{CH}_2\text{CH}_3$), 7.25 (d, J = 8.5 Hz, 2, ArH), 7.40 (dd, J = 1:8, 8.5 Hz, 2, ArH), 8.16 (d, J = 1.8 Hz, 2, ArH), 8.38 (s, 1, ArH), 9.31 (br.s, 1, NH).

EXAMPLE 20**SYNTHESIS OF 2,10-DICARBETHOXY-6-METHYL-****5,7-DIHYDRO-INDOLO[2,3-*b*]CARBAZOLE (67)**

[000194] (a) **2,10-Dibromo-6-methyl-5,7-diBOC-indolo[2,3-*b*]carbazole (65).** To a solution of 2,2,6,6-tetramethylpiperidine (2.9 g, 20.5 mmol) in THF (40 mL) at -78°C under argon was added 1.6 M *n*-BuLi (18.4 mmol) in hexane (11.5 mL), and warmed to 0°C for 15 min. After the reaction mixture was recooled to -78°C , **17** (1.1 g, 1.82 mmol) in THF (10 mL) was added slowly, and stirring was continued for 30 min before acetic anhydride (8 mL) was added. After 10 min, the mixture was warmed to 0°C for 30 min. The reaction mixture was poured into saturated NaHCO_3 and extracted with 50% EtOAc/hexane. The combined organic extracts were dried (MgSO_4), filtered, and concentrated to afford a crude product. Flash chromatography (3% EtOAc/hexane) yielded **65** as a white solid (1.04 g, 91%): ^1H NMR (300 MHz, CDCl_3) δ 1.74 (s, 18, $\text{OC}(\text{CH}_3)_3$), 2.51 (s, 3, CH_3), 7.53 (dd, J = 1.7, 8.8 Hz, 2, ArH), 7.97 (d, J = 8.8 Hz, 2, ArH), 8.15 (d, J = 1.7 Hz, 2, ArH), 8.26 (s, 1, ArH).

[000195] (b) **2,10-Dicarbethoxy-6-methyl-5,7-diBOC-indolo[2,3-*b*]carbazole (66).** The general procedure (b) was used to prepare **66** from **65** (0.387 g, 0.62 mmol), 1.7 M *t*-BuLi in pentane (1.8 mL, 3.10 mmol), and $\text{ClCO}_2\text{CH}_2\text{CH}_3$ (1 mL). Flash chromatography (5% EtOAc/hexane) yielded **66** as a white solid (0.17 g, 45%): ^1H NMR (300 MHz, CDCl_3) δ 1.48 (t, J = 7.0 Hz, 6, $\text{CO}_2\text{CH}_2\text{CH}_3$), 1.76 (s, 18, $\text{OC}(\text{CH}_3)_3$), 2.53 (s, 3, CH_3), 4.48 (q, J = 7.0 Hz, 4, $\text{CO}_2\text{CH}_2\text{CH}_3$), 8.12 (d, J = 8.5 Hz, 2, ArH), 8.18 (dd, J = 1.7, 8.5 Hz, 2, ArH), 8.53 (s, 1, ArH), 8.78 (d, J = 1.7 Hz, 2, ArH).

[000196] (c) **2,10-Dicarbethoxy-6-methyl-5,7-dihydro-indolo[2,3-*b*]carbazole (67).** **66** (0.14 g, 0.23 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **67** as a white solid (0.092 g, 97%): ^1H NMR (300 MHz, $\text{DMSO}-d_6$) δ 1.39 (t, J = 7.0 Hz, 6, $\text{CO}_2\text{CH}_2\text{CH}_3$), 2.75 (s, 3, CH_3), 4.36 (q, J = 7.0 Hz, 4, $\text{CO}_2\text{CH}_2\text{CH}_3$), 7.54 (d, J = 8.4 Hz, 2, ArH), 7.99 (d, J = 8.4 Hz, 2, ArH), 8.85 (s, 2, ArH), 9.01 (s, 1, ArH), 11.56 (s, 2, NH).

EXAMPLE 21**SYNTHESIS OF 2,10-DICARBETHOXY-6-HEPTAFLUOROPROPYL-
5,7-DIHYDRO-INDOLO[2,3-*b*]CARBAZOLE (70)**

[000197] (a) **2,10-Dibromo-6-heptafluoropropyl-5,7-diBOC-indolo[2,3-*b*]carbazole (68)**. To a solution of 2,2,6,6-tetramethylpiperidine (8.9 g, 63 mmol) in THF (180 mL) at -78 °C under argon was added 1.6 M *n*-BuLi (60 mmol) in hexane (37.5 mL), and warmed to 0 °C for 15 min. After the reaction mixture was recooled to -78 °C, 17 (4 g, 6.62 mmol) in THF (20 mL) was added slowly, and stirring was continued for 30 min before heptafluorobutyric anhydride (25 g) was added. After 10 min, the mixture was warmed to 0 °C for 30 min. The reaction mixture was poured into saturated NaHCO₃ and extracted with ethyl acetate. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a crude product. Flash chromatography (5% EtOAc/hexane) yielded 68 contaminated with excess reagent. Recrystallization (ethyl acetate/hexane) afforded 68 as a white solid (4.1 g, 79%): ¹H NMR (300 MHz, CDCl₃) δ 1.68 (s, 18, OC(CH₃)₃), 7.60 (dd, *J* = 2.0, 8.8 Hz, 2, ArH), 7.98 (d, *J* = 8.8 Hz, 2, ArH), 8.18 (d, *J* = 2.0 Hz, 2, ArH), 8.55 (s, 1, ArH).

[000198] (b) **2,10-Dicarbethoxy-6-heptafluoropropyl-5,7-diBOC-indolo[2,3-*b*]carbazole (69)**. The general procedure (b) was used to prepare 69 from 68 (4.0 g, 5.11 mmol), 1.7 M *t*-BuLi in pentane (15 mL, 25.56 mmol), and ClCO₂CH₂CH₃ (5 mL). Flash chromatography (10% EtOAc/hexane) yielded 69 as a white solid (3.58 g, 91%): ¹H NMR (300 MHz, CDCl₃) δ 1.49 (t, *J* = 7.0 Hz, 6, CO₂CH₂CH₃), 1.70 (s, 18, OC(CH₃)₃), 4.48 (q, *J* = 7.0 Hz, 4, CO₂CH₂CH₃), 8.13 (d, *J* = 8.8 Hz, 2, ArH), 8.23 (dd, *J* = 1.7, 8.8 Hz, 2, ArH), 8.80 (m, 3, ArH).

[000199] (c) **2,10-Dicarbethoxy-6-heptafluoropropyl-5,7-dihydro-indolo[2,3-*b*]carbazole (70)**. 69 (3.58 g, 4.66 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded 70 as a white solid (2.6 g, 98%): ¹H NMR (300 MHz, CDCl₃) δ 1.50 (t, *J* = 7.3 Hz, 6, CO₂CH₂CH₃), 4.47 (q, *J* = 7.3 Hz, 4, CO₂CH₂CH₃), 7.53 (d, *J* = 8.5 Hz, 2, ArH), 8.17 (dd, *J* = 1.2, 8.5 Hz, 2, ArH), 8.91 (d, *J* = 1.2 Hz, 2, ArH), 9.02 (s, 1, ArH), 9.43 (br.s, 2, NH).

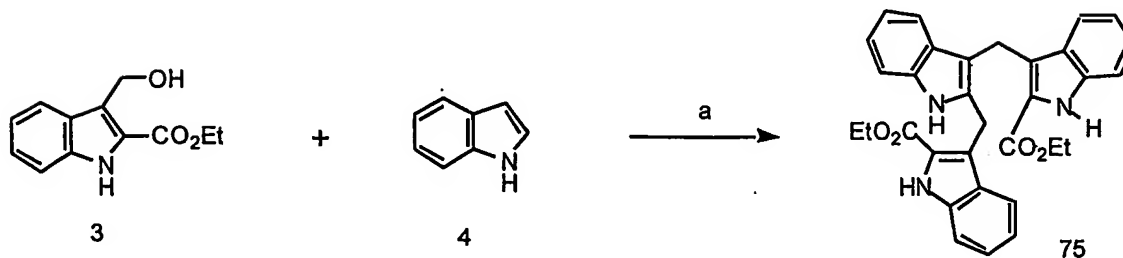
EXAMPLE 22**SYNTHESIS OF 2,10-DICARBETHOXY-6-METHOXY-5,7-DIHYDRO-INDOLO[2,3-*b*]CARBAZOLE (74)**

[000200] (a) **2,10-Dibromo-6-hydroxy-5,7-diBOC-indolo[2,3-*b*]carbazole (71)**. To a solution of 2,2,6,6-tetramethylpiperidine (7.72 g, 54.7 mmol) in THF (180 mL) at -78 °C under argon was added 1.6 M *n*-BuLi (49.7 mmol) in hexane (31 mL), and warmed to 0 °C for 15 min. After the reaction mixture was recooled to -78 °C, **17** (3 g, 4.97 mmol) in THF (20 mL) was added slowly, and stirring was continued for 30 min before ClCO₂CH₂CH₃ (15 mL) was added. After 30 min, CH₃CO₂H (10 mL) in THF (10 mL) was added to the mixture at -78 °C and stirred for 10 min. The reaction mixture was poured into H₂O and the organic layer was washed with water; brine and concentrated to give a solid. Recrystallization (ethyl acetate/hexane) afforded **71** as a white solid (2.9 g, 92%): ¹H NMR (300 MHz, CDCl₃) δ 1.74 (s, 18, OC(CH₃)₃), 7.54 (dd, *J* = 2.0, 8.8 Hz, 2, ArH), 7.92 (d, *J* = 8.8 Hz, 2, ArH), 7.97 (s, 1, ArH), 8.12 (d, *J* = 2.0 Hz, 2, ArH), 11.21 (s, 1, OH).

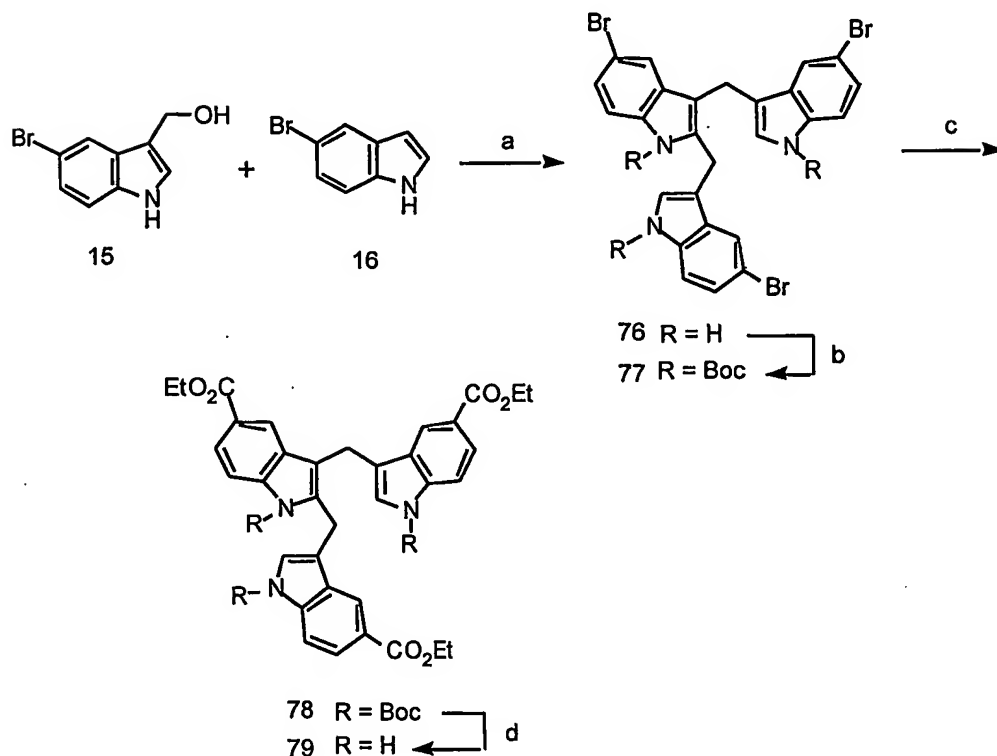
[000201] (b) **2,10-Dibromo-6-methoxy-5,7-diBOC-indolo[2,3-*b*]carbazole (72)**. To a suspension of phenol **71** (2.3 g, 3.65 mmol) in DMF/THF (20/20 mL) at room temperature under argon was added CH₃I (1.1 mL, 17.7 mmol) and excess amount of K₂CO₃, and stirred for overnight. The reaction mixture was diluted with 20% EtOAc/hexane and washed with water (4 times) and brine. The combined organic extracts were dried (MgSO₄), filtered, and concentrated to afford a crude product. Flash chromatography (3% EtOAc/hexane) yielded **72** as a white solid (1.04 g, 91%): ¹H NMR (300 MHz, CDCl₃) δ 1.75 (s, 18, OC(CH₃)₃), 3.79 (s, 3, OCH₃), 7.55 (dd, *J* = 2.0, 8.8 Hz, 2, ArH), 7.97 (d, *J* = 8.8 Hz, 2, ArH), 8.14 (d, *J* = 2.0 Hz, 2, ArH), 8.16 (s, 1, ArH).

[000202] (c) **2,10-Dicarbethoxy-6-methoxy-5,7-diBOC-indolo[2,3-*b*]carbazole (73)**. The general procedure (b) was used to prepare **73** from **72** (2.3 g, 3.57 mmol), 1.7 M *t*-BuLi in pentane (10.5 mL, 17.8 mmol), and ClCO₂CH₂CH₃ (15 mL). Flash chromatography (10% EtOAc/hexane) yielded **73** as a white solid (2.1 g, 93%): ¹H NMR (300 MHz, CDCl₃) δ 1.49 (t, *J* = 7.0 Hz, 6, CO₂CH₂CH₃), 1.75 (s, 18, OC(CH₃)₃), 3.81 (s, 3, OCH₃), 4.47 (q, *J* = 7.0 Hz, 4, CO₂CH₂CH₃), 8.11 (d, *J* = 8.8 Hz, 2, ArH), 8.19 (dd, *J* = 1.8, 8.8 Hz, 2, ArH), 8.40 (s, 1, ArH), 8.77 (d, *J* = 1.8 Hz, 2, ArH).

[000203] (d) **2,10-Dicarbethoxy-6-methoxy-5,7-dihydro-indolo[2,3-*b*]carbazole (74)**. **73** (2.1 g, 3.33 mmol) was deprotected using the general procedure. Recrystallization (ethyl acetate/hexane) afforded **74** as a white solid (1.4 g, 97%): ¹H NMR (300 MHz, CDCl₃) δ 1.49 (t, *J* = 7.0 Hz, 6, CO₂CH₂CH₃), 4.19 (s, 3, OCH₃), 4.47 (q, *J* = 7.0 Hz, 4, CO₂CH₂CH₃), 7.47 (d, *J* = 8.8 Hz, 2, ArH), 8.16 (dd, *J* = 1.8, 8.8 Hz, 2, ArH), 8.37 (br.s, 2, NH), 8.62 (s, 1, ArH), 8.90 (d, *J* = 1.8 Hz, 2, ArH).

EXAMPLE 23**SYNTHESIS OF 2-(2-CARBETHOXY-INDOL-3-YLMETHYL)-2'-
CARBETHOXY-3,3'-DIINDOLYLMETHANE (75)****Scheme IX. a. (CF₃SO₃)₃Sc.**

[000204] **2-(2-Carbethoxy-indol-3-ylmethyl)-2'-carbethoxy-3,3'-diindolylmethane (75).** To a mixture of ethyl 3-hydroxymethyl-indole-2-carboxylate (**3**) (0.3 g, 1.37 mmol) and indole (**4**) (0.08 g, 0.68 mmol) in CH₂Cl₂ (6 mL) was added (CF₃SO₃)₃Sc (0.05 g) and stirred for overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (30% EtOAc/hexane) yielded **75** as a white solid (0.28 g, 79%): ¹H NMR (300 MHz, CDCl₃) δ 1.40 (m, 6, CO₂CH₂CH₃), 4.46 (m, 4, CO₂CH₂CH₃), 4.61 (s, 2, CH₂), 4.88 (s, 2, CH₂), 6.86 (m, 1, ArH), 6.95 (m, 2, ArH), 7.01 (m, 1, ArH), 7.15 (m, 1, ArH), 7.25 (m, 3, ArH), 7.36 (m, 3, ArH), 7.45 (m, 1, ArH), 8.30 (br.s, 1, NH), 8.74 (br.s, 2, NH).

EXAMPLE 24**SYNTHESIS OF 2-(5-CARBETHOXY-INDOL-3-YLMETHYL)-
5,5'-DICARBETHOXY-3,3'-DIINDOLYLMETHANE (79)**

Scheme X. a. $(\text{CF}_3\text{SO}_3)_3\text{Sc}$. b. $(t\text{-BuOCO})_2\text{O}$, DMAP. c. $t\text{-BuLi}$; $\text{ClCO}_2\text{CH}_2\text{CH}_3$. d. $\text{CF}_3\text{CO}_2\text{H}$.

[000205] (a) 1,1'-DiBOC-2-(1-BOC-5-carbethoxy-indol-3-ylmethyl)-5,5'-dibromo-3,3'-diindolylmethane (77). To a mixture of 5-bromoindole-3-carbinol (15) (7.76 g, 34.34 mmol) and 5-bromoindole (16) (6.73 g, 34.34 mmol) in CH_2Cl_2 (65 mL) was added $(\text{CF}_3\text{SO}_3)_3\text{Sc}$ (1.0 g, 2.03 mmol) and stirred overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (20% EtOAc/hexane) yielded trimer 76 (1.59 g, 7.6%). To a solution of 76 (1.59 g, 2.6 mmol) and $(t\text{-BuOOC})_2\text{O}$ (1.9 g, 8.6 mmol) in THF (45 mL) was added a catalytic amount of DMAP and stirred overnight under argon. The solvent was evaporated to give a crude product. Flash chromatography (5% EtOAc/hexane) yielded 77 as a white solid (2.25 g, 95%): ^1H NMR (300 MHz, CDCl_3) δ 1.50 (s, 9, $\text{OC}(\text{CH}_3)_3$), 1.58 (s, 18, $\text{OC}(\text{CH}_3)_3$), 4.00 (s, 2, CH_2), 4.39 (s, 2, CH_2), 6.98 (s, 1, PyH), 7.01 (s, 1, PyH), 7.37 (m, 3, ArH), 7.55 (d, $J = 1.9$ Hz, 2, ArH), 7.60 (d, $J = 1.5$ Hz, 1, ArH), 7.91 (m, 2, ArH), 8.03 (d, $J = 9.0$ Hz, 1, ArH).

[000206] (b) 1,1'-DiBOC-2-(1-BOC-5-carbethoxy-indol-3-ylmethyl)-5,5'-dicarbethoxy-3,3'-diindolylmethane (78). The general procedure (b) was used to prepare 78 from 77 (2.25 g, 2.46 mmol), 1.7 M $t\text{-BuLi}$ in pentane (8.8 mL, 15 mmol), and $\text{ClCO}_2\text{CH}_2\text{CH}_3$ (2 mL). Flash

chromatography (15% EtOAc/hexane) yielded **78** as a white solid (1.89 g, 86%): ^1H NMR (300 MHz, CDCl_3) δ 1.37 (m, 9, $\text{CO}_2\text{CH}_2\text{CH}_3$), 1.48 (s, 9, $\text{OC}(\text{CH}_3)_3$), 1.58 (s, 9, $\text{OC}(\text{CH}_3)_3$), 1.59 (s, 9, $\text{OC}(\text{CH}_3)_3$), 4.20 (s, 2, CH_2), 4.35 (m, 6, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.50 (s, 2, CH_2), 7.02 (s, 2, PyH), 8.03 (m, 5, ArH), 8.20 (m, 4, ArH).

[000207] (c) 2-(5-Carbethoxy-indol-3-ylmethyl)-5,5'-dicarbethoxy-3,3'-diindolylmethane (**79**). **78** (1.8 g, 2.01 mmol) was deprotected using the general procedure. Flash chromatography (60% EtOAc/hexane) yielded **79** as a white solid (1.12 g, 94%): ^1H NMR (300 MHz, CDCl_3) δ 1.37 (m, 9, $\text{CO}_2\text{CH}_2\text{CH}_3$), 4.33 (m, 10, CH_2 , $\text{CO}_2\text{CH}_2\text{CH}_3$), 6.84 (s, 1, PyH), 7.02 (s, 1, PyH), 7.19 (d, $J = 8.5$ Hz, 1, ArH), 7.34 (d, $J = 8.6$ Hz, 1, ArH), 7.35 (d, $J = 8.6$ Hz, 1, ArH), 7.81 (dd, $J = 1.6, 8.5$ Hz, 1, ArH), 7.90 (m, 2, ArH), 7.96 (br.s, 1, NH), 8.21 (s, 1, ArH), 8.23 (s, 2, ArH), 8.27 (br.s, 1, NH), 8.45 (br.s, 1, NH).

EXAMPLE 25

IN VITRO DETERMINATION OF GROWTH INHIBITORY, ESTROGENIC, AND ANTIESTROGENIC ACTIVITIES OF DIM, LT, AND NOVEL ANALOGS IN BREAST CANCER CELL LINES

[000208] Growth inhibition assays were conducted using DIM, LT, and some of the novel compounds of the invention on several breast cancer cell lines. The cell lines studied were MCF-7 (ER^+), MDA-MB-231 (ER^-), and a tamoxifen-resistant strain of MCF-7 (ER^+). The growth assays were conducted according to the method of Tiwari et al. (Tiwari, R.K., J Natl Cancer Inst 86:126-131, 1994). For growth Inhibition Assay, cells were grown in multi-well culture plates. Experiments were performed in quadruplicate. MCF-7 (ER^+) cells were grown in special medium containing 5% animal serum that had been treated to remove endogenous estrogens. After the plates were incubated at 37°C for 24 hours, to each well was added test compound at various concentrations, with or without the estrogen 17β -estradiol (E_2). The cells were then incubated for 7 days, with medium and test solutions replaced every other day. On Day 8, after the medium was removed and replaced with fresh medium without test solutions, the tetrazolium blue test was performed to determine the percentage of viable cells (viable cells convert the colorless tetrazolium to formazan, which is blue). At 4 hours after tetrazolium blue was added, the reaction was stopped and the formazan concentration was measured by detection of light absorbance at 575 nm in an enzyme linked immunosorbent assay (ELISA) plate reader. The percent growth inhibition was determined by dividing the ELISA results for samples containing test compounds by the results for control samples. MDA-MB-321 (ER^-) cells were maintained and tested under the same conditions as described above, except that no estrogen was added.

[000209] Estrogenic and antiestrogenic activities were determined using the Ishikawa assay (Littlefield, B. A., Endocrinology 127: 2757-2762, 1990). The activities were measured at $10\text{ }\mu\text{M}$ concentrations of the test compounds. Human Ishikawa cells (endometrial carcinoma cells) are very

sensitive to estrogens, and compounds with estrogenic activity induce alkaline phosphatase (AlkP) enzyme activity in these cells when administered at levels as low as 10^{-12} M. Ishikawa cells were grown in growth medium supplemented with glutamine, sodium pyruvate, and 10% animal serum. At 24 hours before the experiment, the medium was replaced with medium free of phenol red and containing 5% animal serum that had been treated to remove endogenous estrogens. On Day 1, the cells were harvested and plated in fresh phenol red-free medium, and test solutions were added with or without the estrogen 17β -estradiol. After the treated cells were incubated for 72 hours, the AlkP assay was used to determine the estrogenic and antiestrogenic activity of the test compounds. Like the tetrazolium blue assay described above, this assay involves colorimetric detection using an ELISA plate reader, but here the indicator dye is yellow.

[000210] Results of these studies for some of the compounds are presented in Table 1. These compounds displayed potent growth inhibition of all three breast cancer cell lines studied, including estrogen-dependent, estrogen-independent and the drug-resistant cell lines. Growth inhibition was considerably greater for the novel compounds than for DIM or LT, which are themselves considerably more potent than IBC. In addition, the novel compounds all displayed strong antiestrogenic activity with no measurable estrogenic activity. DIM, by contrast, displayed moderate estrogenic and antiestrogenic activities in the Ishikawa assay.

Table 1. Growth inhibitory, antiestrogenic, and estrogenic activities of IBC metabolites and novel compounds.

Compound	Breast Cancer Cell Lines					Ishikawa Cells	
	MCF-7 (ER+)		MDA-MB-231 (ER-)		Tamoxifen-resistant MCF-7	Ishikawa Assay	
	IC ₅₀ (μM)	% Growth Inhibition at 1 μM	IC ₅₀ (μM)	% Growth Inhibition at 10 μM	IC ₅₀ (μM)	Estrogenic Activity at 10 μM	Anti-Estrogenic Activity at 10 μM
DIM	5.5	3	31	20	>10	52	30
LT	1.8	19	13	34	0.6	0	100
46	0.031	100	6.9	81	0.46	0	94
58	0.018	100	2.1	97	0.056	0	100
63	1.4	66	2.0	94	3.0	0	100
74	0.2	100	7.8	69	0.93	0	80

EXAMPLE 26

IN VITRO DETERMINATION OF GROWTH INHIBITORY ACTIVITY OF COMPOUND 74 ON OVARIAN CANCER CELL LINES

[000211] Assays were conducted on compound 74 (SR13668) to determine its growth inhibitory effect on two ovarian cancer cell lines, NIH-OVCAR-3 and SKOV-3. OVCAR-3 cells are routinely

maintained in RPMI-1640 medium supplemented with 2 mM glutamine (GLN) and 10% fetal calf serum (FCS), and SKOV-3 cells in McCoy's 5A medium supplemented with 2 mM GLN and 10% FCS. Both cell lines are fed with fresh medium every other day and passaged every 6-7 days. To conduct the growth inhibition assay, cells from either cell line are seeded in 96-well plates at 2000 cells/well in 200 μ L of medium. After cells are allowed to attach for 24 h, test compounds dissolved in dimethyl sulfoxide (DMSO) and further diluted with medium are added to each well in 10- μ L aliquots. The final DMSO concentration is kept at 0.05%. Control wells receive vehicle only. Media and test solutions are replaced every other day. On Day 7, viable cells are measured with the MTT (tetrazolium blue) assay, using an MTT kit.

[000212] Compound 74 was found to be potent at inhibiting the growth of cells from both lines: the IC_{50} values were 5.1 μ M for NIH-OVCAR-3 and 4.0 μ M for SKOV-3. As both of these cell lines are cisplatin-resistant and represent aggressive, difficult to treat cancers, these results are highly encouraging and indicate a potential breakthrough in the treatment of ovarian cancer.

EXAMPLE 27

IN VITRO DETERMINATION OF GROWTH INHIBITORY ACTIVITY OF

46 ON PROSTATE CANCER CELL LINES

[000213] Assays were conducted on compound 46 (SR13654) to determine its growth inhibitory effect on three prostate cancer cell lines, LNCaP, DU-145 and PC-3. The methods were described briefly herein. LNCaP and PC-3 cells will be maintained in RPMI-164 medium, and DU-145 cells in Eagle's minimal essential medium (MEM) medium supplemented with nonessential amino acids, 2 mM glutamine and 1 mM pyruvate. All media will contain 10% fetal bovine serum (FCS). To screen compounds for their effect on cell proliferation, cells are seeded in 96-well plates at 2000 cells/well in 200 μ L of medium. After cells are allowed to attach for 24 h, test compound is added to each well in 10 μ L aliquots and control wells receive vehicle only. Medium and test solutions are replaced every other day. On Day 7, viable cells are measured with the MTT assay, using the protocol provided by the manufacturer (Promega, Madison, WI).

[000214] Compound 46 showed potent growth inhibitory activity in these three cell lines: the IC_{50} values were 4.7 μ M for LNCaP, 0.48 μ M for DU-145 and 0.2 μ M for PC-3. As DU-145 and PC-3 are invasive and androgen-nonresponsive cell lines, which have highly metastatic potential and can not be treated by antiandrogen, these results are very promising and indicate a potential breakthrough in the treatment of prostate cancer.

EXAMPLE 28**IN VITRO DETERMINATION OF ANTI-INVASIVE ACTIVITY OF
COMPOUND 74 ON (ER⁻) MDA-MB-231 BREAST CANCER CELL LINES**

[000215] In *in vitro* invasion studies, it is very important to demonstrate that inhibition of invasion is not due to cell killing or simple cell growth inhibition; thus, we also conducted parallel cell growth inhibition assays in these studies. MDA-MB-231 human carcinoma cells was used for this Boyden Chamber Invasion Assay (Meng et al. (2000) *J. Mol. Med.* **78**, 155-165; Meng et al. (2000) *Breast Cancer Res. Treat.* **63**, 147-152). Briefly, to each well of a 24-well Biocoat invasion chamber plate, 0.6 mL of appropriate medium containing 10% FCSH 6µg/ml fibronectin is added, followed by gentle placement of a CCI in each well. To each CCI is added freshly harvested cells suspended in 200 µL of medium containing either the test compound or vehicle alone. The preparations are incubated at 37°C for 16 h, and then the CCI is removed from each well, fixed for 10 min with 1 ml of 3.7% paraformaldehyde in PBS, and stained for 10 min in 1 mL of Wright-Giemsa stain solution. The stained CCI is placed on a glass microscope slide and the upper surface cells are carefully removed by scraping with a cotton swab. The cells on the lower surface are counted in a bright field microscope. The inhibitory effect of a test compound is calculated by dividing the number of cells in a treated preparation by that of the vehicle control and expressed as a percentage.

[000216] Results of these studies show that compound 74 only exhibited 22% growth inhibition at 5 µM concentration, while compound 74 exhibited a 90% reduction in the invasive capacity of MDA-MB-231 cells at the same concentration, with an identical 16 h end-point. As invasion leads to metastasis, and metastases turn cancer into an incurable disease, any promising new strategy or therapeutic with curative intent should aim not only at cell proliferation but also at cell invasion to achieve a cumulative benefit in cancer therapy. These results indicate these novel indole analogs have potential in the suppression of cancer metastasis.

EXAMPLE 29**ANTITUMORIGENIC ACTIVITY OF ORALLY ADMINISTERED COMPOUND 74
AGAINST ER⁺ MCF-7 BREAST CANCER XENOGRAFTS IN NUDE MICE**

[000217] To study the *in vivo* activity of novel compound 74 against estrogen-dependent breast cancer, the compound was administered to nude mice that had been implanted with (ER⁺) MCF-7 breast cancer cells. To start the experiment, female Balb/c nude mice were implanted subcutaneously (s.c.) with estrogen pellets two days prior to inoculation of cancer cells, for stimulation of cell growth. MCF-7 cells were then implanted s.c. in the flanks, using an inoculum of 5×10^6 cells in a medium-Matrigel mixture (1:1, v/v). When the mean tumor volume reached approximately 100 mm³, the test compound in 0.5% hydroxypropylcellulose in sterile saline solution (or vehicle alone for controls)

was administered orally by gavage daily. There were 7 mice in the experimental and control groups. Mice were examined daily for tumor growth, and tumors and body weight were measured twice weekly. The tumor volume is $\frac{4}{3}\pi r_1^2 r_2$, where r_1 and r_2 are the short radius and long radius, respectively. On Day 27, all the mice were sacrificed, and the major organs examined by a pathologist.

[000218] As shown in Figure 1, mice that received compound 74 consistently had tumor volumes that were less than half of those in the control mice, which did not receive compound 74. The body weights of the treated mice were unaffected, indicating that compound 74 has no adverse effect.

EXAMPLE 30

ANTITUMORIGENIC ACTIVITY OF ORALLY ADMINISTERED 74 ON (ER⁻) MDA-MB-231 BREAST CANCER XENOGRAFTS IN NUDE MICE

[000219] As a further test of *in vivo* efficacy against estrogen-independent breast cancer, the novel compound 74 was administered to nude mice that had been implanted with (ER⁻) MDA-MB-231 human breast cancer xenografts. To start, female Balb/c nude mice were implanted subcutaneously (s.c.) with MDA-MB-231 cells, using an inoculum of 5×10^6 cells in a medium-Matrigel mixture (1:1, v/v). When the mean tumor volume reached approximately 70 mm³, compound was administered daily at three dose levels (10, 30, and 100 mg/kg) via oral gavage.

The methods used in the study were essentially the similar to those described in Example 29 herein.

[000220] As shown in Figure 2, mice that received compound 74 consistently had tumor volumes that were less than half of those in the control mice, which did not receive compound 74. The body weights of the treated mice were unaffected, indicating that compound 74 had no adverse effect.

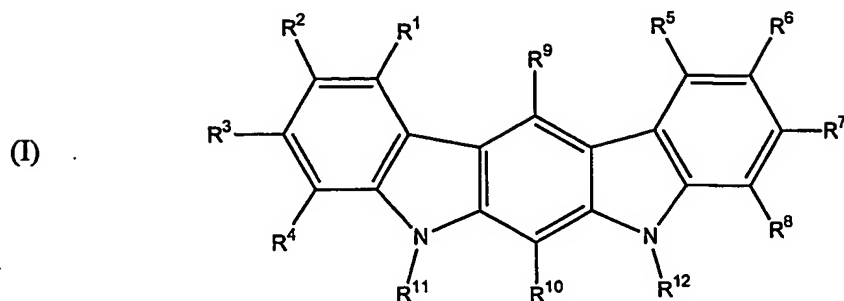
EXAMPLE 31

ANTITUMORIGENIC ACTIVITY OF ORALLY ADMINISTERED 74 ON SKOV-3 HUMAN OVARIAN CANCER XENOGRAFTS IN NUDE MICE

[000221] To start, female Balb/c nude mice were implanted subcutaneously (s.c.) with SKOV-3 cells in the flanks, using an inoculum of 5×10^6 cells in a medium-Matrigel mixture (1:1, v/v), and compound 74 (30 mg/kg) was orally administered daily via gavage from Day 0. Tumor volume and body weight were measured twice weekly. The body weights of the treated mice were unaffected, indicating that compound 74 has low systemic toxicity. As shown in Figure 3, mice that received compound 74 consistently had tumor volumes that were significantly less than those in the control mice, which did not receive compound 74. Since SKOV-3 is a highly drug-resistant human ovarian cancer cell line and represent aggressive, difficult to treat cancers, these results are highly encouraging and indicate a potential breakthrough in the treatment of ovarian cancer.

CLAIMS:

1. A compound having the structure of formula (I)



wherein:

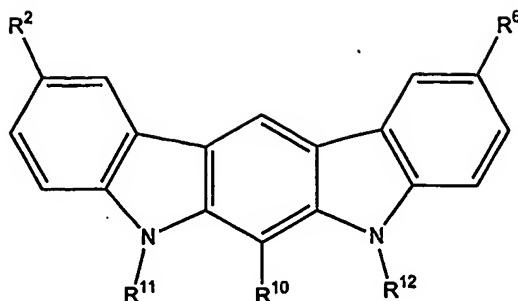
$R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9,$ and R^{10} are substituents independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, halocarbonyl, C_2 - C_{24} alkylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono- (C_1 - C_{24} alkyl)-substituted carbamoyl, di- (C_1 - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di- (C_1 - C_{24} alkyl)-substituted amino, mono- and di- (C_5 - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido, C_5 - C_{20} arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C_1 - C_{24} alkylsulfanyl, arylsulfanyl, C_1 - C_{24} alkylsulfinyl, C_5 - C_{20} arylsulfinyl, C_1 - C_{24} alkylsulfonyl, C_5 - C_{20} arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxycarbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, and di- (C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl,

with the provisos that: at least one of $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9, R^{10}, R^{11},$ and R^{12} is other than hydrogen; and when $R^1, R^2, R^3, R^4, R^5, R^6, R^7,$ and R^8 are selected from hydrogen, halo, alkyl, and alkoxy, then R^{11} and R^{12} are other than hydrogen and alkyl.

2. The compound of claim 1, wherein $R^1, R^3, R^4, R^5, R^7, R^8,$ and R^9 are hydrogen, such that the compound has the structure of formula (Ia)

(Ia)



3. The compound of claim 2, wherein R^2 and R^6 are independently selected from the group consisting of hydrogen, halo, hydroxyl, sulfhydryl, C_1 - C_{12} alkyl, C_2 - C_{12} alkenyl, C_1 - C_{12} alkoxy, C_5 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonyl, C_6 - C_{20} arylcarbonyl, C_2 - C_{12} acyloxy, C_2 - C_{12} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, C_2 - C_{12} alkylcarbonato, carboxy, carbamoyl, mono- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, amino, mono- and di- $(C_1$ - C_{12} alkyl)-substituted amino, C_2 - C_{12} alkylamido, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl.

4. The compound of claim 3, wherein R^2 and R^6 are independently selected from the group consisting of halo, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, C_2 - C_{12} alkoxycarbonyl, C_2 - C_{12} alkylcarbonato, carbamoyl, mono- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl.

5. The compound of claim 2, wherein R^{10} is C_1 - C_{12} alkyl, C_1 - C_{12} haloalkyl, C_1 - C_{12} alkoxy, C_1 - C_{12} alkylsulfanyl, C_2 - C_{12} alkoxycarbonyl, or C_2 - C_{12} alkylcarbonato.

6. The compound of claim 2, wherein R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxycarbonyl, amino-substituted C_1 - C_{12} alkyl, $(C_1$ - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di- $(C_1$ - C_{12} alkyl)amino)-substituted C_1 - C_{12} alkyl.

7. The compound of claim 1, wherein at least one of R^2 , R^6 , and R^{10} is C_2 - C_{12} alkoxycarbonyl or C_2 - C_{12} alkylcarbonato.

8. The compound of claim 7, wherein at least one of R^2 , R^6 , and R^{10} is C_2 - C_6 alkoxycarbonyl or C_2 - C_6 alkylcarbonato.

9. The compound of claim 2, wherein:

R^2 and R^6 are independently selected from hydrogen and C_2 - C_6 alkoxycarbonyl;

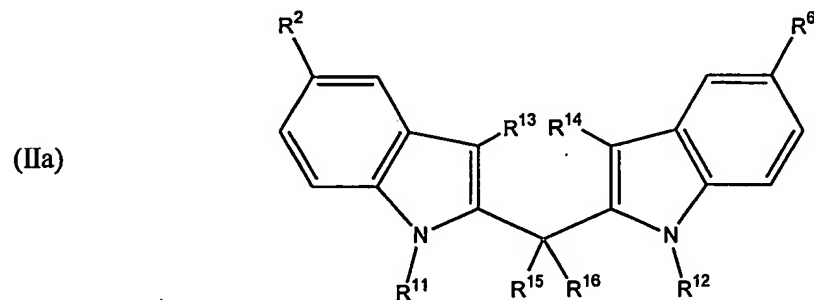
phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms, with the proviso that one but not both of R² and R⁶ can be amino, mono-substituted amino, or di-substituted amino;

R¹¹ and R¹² are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkoxy, carbonyl, amino-substituted C₁-C₂₄ alkyl, (C₁-C₂₄ alkylamino)-substituted C₁-C₂₄ alkyl, and di-(C₁-C₂₄ alkyl)amino-substituted C₁-C₂₄ alkyl;

R¹³ and R¹⁴ are defined as for R¹, R², R³, R⁴, R⁵, R⁶, R⁷, and R⁸, with the proviso that at least one of R¹³ and R¹⁴ is other than hydrogen; and

X is O, S, arylene, heteroarylene, CR¹⁵R¹⁶ or NR¹⁷ wherein R¹⁵ and R¹⁶ are hydrogen, C₁-C₆ alkyl, or together form =CR¹⁸R¹⁹ where R¹⁸ and R¹⁹ are hydrogen or C₁-C₆ alkyl, and R¹⁷ is as defined for R¹¹ and R¹².

15. The compound of claim 14, wherein R¹, R³, R⁴, R⁵, R⁷, and R⁸ are hydrogen, and X is CR¹⁵R¹⁶, such that the compound has the structure of formula (IIa)



16. The compound of claim 15, wherein R² and R⁶ are independently selected from the group consisting of hydrogen, halo, hydroxyl, sulfhydryl, C₁-C₁₂ alkyl, C₂-C₁₂ alkenyl, C₁-C₁₂ alkoxy, C₅-C₂₀ aryloxy, C₂-C₁₂ alkylcarbonyl, C₆-C₂₀ arylcarbonyl, C₂-C₁₂ acyloxy, C₂-C₁₂ alkoxy, carbonyl, C₆-C₂₀ aryloxy, carbonyl, C₂-C₁₂ alkylcarbonato, carboxy, carbamoyl, mono-(C₁-C₁₂ alkyl)-substituted carbamoyl, di-(C₁-C₁₂ alkyl)-substituted carbamoyl, amino, mono- and di-(C₁-C₁₂ alkyl)-substituted amino, C₂-C₁₂ alkylamido, C₁-C₁₂ alkylsulfanyl, C₁-C₁₂ alkylsulfinyl, and C₁-C₁₂ alkylsulfonyl.

17. The compound of claim 16, wherein R² and R⁶ are independently selected from the group consisting of halo, C₁-C₁₂ alkyl, C₁-C₁₂ alkoxy, C₂-C₁₂ alkoxy, carbonyl, C₂-C₁₂ alkylcarbonato, carbamoyl, mono-(C₁-C₁₂ alkyl)-substituted carbamoyl, di-(C₁-C₁₂ alkyl)-substituted carbamoyl, C₁-C₁₂ alkylsulfanyl, C₁-C₁₂ alkylsulfinyl, and C₁-C₁₂ alkylsulfonyl.

18. The compound of claim 17, wherein at least one of R^2 and R^6 is C_2 - C_{12} alkoxy carbonyl or C_2 - C_{12} alkylcarbonato.

19. The compound of claim 15, wherein R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxy carbonyl, amino-substituted C_1 - C_{12} alkyl, (C_1 - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di-(C_1 - C_{12} alkyl)amino-substituted C_1 - C_{12} alkyl.

20. The compound of claim 15, wherein R^{13} and R^{14} are independently selected from the group consisting of hydrogen, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, and C_2 - C_{12} alkoxy carbonyl.

21. The compound of claim 15, wherein R^{15} and R^{16} are independently selected from hydrogen and C_1 - C_{12} alkyl, or together form $=CR^{18}R^{19}$ where R^{18} and R^{19} are hydrogen or C_1 - C_6 alkyl.

22. The compound of claim 15, wherein:

R^2 and R^6 are independently selected from hydrogen and C_2 - C_6 alkoxy carbonyl;

R^{11} and R^{12} are independently selected from hydrogen and C_1 - C_6 alkyl;

R^{13} and R^{14} are independently selected from hydrogen, C_1 - C_6 alkyl, C_1 - C_6 alkoxy, and C_2 - C_6 alkoxy carbonyl; and

R^{15} and R^{16} are independently selected from hydrogen and C_1 - C_6 alkyl, or together form $=CH_2$.

23. The compound of claim 22, wherein:

R^2 and R^6 are independently selected from hydrogen and ethoxy carbonyl;

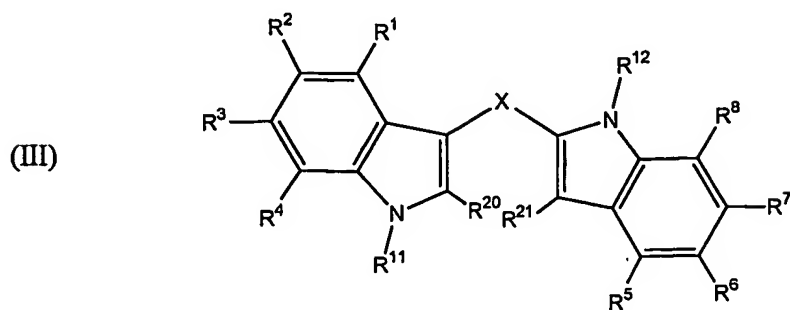
R^{11} and R^{12} are hydrogen;

R^{13} and R^{14} are independently selected from hydrogen, methyl, and ethoxy carbonyl; and

R^{15} and R^{16} are hydrogen.

24. The compound of claim 23, wherein R^2 and R^6 are ethoxy carbonyl.

25. A compound having the structure of formula (III)



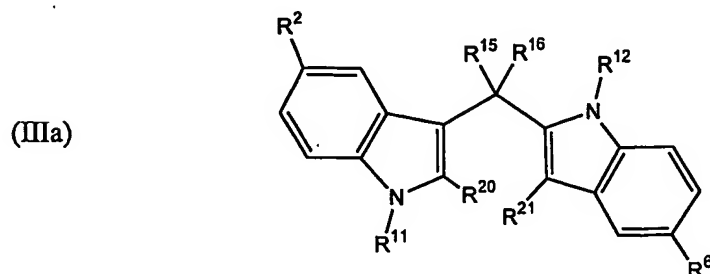
wherein:

R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R²⁰, and R²¹ are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkenyl, C₂-C₂₄ alkynyl, C₅-C₂₀ aryl, C₆-C₂₄ alkaryl, C₆-C₂₄ aralkyl, halo, hydroxyl, sulfhydryl, C₁-C₂₄ alkoxy, C₂-C₂₄ alkenyloxy, C₂-C₂₄ alkynyloxy, C₅-C₂₀ aryloxy, acyl, acyloxy, C₂-C₂₄ alkoxycarbonyl, C₆-C₂₀ aryloxycarbonyl, halocarbonyl, C₂-C₂₄ alkylcarbonato, C₆-C₂₀ arylcarbonato, carboxy, carboxylato, carbamoyl, mono-(C₁-C₂₄ alkyl)-substituted carbamoyl, di-(C₁-C₂₄ alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di-(C₁-C₂₄ alkyl)-substituted amino, mono- and di-(C₅-C₂₀ aryl)-substituted amino, C₂-C₂₄ alkylamido, C₅-C₂₀ arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C₁-C₂₄ alkylsulfanyl, arylsulfanyl, C₁-C₂₄ alkylsulfinyl, C₅-C₂₀ arylsulfinyl, C₁-C₂₄ alkylsulfonyl, C₅-C₂₀ arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms;

R¹¹ and R¹² are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkoxycarbonyl, amino-substituted C₁-C₂₄ alkyl, (C₁-C₂₄ alkylamino)-substituted C₁-C₂₄ alkyl, and di-(C₁-C₂₄ alkyl)amino-substituted C₁-C₂₄ alkyl; and

X is O, S, arylene, heteroarylene, CR¹⁵R¹⁶ or NR¹⁷ wherein R¹⁵ and R¹⁶ are hydrogen, C₁-C₆ alkyl, or together form =CR¹⁸R¹⁹ where R¹⁸ and R¹⁹ are hydrogen or C₁-C₆ alkyl, and R¹⁷ is as defined for R¹¹ and R¹².

26. The compound of claim 25, wherein R^1 , R^3 , R^4 , R^5 , R^7 , and R^8 are hydrogen, and X is $CR^{15}R^{16}$, such that the compound has the structure of formula (IIIa)



27. The compound of claim 26, wherein R^2 and R^6 are independently selected from the group consisting of hydrogen, halo, hydroxyl, sulfhydryl, C_1 - C_{12} alkyl, C_2 - C_{12} alkenyl, C_1 - C_{12} alkoxy, C_5 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonyl, C_6 - C_{20} arylcarbonyl, C_2 - C_{12} acyloxy, C_2 - C_{12} alkoxycarbonyl, C_6 - C_{20} aryloxy, C_2 - C_{12} alkylcarbonato, carboxy, carbamoyl, mono- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, amino, mono- and di- $(C_1$ - C_{12} alkyl)-substituted amino, C_2 - C_{12} alkylamido, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl.

28. The compound of claim 27, wherein R^2 and R^6 are independently selected from the group consisting of halo, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, C_2 - C_{12} alkoxycarbonyl, C_2 - C_{12} alkylcarbonato, carbamoyl, mono- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{12} alkyl)-substituted carbamoyl, C_1 - C_{12} alkylsulfanyl, C_1 - C_{12} alkylsulfinyl, and C_1 - C_{12} alkylsulfonyl.

29. The compound of claim 28, wherein at least one of R^2 and R^6 is C_2 - C_{12} alkoxycarbonyl or C_2 - C_{12} alkylcarbonato.

30. The compound of claim 26, wherein R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{12} alkyl, C_2 - C_{12} alkoxycarbonyl, amino-substituted C_1 - C_{12} alkyl, $(C_1$ - C_{12} alkylamino)-substituted C_1 - C_{12} alkyl, and di- $(C_1$ - C_{12} alkyl)amino-substituted C_1 - C_{12} alkyl.

31. The compound of claim 26, wherein R^{15} and R^{16} are independently selected from hydrogen and C_1 - C_{12} alkyl, or together form $=CR^{18}R^{19}$ where R^{18} and R^{19} are hydrogen or C_1 - C_6 alkyl.

32. The compound of claim 26, wherein R^{20} and R^{21} are independently selected from the group consisting of hydrogen, C_1 - C_{12} alkyl, C_1 - C_{12} alkoxy, and C_2 - C_{12} alkoxycarbonyl.

33. The compound of claim 26, wherein:

R^2 and R^6 are independently selected from hydrogen and C_2 - C_6 alkoxycarbonyl;

R^{11} and R^{12} are independently selected from hydrogen and C_1 - C_6 alkyl;

R^{15} and R^{16} are independently selected from hydrogen, C_1 - C_6 alkyl, or together form $=CH_2$;

and

R^{20} and R^{21} are independently selected from hydrogen, C_1 - C_6 alkyl, C_1 - C_6 alkoxy, and C_2 - C_6 alkoxycarbonyl.

34. The compound of claim 33, wherein:

R^2 and R^6 are independently selected from hydrogen and ethoxycarbonyl;

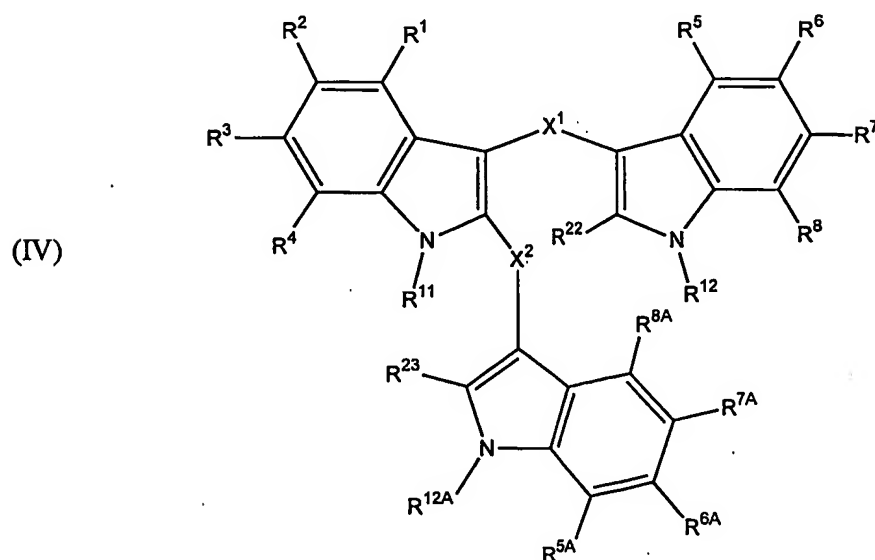
R^{11} and R^{12} are hydrogen;

R^{15} and R^{16} are hydrogen; and

R^{20} and R^{21} are independently selected from hydrogen, methyl, and ethoxycarbonyl.

35. The compound of claim 34, wherein R^2 and R^6 are ethoxycarbonyl.

36. A compound having the structure of formula (IV)



wherein:

R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , R^8 , R^{5A} , R^{6A} , R^{7A} , R^{8A} , R^{22} and R^{23} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, halocarbonyl, C_2 - C_{24} alkylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono- $(C_1$ - C_{24} alkyl)-substituted carbamoyl, di- $(C_1$ - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato,

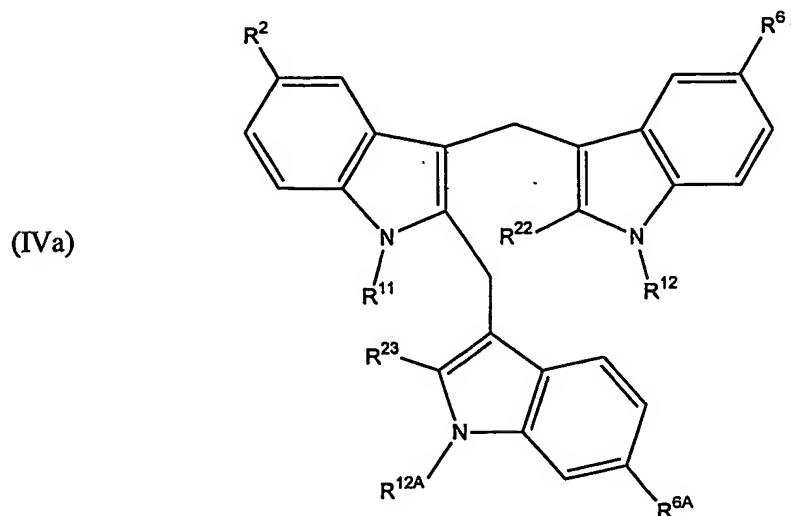
azido, formyl, thioformyl, amino, mono- and di-(C₁-C₂₄ alkyl)-substituted amino, mono- and di-(C₅-C₂₀ aryl)-substituted amino, C₂-C₂₄ alkylamido, C₅-C₂₀ arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C₁-C₂₄ alkylsulfanyl, arylsulfanyl, C₁-C₂₄ alkylsulfinyl, C₅-C₂₀ arylsulfinyl, C₁-C₂₄ alkylsulfonyl, C₅-C₂₀ arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms;

R¹¹, R¹², and R^{12A} are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkoxy carbonyl, amino-substituted C₁-C₂₄ alkyl, (C₁-C₂₄ alkylamino)-substituted C₁-C₂₄ alkyl, and di-(C₁-C₂₄ alkyl)amino-substituted C₁-C₂₄ alkyl; and

X¹ and X² are independent selected from O, S, arylene, heteroarylene, CR¹⁵R¹⁶ and NR¹⁷ wherein R¹⁵ and R¹⁶ are hydrogen, C₁-C₆ alkyl, or together form =CR¹⁸R¹⁹ where R¹⁸ and R¹⁹ are hydrogen or C₁-C₆ alkyl, and R¹⁷ is as defined for R¹¹ and R¹²,

with the proviso that at least one of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R^{5A}, R^{6A}, R^{7A}, R^{8A}, R¹¹, R²² and R²³ is other than hydrogen.

37. The compound of claim 36, wherein R¹, R³, R⁴, R⁵, R⁷, R⁸, R^{5A}, R^{7A}, and R^{8A} are hydrogen, and X¹ and X² are CH₂, such that the compound has the structure of formula (IVa)



with the proviso that at least one of R², R⁶, R^{6A}, R¹¹, R¹², R^{12A}, R²² and R²³ is other than hydrogen.

38. The compound of claim 37, wherein R², R⁶, R^{6A}, R²², and R²³ are independently selected from the group consisting of halo, C₁-C₁₂ alkyl, C₁-C₁₂ alkoxy, C₂-C₁₂ alkoxy carbonyl, C₂-C₁₂

alkylcarbonato, carbamoyl, mono-(C₁-C₁₂ alkyl)-substituted carbamoyl, di-(C₁-C₁₂ alkyl)-substituted carbamoyl, C₁-C₁₂ alkylsulfanyl, C₁-C₁₂ alkylsulfinyl, and C₁-C₁₂ alkylsulfonyl.

39. The compound of claim 38, wherein at least one of R², R⁶, R^{6A}, R²², and R²³ is C₂-C₁₂ alkoxycarbonyl or C₂-C₁₂ alkylcarbonato.

40. The compound of claim 37, wherein R¹¹, R¹², and R^{12A} are independently selected from the group consisting of hydrogen, C₁-C₁₂ alkyl, C₂-C₁₂ alkoxycarbonyl, amino-substituted C₁-C₁₂ alkyl, (C₁-C₁₂ alkylamino)-substituted C₁-C₁₂ alkyl, and di-(C₁-C₁₂ alkyl)amino-substituted C₁-C₁₂ alkyl.

41. The compound of claim 37, wherein:

R², R⁶, R^{6A}, R²², and R²³ are independently selected from hydrogen and C₂-C₆ alkoxycarbonyl; and

R¹¹, R¹², and R^{12A} are independently selected from hydrogen and C₁-C₆ alkyl.

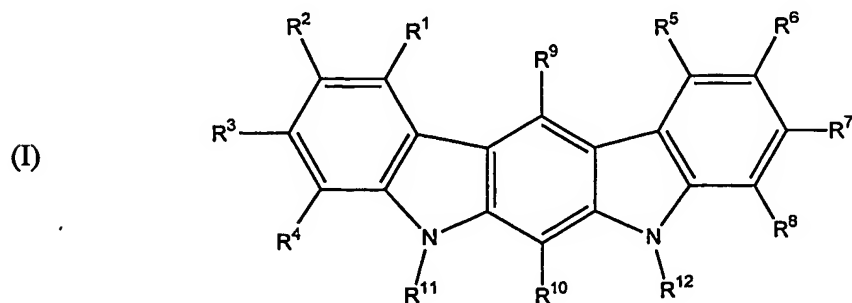
42. The compound of claim 41, wherein:

R², R⁶, R^{6A}, R²², and R²³ are independently selected from hydrogen and ethoxycarbonyl;

R¹¹, R¹², and R^{12A} are hydrogen;

43. The compound of claim 42, wherein at least one of R², R⁶, R^{6A}, R²², and R²³ is ethoxycarbonyl.

44. A pharmaceutical composition comprising a therapeutically effective amount of a compound having the structure of formula (I)



wherein:

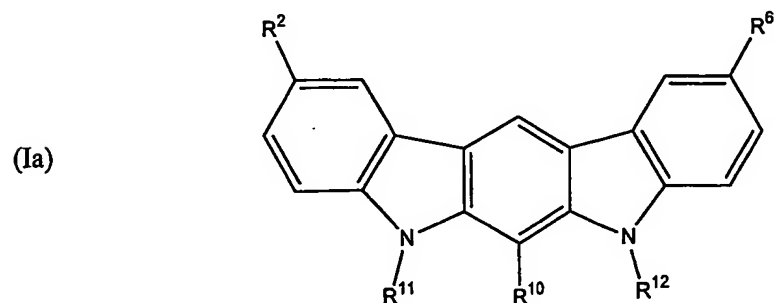
R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, and R¹⁰ are substituents independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkenyl, C₂-C₂₄ alkynyl, C₅-C₂₀ aryl, C₆-C₂₄ alkaryl, C₆-C₂₄ aralkyl, halo, hydroxyl, sulfhydryl, C₁-C₂₄ alkoxy, C₂-C₂₄ alkenyloxy, C₂-C₂₄

alkynyloxy, C₅-C₂₀ aryloxy, acyl, acyloxy, C₂-C₂₄ alkoxycarbonyl, C₆-C₂₀ aryloxycarbonyl, halocarbonyl, C₂-C₂₄ alkylcarbonato, C₆-C₂₀ arylcarbonato, carboxy, carboxylato, carbamoyl, mono-(C₁-C₂₄ alkyl)-substituted carbamoyl, di-(C₁-C₂₄ alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di-(C₁-C₂₄ alkyl)-substituted amino, mono- and di-(C₅-C₂₀ aryl)-substituted amino, C₂-C₂₄ alkylamido, C₅-C₂₀ arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C₁-C₂₄ alkylsulfanyl, arylsulfanyl, C₁-C₂₄ alkylsulfanyl, C₅-C₂₀ arylsulfanyl, C₁-C₂₄ alkylsulfonyl, C₅-C₂₀ arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

R¹¹ and R¹² are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkoxycarbonyl, amino-substituted C₁-C₂₄ alkyl, (C₁-C₂₄ alkylamino)-substituted C₁-C₂₄ alkyl, and di-(C₁-C₂₄ alkyl)amino-substituted C₁-C₂₄ alkyl,

with the proviso that at least one of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹⁰, R¹¹, and R¹² is other than hydrogen.

45. The composition of claim 44, wherein R¹, R³, R⁴, R⁵, R⁷, R⁸, and R⁹ are hydrogen, such that the compound has the structure of formula (Ia)



46. The composition of claim 44, wherein the pharmaceutically acceptable carrier is suitable for oral administration and the composition comprises an oral dosage form.

47. The composition of claim 46, wherein the oral dosage form is a tablet.

48. The composition of claim 46, wherein the oral dosage form is a capsule.

49. The composition of claim 44, wherein the pharmaceutically acceptable carrier is suitable for parenteral administration and the composition comprises a parenterally administrable formulation.

50. The composition of claim 45, wherein the pharmaceutically acceptable carrier is suitable for oral administration and the composition comprises an oral dosage form.

51. The composition of claim 50, wherein the oral dosage form is a tablet.

52. The composition of claim 50, wherein the oral dosage form is a capsule.

53. The composition of claim 45, wherein the pharmaceutically acceptable carrier is suitable for parenteral administration and the composition comprises a parenterally administrable formulation.

54. A pharmaceutical composition comprising the compound of any one of claims 14, 15, 25, 26, 36, and 37 in combination with a pharmaceutically acceptable carrier.

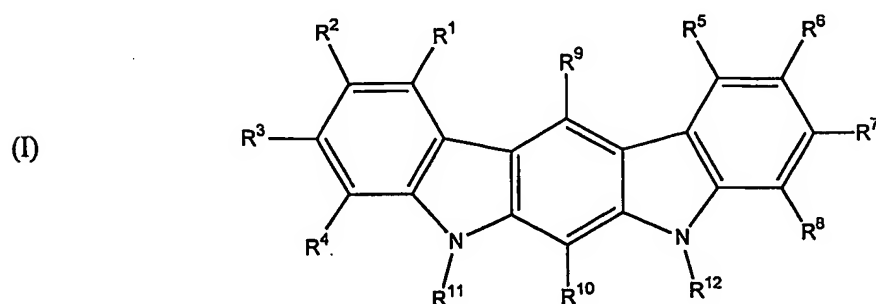
55. The composition of claim 54, wherein the pharmaceutically acceptable carrier is suitable for oral administration and the composition comprises an oral dosage form.

56. The composition of claim 55, wherein the oral dosage form is a tablet.

57. The composition of claim 55, wherein the oral dosage form is a capsule.

58. The composition of claim 54, wherein the pharmaceutically acceptable carrier is suitable for parenteral administration and the composition comprises a parenterally administrable formulation.

59. A method for preventing or treating cancer in a mammalian individual, comprising administering to the individual a therapeutically effective amount of a compound having the structure of formula (I)



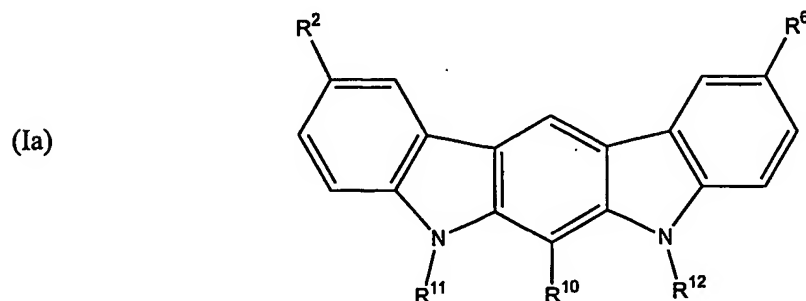
wherein:

$R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9$, and R^{10} are substituents independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxy carbonyl, C_6 - C_{20} aryloxy carbonyl, halocarbonyl, C_2 - C_{24} alkylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono- (C_1 - C_{24} alkyl)-substituted carbamoyl, di- (C_1 - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di- (C_1 - C_{24} alkyl)-substituted amino, mono- and di- (C_5 - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido, C_5 - C_{20} arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C_1 - C_{24} alkylsulfanyl, arylsulfanyl, C_1 - C_{24} alkylsulfinyl, C_5 - C_{20} arylsulfinyl, C_1 - C_{24} alkylsulfonyl, C_5 - C_{20} arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

R^{11} and R^{12} are independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkoxy carbonyl, amino-substituted C_1 - C_{24} alkyl, (C_1 - C_{24} alkylamino)-substituted C_1 - C_{24} alkyl, and di- (C_1 - C_{24} alkyl)amino-substituted C_1 - C_{24} alkyl,

with the proviso that at least one of $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9, R^{10}, R^{11}$, and R^{12} is other than hydrogen.

60. The method of claim 59, wherein $R^1, R^3, R^4, R^5, R^7, R^8$, and R^9 are hydrogen, such that the compound has the structure of formula (Ia)



61. The method of claim 59, wherein the cancer is an estrogen-dependent cancer.

62. The method of claim 61, wherein the cancer is of the breast, cervix, uterus, ovaries, or endometrium.

63. The method of claim 62, wherein the cancer is breast cancer.

64. The method of claim 62, wherein the cancer is ovarian cancer.

65. The method of claim 61, wherein the cancer is metastasized.

66. The method of claim 61, wherein the cancer is a drug-resistant cancer.

67. The method of claim 66, wherein the cancer exhibits multiple drug resistance.

68. The method of claim 59, wherein the cancer is a non-estrogen-dependent cancer.

69. The method of claim 68, wherein the cancer is of the prostate, liver, lung, colon or pancreas.

70. The method of claim 68, wherein the cancer is metastasized.

71. The method of claim 68, wherein the cancer is a drug-resistant cancer.

72. The method of claim 71, wherein the cancer exhibits multiple drug resistance.

73. The method of claim 60, wherein the cancer is an estrogen-dependent cancer.

74. The method of claim 73, wherein the cancer is of the breast, cervix, uterus, ovaries, or endometrium.

75. The method of claim 74, wherein the cancer is breast cancer.

76. The method of claim 74, wherein the cancer is ovarian cancer.

77. The method of claim 73, wherein the cancer is metastasized.

78. The method of claim 73, wherein the cancer is a drug-resistant cancer.

79. The method of claim 78, wherein the cancer exhibits multiple drug resistance.
80. The method of claim 60, wherein the cancer is a non-estrogen-dependent cancer.
81. The method of claim 80, wherein the cancer is of the prostate, liver, lung, colon or pancreas.
82. The method of claim 80, wherein the cancer is metastasized.
83. The method of claim 80, wherein the cancer is a drug-resistant cancer.
84. The method of claim 83, wherein the cancer exhibits multiple drug resistance.
85. A method for preventing or treating cancer in a mammalian individual, comprising administering to the individual a therapeutically effective amount of the compound of any one of claims 14, 15, 25, 26, 36, and 37.
86. The method of claim 85, wherein the cancer is an estrogen-dependent cancer.
87. The method of claim 86, wherein the cancer is of the breast, cervix, uterus, ovaries, or endometrium.
88. The method of claim 87, wherein the cancer is breast cancer.
89. The method of claim 87, wherein the cancer is ovarian cancer.
90. The method of claim 86, wherein the cancer is metastasized.
91. The method of claim 86, wherein the cancer is a drug-resistant cancer.
92. The method of claim 91, wherein the cancer exhibits multiple drug resistance.
93. The method of claim 85, wherein the cancer is a non-estrogen-dependent cancer.

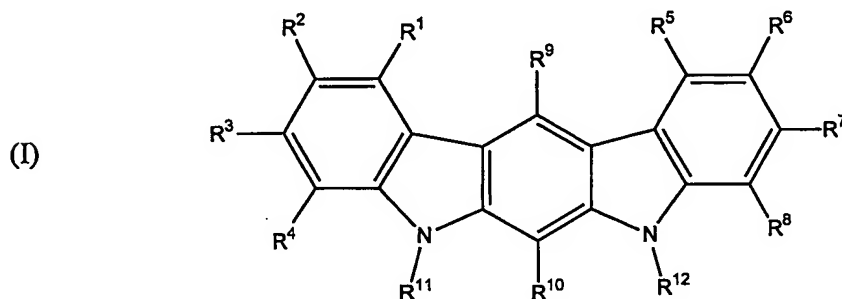
94. The method of claim 93, wherein the cancer is of the prostate, liver, lung, colon or pancreas.

95. The method of claim 93, wherein the cancer is metastasized.

96. The method of claim 93, wherein the cancer is a drug-resistant cancer.

97. The method of claim 96, wherein the cancer exhibits multiple drug resistance.

98. A method for treating an individual predisposed to or suffering from an estrogen-related condition, disease or disorder other than an estrogen-dependent cancer, comprising administering to the individual a therapeutically effective amount of a compound having the structure of formula (I)



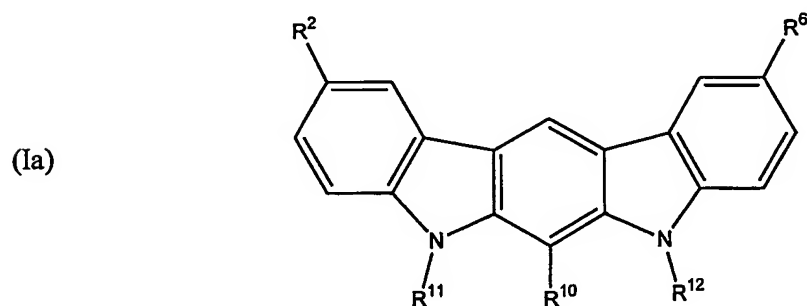
wherein:

R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 , R^8 , R^9 , and R^{10} are substituents independently selected from the group consisting of hydrogen, C_1 - C_{24} alkyl, C_2 - C_{24} alkenyl, C_2 - C_{24} alkynyl, C_5 - C_{20} aryl, C_6 - C_{24} alkaryl, C_6 - C_{24} aralkyl, halo, hydroxyl, sulfhydryl, C_1 - C_{24} alkoxy, C_2 - C_{24} alkenyloxy, C_2 - C_{24} alkynyloxy, C_5 - C_{20} aryloxy, acyl, acyloxy, C_2 - C_{24} alkoxycarbonyl, C_6 - C_{20} aryloxycarbonyl, halocarbonyl, C_2 - C_{24} alkylcarbonato, C_6 - C_{20} arylcarbonato, carboxy, carboxylato, carbamoyl, mono-(C_1 - C_{24} alkyl)-substituted carbamoyl, di-(C_1 - C_{24} alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di-(C_1 - C_{24} alkyl)-substituted amino, mono- and di-(C_5 - C_{20} aryl)-substituted amino, C_2 - C_{24} alkylamido, C_5 - C_{20} arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C_1 - C_{24} alkylsulfanyl, arylsulfanyl, C_1 - C_{24} alkylsulfinyl, C_5 - C_{20} arylsulfinyl, C_1 - C_{24} alkylsulfonyl, C_5 - C_{20} arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

R¹¹ and R¹² are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkoxy carbonyl, amino-substituted C₁-C₂₄ alkyl, (C₁-C₂₄ alkylamino)-substituted C₁-C₂₄ alkyl, and di-(C₁-C₂₄ alkyl)amino-substituted C₁-C₂₄ alkyl,

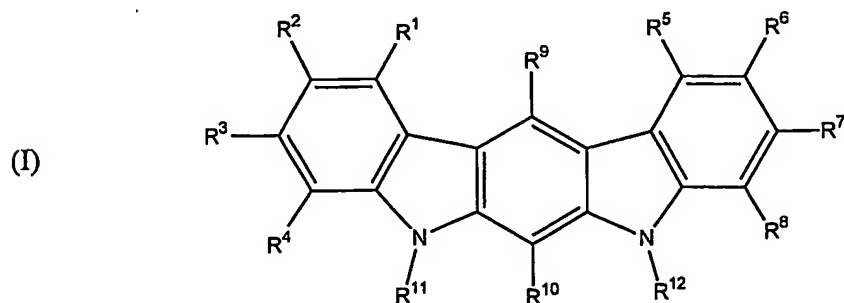
with the proviso that at least one of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹⁰, R¹¹, and R¹² is other than hydrogen.

99. The method of claim 98, wherein R¹, R³, R⁴, R⁵, R⁷, R⁸, and R⁹ are hydrogen, such that the compound has the structure of formula (Ia)



100. A method for treating an individual predisposed to or suffering from an estrogen-related condition, disease or disorder other than an estrogen-dependent cancer, comprising administering to the individual a therapeutically effective amount of the compound of any one of claims 14, 15, 25, 26, 36, and 37.

101. A method for treating an individual predisposed to or suffering from a viral infection, comprising administering to the individual a therapeutically effective amount of a compound having the structure of formula (I)



wherein:

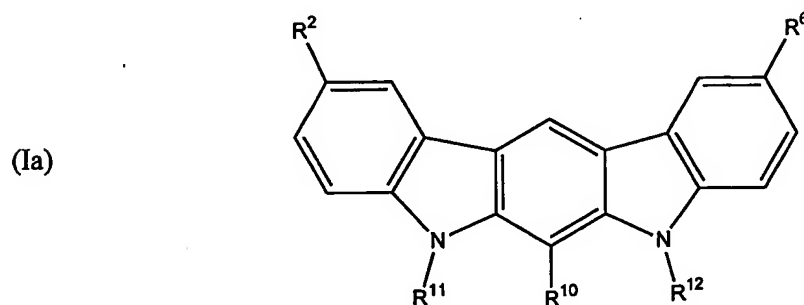
R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, and R¹⁰ are substituents independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkenyl, C₂-C₂₄ alkynyl, C₅-C₂₀ aryl, C₆-C₂₄ alkaryl, C₆-C₂₄ aralkyl, halo, hydroxyl, sulfhydryl, C₁-C₂₄ alkoxy, C₂-C₂₄ alkenyloxy, C₂-C₂₄ alkynyloxy, C₅-C₂₀ aryloxy, acyl, acyloxy, C₂-C₂₄ alkoxycarbonyl, C₆-C₂₀ aryloxy carbonyl,

halocarbonyl, C₂-C₂₄ alkylcarbonato, C₆-C₂₀ arylcarbonato, carboxy, carboxylato, carbamoyl, mono-(C₁-C₂₄ alkyl)-substituted carbamoyl, di-(C₁-C₂₄ alkyl)-substituted carbamoyl, mono-substituted arylcarbamoyl, thiocarbamoyl, carbamido, cyano, isocyano, cyanato, isocyanato, isothiocyanato, azido, formyl, thioformyl, amino, mono- and di-(C₁-C₂₄ alkyl)-substituted amino, mono- and di-(C₅-C₂₀ aryl)-substituted amino, C₂-C₂₄ alkylamido, C₅-C₂₀ arylamido, imino, alkylimino, arylimino, nitro, nitroso, sulfo, sulfonato, C₁-C₂₄ alkylsulfanyl, arylsulfanyl, C₁-C₂₄ alkylsulfinyl, C₅-C₂₀ arylsulfinyl, C₁-C₂₄ alkylsulfonyl, C₅-C₂₀ arylsulfonyl, phosphono, phosphonato, phosphinato, phospho, phosphino, and combinations thereof, and further wherein any two adjacent (*ortho*) substituents may be linked to form a cyclic structure selected from five-membered rings, six-membered rings, and fused five-membered and/or six-membered rings, wherein the cyclic structure is aromatic, alicyclic, heteroaromatic, or heteroalicyclic, and has zero to 4 non-hydrogen substituents and zero to 3 heteroatoms; and

R¹¹ and R¹² are independently selected from the group consisting of hydrogen, C₁-C₂₄ alkyl, C₂-C₂₄ alkoxycarbonyl, amino-substituted C₁-C₂₄ alkyl, (C₁-C₂₄ alkylamino)-substituted C₁-C₂₄ alkyl, and di-(C₁-C₂₄ alkyl)amino-substituted C₁-C₂₄ alkyl,

with the proviso that at least one of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹⁰, R¹¹, and R¹² is other than hydrogen.

102. The method of claim 101, wherein R¹, R³, R⁴, R⁵, R⁷, R⁸, and R⁹ are hydrogen, such that the compound has the structure of formula (Ia)



103. A method for treating an individual predisposed to or suffering from a viral infection, comprising administering to the individual a therapeutically effective amount of the compound of any one of claims 14, 15, 25, 26, 36, and 37.

104. The method of claim 101, wherein the viral infection is caused by a DNA virus.

105. The method of claim 104, wherein the DNA virus is human papillomavirus.

106. The method of claim 101, wherein the viral infection is a retroviral infection.
107. The method of claim 102, wherein the viral infection is caused by a DNA virus.
108. The method of claim 107, wherein the DNA virus is human papillomavirus.
109. The method of claim 102, wherein the viral infection is a retroviral infection.
110. The method of claim 103, wherein the viral infection is caused by a DNA virus.
111. The method of claim 110, wherein the DNA virus is human papillomavirus.
112. The method of claim 110, wherein the viral infection is a retroviral infection.
113. A method for synthesizing a 6-substituted 5,7-dihydro-indolo[2,3-b]carbazole compound, comprising treating an N-protected 3,3'-diindolylmethane with an organolithium reagent in the presence of a reactant selected from the group consisting of an anhydride, an acyl chloride, an alkyl carbonate, an aryl carbonate, an alkyl chloroformate, and an aryl chloroformate.
114. The method of claim 113, wherein the organolithium reagent is lithium 2,2,6,6-tetramethylpiperidide or lithium diisopropylamide.
115. The method of claim 113, wherein the anhydride has the structure $R-(CO)-O-(CO)-R$, wherein R is alkyl or substituted alkyl.
116. The method of claim 115, wherein R is alkyl.
117. The method of claim 116, wherein R is methyl.
118. The method of claim 115, wherein R is substituted alkyl.
119. The method of claim 118, wherein R is fluorinated alkyl.
120. The method of claim 119, wherein R is perfluorinated lower alkyl.

121. The method of claim 113, wherein the reactant is an alkyl chloroformate, such that the 6-substituted 5,7-dihydro-indolo[2,3-b]carbazole is a 6-alkylcarbonato-5,7-dihydro-indolo[2,3-b]carbazole.

122. The method of claim 113, wherein the reactant is an alkyl chloroformate and the reaction is carried out in the presence of acid, such that the 6-substituted 5,7-dihydro-indolo[2,3-b]carbazole is a 6-hydroxy-5,7-dihydro-indolo[2,3-b]carbazole.

123. The method of claim 122, further comprising contacting the 6-hydroxy-5,7-dihydro-indolo[2,3-b]carbazole with an alkylating reagent to provide a 6-alkoxy-5,7-dihydro-indolo[2,3-b]carbazole.

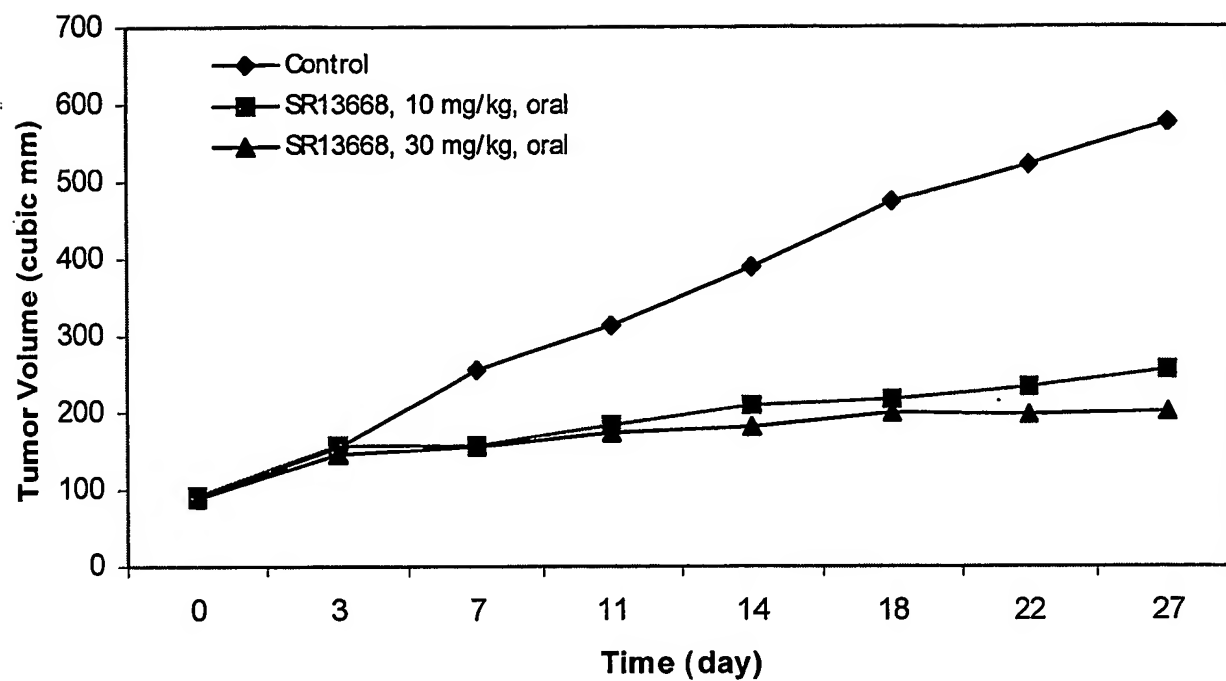
Figure 1

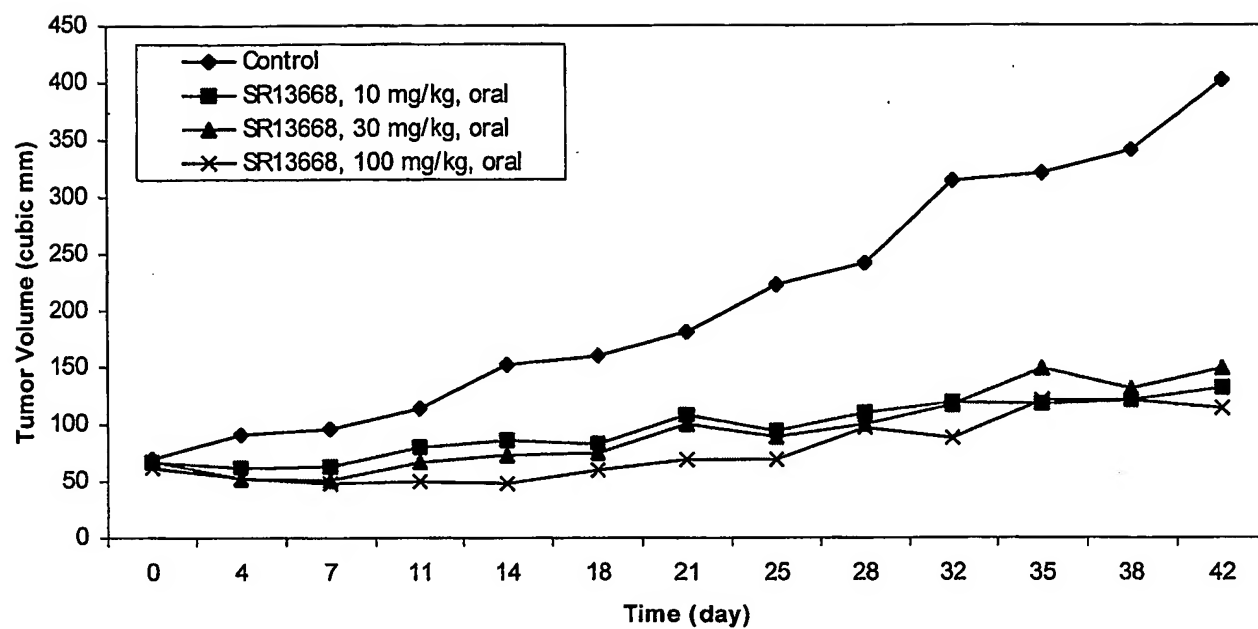
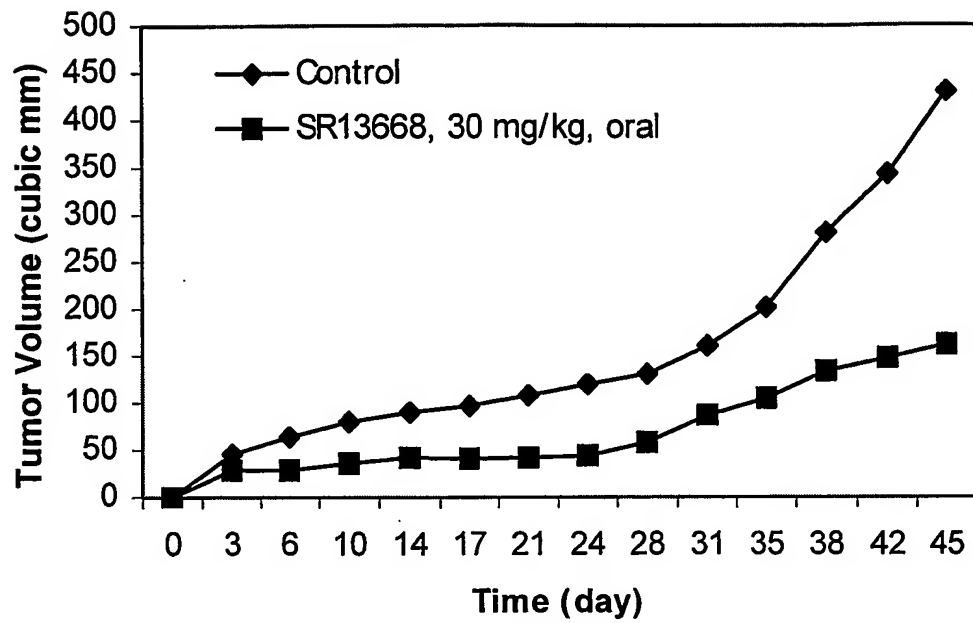
Figure 2

Figure 3

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(10) International Publication Number
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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
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CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
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LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD,
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Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

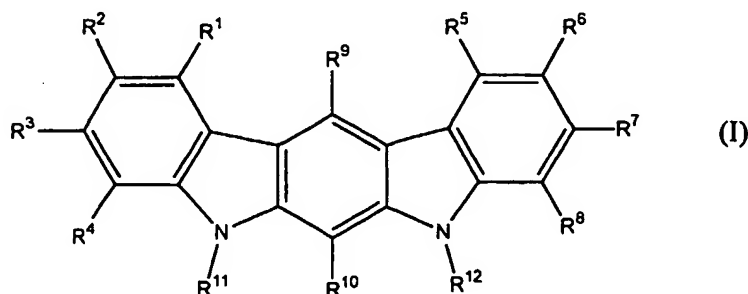
Published:

- with international search report
- before the expiration of the time limit for amending the
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amendments

(88) Date of publication of the international search report:
1 April 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ANALOGS OF INDOLE-3-CARBINOL METABOLITES AS CHEMOTHERAPEUTIC AND CHEMOPREVENTIVE AGENTS



(57) Abstract: Novel compounds useful as chemotherapeutic and chemopreventive agents are provided. The compounds are analogs of indole-3-carbinol metabolites wherein the structures and substituents of the compounds are selected to enhance the compounds' overall efficacy, particularly with respect to therapeutic activity, oral bioavailability, long-term safety, patient tolerability, and therapeutic window. The compounds are useful not only in treatment of cancer but also in prevention of cancer. One preferred class of the novel

compounds have the structure of formula (I), wherein R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹⁰, R¹¹, and R¹² are defined herein. Pharmaceutical compositions are provided as well, as are methods of synthesis and use.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/25772

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D487/04 A61K31/404 A61P35/00 C07D209/42 C07D209/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DAVID STC. BLACK ET AL.: "Synthesis of indolo'3,2-b!carbazoles from 4,6-dimethoxyindole and aryl aldehydes" TETRAHEDRON, (INCL TETRAHEDRON REPORTS), vol. 51, no. 43, - 1995 pages 11801-11808, XP002265921 OXFORD GB * compounds 10 a-d * --- -/-	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
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- *P* document published prior to the international filing date but later than the priority date claimed

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- *G* document member of the same patent family

Date of the actual completion of the international search

22 December 2003

Date of mailing of the international search report

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DAVID ST. C. BLACK ET AL.: "Calix'3!indoles, new macrocyclic tris(indolylmethylene)compounds with 2,7-linkages" CHEMICAL COMMUNICATIONS - CHEMCOM., vol. 10, - 1993 pages 819-821, XP002265922 ROYAL SOCIETY OF CHEMISTRY., GB ISSN: 1359-7345 * page 820, compound 12 * ---	14
X	ULF PINDUR ET AL.: "Reaktivität und Reaktionswege von methylsubstituierten Bisindolylcarbenium-Ionen" JOURNAL OF HETEROCYCLIC CHEMISTRY., vol. 24, no. 1, - 1987 pages 159-163, XP002265923 HETEROCORPORATION. PROVO., US ISSN: 0022-152X * page 161 and 163: compound 15 and 16 * ---	14
X	CHEMICAL ABSTRACTS, vol. 82, no. 17, 28 April 1975 (1975-04-28) Columbus, Ohio, US; abstract no. 111897k, HINO, TOHRU ET AL.: "Reaction of skatole with iodine in the presence of thiourea." XP002265931 & CHEM. PHARM. BULL., vol. 22, no. 11, - 1974 pages 2728-2731, -& DATABASE CAPLUS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; Database accession no. 82:111897 XP002265933 compound with RN 55132-21-3 --- -/--	14

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/25772

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>CHEMICAL ABSTRACTS, vol. 80, no. 11, 18 March 1974 (1974-03-18) Columbus, Ohio, US; abstract no. 59818c, HINO, TOHRU ET AL.: "Preparation of 3-substituted 2-indolinethiones via diindolyl disulfides. Reaction of 3-substituted indoles with sulfur monochloride." XP002265932 abstract & CHEM. PHARM. BULL., vol. 21, no. 12, - 1973 pages 2739-2748, -& DATABASE CAPLUS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; Database accession no. 80:59818 XP002265934 compound with RN 51206-69-0</p>	14
X	<p>ALPARSLAN AYGÜN ET AL.: "Synthesis and biological evaluation of structural variants of carbazoquinocin C" JOURNAL OF HETEROCYCLIC CHEMISTRY, vol. 40, no. 3, - 2003 pages 411-417, XP002265924 * page 412, compound 24 and 25 *</p>	25
X	<p>WO 02 36561 A (SIGMA-TAU INDUSTRIE FARMACEUTICHE RIUNITE S..A.) 10 May 2002 (2002-05-10) * example 2/11; claim 1, 10 *</p>	25
X	<p>GREGOR WILLE ET AL.: "Malassezin- a novel agonist of the arylhydrocarbon receptor from the yeast Malassezia furfur" BIOORGANIC & MEDICINAL CHEMISTRY, vol. 9, no. 4, - 2001 pages 955-960, XP002265925 * page 956, figure 1 *</p>	25
X	<p>ALLESSANDRA NAPOLITANO ET AL.: "Oxidation chemistry of 5,6-dihydroxy-2-methylindole" TETRAHEDRON, (INCL TETRAHEDRON REPORTS), vol. 49, no. 40, - 1993 pages 9143-9150, XP002265926 OXFORD GB * page 9145 and 9148: compound 7 *</p>	25
	-/--	

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>ANTHONY H. JACKSON ET AL.: "Electrophilic substitution in indoles. Part 15. The reaction between methylenedi-indoles and p-nitrobenzenediazonium fluoroborate." JOURNAL OF THE CHEMICAL SOCIETY, PERKIN TRANSACTIONS 1., vol. 11, - 1987 pages 2543-2551, XP002265927</p> <p>CHEMICAL SOCIETY. LETCHWORTH., GB</p> <p>ISSN: 0300-922X</p> <p>* page 2545 and 2549: compound 18a *</p>	25
X	<p>C. K. LAU ET AL.: "Reductive deoxygenation of aryl aldehydes and ketones and benzylic, allylic, and tertiary alcohols by ZnI₂-NaCNBH₃" JOURNAL OF ORGANIC CHEMISTRY., vol. 51, no. 15, - 1986 pages 3038-3043, XP002265928</p> <p>AMERICAN CHEMICAL SOCIETY. EASTON., US</p> <p>ISSN: 0022-3263</p> <p>* page 3040, compound 12 *</p>	25
X	<p>SIEGFRIED HÜNIG ET AL.: "Synthese vinyloger und azavinyloger Redoxsysteme mit Indolylresten als Endgruppen" JUSTUS LIEBIGS ANNALEN DER CHEMIE., vol. 6, - 1976 pages 1039-1059, XP002265929</p> <p>VERLAG CHEMIE GMBH. WEINHEIM., DE</p> <p>ISSN: 0075-4617</p> <p>* page 1042 and 1052: compound 2 *</p>	25
X	<p>HENNING VON DOBENECK ET AL.: "Zur Chemie des Indols, VI. Alpha.beta'-Diindolylmethane und -methene. Der Urorosein-Chromophor" CHEMISCHE BERICHTE., vol. 102, no. 4, - 1969 pages 1347-1356, XP002265930</p> <p>VERLAG CHEMIE GMBH. WEINHEIM., DE</p> <p>ISSN: 0009-2940</p> <p>* page 1349 and 1352: compound 4 *</p>	25
A	<p>WO 98 50357 A (THE TEXAS A & M UNIVERSITY SYSTEM) 12 November 1998 (1998-11-12) claims 15,20,25</p>	1,44

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 03/25772

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

Although claims 60-123 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/25772

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0236561	A	10-05-2002	IT RM20000569 A1	03-05-2002
			AU 8439201 A	15-05-2002
			BR 0115139 A	30-09-2003
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			AT 228502 T	15-12-2002
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			DE 69809726 D1	09-01-2003
			DE 69809726 T2	21-08-2003
			EP 0971890 A2	19-01-2000
			WO 9850357 A2	12-11-1998